Edgar Filing: MITEL NETWORKS CORP - Form 3

MITEL NETWORKS CORP

Form 3

December 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response...

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement MITEL NETWORKS CORP [MITL] WILLIAMS DAVID M (Month/Day/Year) 12/20/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 350 LEGGET DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner _X__ Director _X_ Form filed by One Reporting Officer Other Person OTTAWA, A6Â K2K 2W7 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Shares 170,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
					Price of	Derivative	
	Date Expiration Exercisable Date	Expiration	Title	Amount or	Derivative	Security:	
				Number of	Security	Direct (D) or Indirect	

Edgar Filing: MITEL NETWORKS CORP - Form 3

				Shares		(I) (Instr. 5)	
Options (Common Shares)	03/04/2017	03/04/2023	Common Shares	10,000	\$ 7.17	D	Â
Options (Common Shares)	12/31/2015	05/14/2022	Common Shares	10,000	\$ 8.94	D	Â
Options (Common Shares)	03/05/2015	03/05/2022	Common Shares	294	\$ 9.7	D	Â
Options (Common Shares)	11/13/2014	11/13/2021	Common Shares	4,850	\$ 9.96	D	Â
Options (Common Shares)	08/14/2014	08/14/2021	Common Shares	4,595	\$ 9.96	D	Â
Options (Common Shares)	05/20/2014	05/20/2021	Common Shares	9,721	\$ 10.83	D	Â
Restricted Stock Units	(1)	(1)	Common Shares	10,000	\$ <u>(1)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Othe		
WILLIAMS DAVID M	^	^	^	^		
350 LEGGET DRIVE	ÂΧ	Â	A	A		
OTTAWA. A6 K2K 2W7						

Signatures

/s/ David Michael
Williams

**Signature of Reporting Person

Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 10,000 Common Shares underlying 10,000 Restricted Stock Units ("RSUs") granted to Mr. Williams on March 4, 2016. These RSUs will vest on February 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2