

Edgar Filing: US CONCRETE INC - Form 5

US CONCRETE INC
Form 5
February 11, 2002

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| FORM 5 |
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Form 3 Holdings Reported

Form 4 Transactions Reported

1. Name and Address of Reporting Person*

| | | |
|---------------------------|---------|----------|
| Harlan | Michael | W. |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 2925 Briarpark, Suite 500 | | |
| ----- | | |
| (Street) | | |
| Houston | TX | 77042 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol U.S. Concrete, Inc. (RMIX)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year January 2002

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director Officer 10% Owner Other
 (give title below) (specify below)

CFO

Vice President and Controller

7. Individual or Joint/Group Reporting (check applicable line)

___ Form Filed by One Reporting Person

___ Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3) |
|------------------------------------|---|---|---|---------------|---|
| | | | Amount | (A) or (D) | Price |
| Common Stock | (1) | | 407(1) | A | (1) |
| Common Stock | (2) | | 383(2) | A | (2) 117,001 |
| Common Stock | | | | | 50,000 |

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

(1) Shares acquired under the U.S. Concrete, Inc. Employee Stock Purchase Plan

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in June 2001.

(2) Shares acquired under the U.S. Concrete, Inc. Employee Stock Purchase Plan in December 2001.

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10. |
|--|--|--------------------------------------|--------------------------------|--|---|--|---|-----|
| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | |
| Stock option (right to buy) | 7.00 | 3/15/01 | A | | | | | |

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(3) 3/15/2011 Common 60,000 305,000
Stock

(3) The options vest in four equal annual installments beginning 3/15/2002.

Explanation of Responses:

/s/ Michael W. Harlan 2/11/2002

**Signature of Reporting Person Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.