## Edgar Filing: DowDuPont Inc. - Form 4

| DowDuPor<br>Form 4   | nt Inc.                                 |  |   |  |                            |          |  |  |   |                                       |  |  |
|--|---|--|---|--|----------------------------|----------|--|--|---|---------------------------------------|--|--|
| July 11, 20  | 18                                      |  |   |  |                            |          |  |  |   |                                       |  |  |
| FORM   | $\mathbf{M}$ <b>4 UNITED</b>            | STATES   | SECU  | RITIES                                     | AND EX                     | сн       | ANGE C   | OMMISSION  | т   | APPROVAL                              |  |  |
| Washington, D.C. 20549   |   |  |   |  |                            |          |  |  | OMB<br>Number:  |                                       |  |  |
| Check this box<br>if no longer criteria TERMENTE OF CHANGES IN DESERVICIAL ON NEEDSHIP OF  |   |  |   |  |                            |          |  | Expires:   | January 31,<br>2005   |                                       |  |  |
| subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See InstructionSTATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESEstimated average<br>burden hours per<br>responseSITATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESEstimated average<br>burden hours per<br>responseForm 5<br> |   |  |   |  |                            |          |  |  | d average<br>ours per   |                                       |  |  |
| 1(b).<br>(Print or Type  | e Responses)                            |  |   |  |                            |          |  |  |   |                                       |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>DowDuPont Inc.   |   |  | Symbol  |  | nd Ticker o<br>Itions, Ind |          | -  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |   |                                       |  |  |
| (Last)   | (First)                                 | (Middle) 3. Date of Earliest Transaction       |   |  |                            |          |  |  |   |                                       |  |  |
|  |   |  |   | /Day/Year)<br>2018                         |                            |          |  | Director    X 10% Owner       Officer (give title below)     Other (specify below)   |   |                                       |  |  |
| Filed(N  |   |  |   | mendment, Date Original<br>Month/Day/Year) |                            |          |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>Form filed by One Reporting Person<br>_X_ Form filed by More than One Reporting |   |                                       |  |  |
|  | D, MI 48674                             |  |   |  |                            |          |  | Person   |   |                                       |  |  |
| (City)   | (State)                                 | (Zip)  |   | ble I - Non                                | -Derivativ                 | e Seci   | irities Acq  | uired, Disposed o  | of, or Benefic  | cially Owned                          |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution I<br>any<br>(Month/Day | Date, if Transactionor Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>y/Year) (Instr. 8)<br>(A)<br>or |  |                            | D)<br>5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)   | OwnershipIndirect BeneficialForm:OwnershipDirect (D)(Instr. 4)r IndirectI |                                       |  |  |
| Common<br>Stock, par<br>value<br>\$0.0001<br>per share   | 07/09/2018                              |  |   | P <u>(1)</u>                               | 13,415                     | (D)<br>A | Price<br>\$ 7 (2)  | 18,482,025   | I   | Through<br>wholly-owned<br>subsidiary |  |  |
| Common<br>Stock, par<br>value<br>\$0.0001<br>per share   | 07/10/2018                              |  |   | P <u>(1)</u>                               | 28,546                     | А        | \$<br>6.9961<br>( <u>3</u> )   | 18,510,571   | Ι   | Through<br>wholly-owned<br>subsidiary |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

07/11/2018

Date

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactia<br>Code<br>(Instr. 8) | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|---|--|---|---|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                               |          | Relationsh | ips     |       |  |  |  |  |
|--|----------|------------|---------|-------|--|--|--|--|
| I  | Director | 10% Owner  | Officer | Other |  |  |  |  |
| DowDuPont Inc.<br>2030 DOW CENTER<br>MIDLAND, MI 48674       |          | Х          |         |       |  |  |  |  |
| DOW CHEMICAL CO /DE/<br>2030 DOW CENTER<br>MIDLAND, MI 48674 |          | Х          |         |       |  |  |  |  |
| Signatures   |          |            |         |       |  |  |  |  |
| DOWDUPONT INC., /s/ Amy E. Wilson, Authorized Officer        |          |            |         |       |  |  |  |  |
| **Signature of Reporting Person                              |          |            |         |       |  |  |  |  |

THE DOW CHEMICAL COMPANY, /s/ Amy E. Wilson, Authorized Officer

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchases pursuant to a 10b5-1 plan. Reporting Person agrees to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- (2) All trades occurred at a price of \$7.00.

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(3) Weighted average purchase price. All trades occurred at a range of prices from \$6.93 to \$7.00.

#### **Remarks:**

Exhibit 99.1 Joint Filer Information, incorporated herein by reference.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.