Longval Scott Form 4 January 08, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

SECURITIES

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Longval Scott

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

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INTRICON CORP [IIN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 01/05/2019

Director 10% Owner

X_ Officer (give title below)

Chief Financial Officer

Other (specify

(Check all applicable)

C/O INTRICON CORPORATION, 1260 RED FOX **ROAD**

(State)

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ARDEN HILLS, MN 55112

(City)

		Tube 1 Tron Delivative Securities Required, Disposed of, or Denenciany Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acquired on(A) or Disposed of (D)			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)	(c,	any (Month/Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)			` ′	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
		(Monumbay/Tear)	Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common	01/05/2019		M	2,500	(D) A	(2)	35,815 (1) (4)	D		
Stock	01/03/2017		141	2,300	11	<u>127</u>	55,615 <u>~ ~</u>	Ъ		
Common Stock	01/05/2019		F	898	D	\$ 26.61	34,917	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	01/05/2019		M	2,500	(3)	(3)	Common Stock	2,500	\$

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Longval Scott C/O INTRICON CORPORATION 1260 RED FOX ROAD ARDEN HILLS, MN 55112

Chief Financial Officer

Signatures

/s/ Scott Longval 01/08/2019 **Signature of

Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the number of shares currently beneficially owned by the Reporting Person after the vesting of Restricted Stock Units reported **(1)** in this Form 4 Report.
- (2) Each Restricted Stock Unit converts into one share of common stock of the Company.
- On January 5, 2018, the Reporting Person was granted 7,500 Restricted Stock Units, vesting in three equal annual installments beginning one year from the date of grant or earlier upon the death, disability or retirement of the recipient or a change in control of the Company (as provided in the 2015 Equity Incentive Plan). Unvested Restricted Stock Units generally will terminate upon any other termination of employment.
- (4) Includes a total of 116 shares acquired under the IntriCon Employee Stock Purchase Plan ("ESPP") and not previously disclosed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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