

TANK BRADLEY
Form 4
December 28, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TANK BRADLEY

2. Issuer Name and Ticker or Trading Symbol
Neuberger Berman High Yield Strategies Fund Inc. [NHS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
12/26/2018

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
CIO of Adviser of Issuer

C/O NEUBERGER BERMAN INVESTMENT ADVISERS, 1290 AVENUE OF THE AMERICAS

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10104-0002

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 12/26/2018 | | P | | 3,626 | A | \$ 9,5768 (1) |
| Common Stock | 12/26/2018 | | P | | 3,650 | A | \$ 9,5768 (1) |
| Common Stock | 12/26/2018 | | P | | 1,424 | A | \$ 9,5768 (1) |
| | | | | | | | 62,492 |
| | | | | | | | 34,216 |
| | | | | | | | 1,424 |
| | | | | | | | D |
| | | | | | | | I |
| | | | | | | | I |
| | | | | | | | By Indian Hill II LLC (2) |
| | | | | | | | By Brad C Tank 1999 Trust (2) |

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| Common Stock | Date | Type | Quantity | Code | Price | Value | Category | Holder |
|--------------|------------|------|----------|------|-------------------------|--------|----------|--------------------------------------|
| Common Stock | 12/27/2018 | P | 4,333 | A | \$ 9.5662 <u>(1)</u> | 66,825 | D | |
| Common Stock | 12/27/2018 | P | 4,300 | A | \$ 9.5662 <u>(1)</u> | 38,516 | I | By Indian Hill II LLC <u>(2)</u> |
| Common Stock | 12/27/2018 | P | 1,731 | A | \$ 9.5662 <u>(1)</u> | 3,155 | I | By Brad C Tank 1999 Trust <u>(2)</u> |
| Common Stock | 12/28/2018 | P | 8,246 | A | \$ 9.5935 <u>(1)</u> | 75,071 | D | |
| Common Stock | 12/28/2018 | P | 8,250 | A | \$ 9.5935 <u>(1)</u> | 46,766 | I | By Indian Hill II LLC <u>(2)</u> |
| Common Stock | 12/28/2018 | P | 3,307 | A | \$ 9.5935 <u>(1)</u> | 6,462 | I | By Brad C Tank 1999 Trust <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--------------------------------|----------------------------------|
| | Director 10% Owner Officer Other |

TANK BRADLEY
C/O NEUBERGER BERMAN INVESTMENT ADVISERS
1290 AVENUE OF THE AMERICAS
NEW YORK, NY 10104-0002

CIO of Adviser of Issuer

Signatures

/s/ Bradley Tank by his Attorney-in-Fact, Jennifer
Gonzalez

12/28/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares were purchased in separate transactions. The range of prices for the transactions reported on December 26, 27, and 28 were \$9.54 to \$9.60, \$9.545 to \$9.575, and \$9.575 to \$9.60, respectively. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

(2) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.