

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 28, 2013

PAGE 1
000 B000000 12/31/2012
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 EAST MICHIGAN STREET
002 B000000 MILWAUKEE
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212

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015 E010001 X
018 000000 Y
019 A000000 N
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020 A000001 MERLIN ADVISORS, INC.
020 C000001 54
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.
020 C000002 38
020 A000003 CREDIT RESEARCH & TRADING, LLC
020 C000003 20
020 A000004 CANTOR FITZGERALD & CO.
020 C000004 9
020 A000005 WALL STREET MANAGEMENT, INC.
020 C000005 8
020 A000006 NATIONAL FINANCIAL SERVICE CORP.
020 C000006 7
020 A000007 WALLACH BETH
020 C000007 7
020 A000008 CANACCORD GENUITY
020 C000008 6
020 A000009 LAZARD CAPITAL MARKETS, LLC
020 C000009 3
020 A000010 LEGENCD CAPITAL MANAGEMENT
020 C000010 2
021 000000 159
022 A000001 MAXIM FINANCIAL CORP.
022 C000001 8722
022 D000001 0
022 A000002 DEUTSCHE BANK TRUST CO.
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022 A000003 LAZARD CAPITAL MARKETS LLC.
022 C000003 4091
022 D000003 676
022 A000004 US BANK N.A.
022 C000004 0
022 D000004 4453
022 A000005 PRINCERIDGE
022 C000005 3218
022 D000005 0
022 A000006 AEGIS CAPITAL CORP.
022 C000006 0
022 D000006 2008
022 A000007 R.B.C. DOMINION SECURITIES, INC.
022 C000007 1759
PAGE 3
022 D000007 0
022 A000008 SECONDMARKET, INC.
022 C000008 1159
022 D000008 0
022 A000009 CHARDAN CAPITAL MARKETS, LLC
022 C000009 1091
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022 A000010 LADENBURG THALMAN & CO.
022 C000010 1032
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023 C000000 29848
023 D000000 7137
024 000000 N
025 D000001 0
025 D000002 0
025 D000003 0

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066 D000000 N
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066 F000000 N
066 G000000 Y
067 000000 N
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070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
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070 O010000 Y
070 O020000 Y
070 P010000 Y
070 P020000 N
070 Q010000 Y

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070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 110963
 071 B000000 74942

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071 C000000 120396
 071 D000000 62
 072 A000000 12
 072 B000000 387
 072 C000000 4546
 072 D000000 0
 072 E000000 0
 072 F000000 1332
 072 G000000 94
 072 H000000 47
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 072 M000000 153
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 072AA000000 5815
 072BB000000 0
 072CC010000 6817
 072CC020000 0
 072DD010000 1566
 072DD020000 496
 072EE000000 4903
 073 A010000 0.2300
 073 A020000 0.0700
 073 B000000 0.7200
 073 C000000 0.0000
 074 A000000 7
 074 B000000 0
 074 C000000 4832
 074 D000000 762
 074 E000000 4637
 074 F000000 35617
 074 G000000 0
 074 H000000 0
 074 I000000 114685
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 074 K000000 0
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074 M000000 30
 074 N000000 162226
 074 O000000 364
 074 P000000 133

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075	A000000	0
075	B000000	116679
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077	A000000	Y
077	B000000	Y
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077	J000000	Y
077	Q020000	Y
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080	A000000	CHUBB GROUP OF INSURANCE COMPANIES
080	C000000	525
081	A000000	N
081	B000000	0
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	133
086	A020000	2011
086	B010000	0
086	B020000	0
086	C010000	749
086	C020000	37454
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0

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087	A010000	COMMON STOCK
087	A020000	84741T104
087	A030000	SPE
087	B010000	PREFERRED STOCK
087	B020000	84741T203
087	B030000	SPE PFD
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	ANDREW DAKOS	
TITLE	PRESIDENT	