Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

LATTICE SEMICONDUCTOR CORP

Form 4 January 05, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading KRISHNAMURTHY BALAJI Issuer Symbol LATTICE SEMICONDUCTOR (Check all applicable) CORP [LSCC] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner _ Other (specify Officer (give title (Month/Day/Year) below) 5555 N.E. MOORE CT. 01/02/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting HILLSBORO, OR 97124

1401	Tuble 1 Tion Berryalive Securities required, Disposed 61, 61 Beneficiary 6 when					
on Date 2A. Deemed	3.	4. Securities A	Acquired	5. Amount of	6. Ownership	7. Nature of
/Year) Execution Date, if	Transactio	on(A) or Dispos	ed of	Securities	Form: Direct	Indirect
any	Code	(D)		Beneficially	(D) or	Beneficial
(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership
` ,	· ·	` '		Following	(Instr. 4)	(Instr. 4)
				Reported		
)	Transaction(s)		
			.	(Instr. 3 and 4)		
	Code V	Amount (D)		· ·		
5	М	124 (1) A	\$	59 430	D	
3	1V1	124 <u>(*)</u> A	4.53	30,430	D	
5	C	124 (1) D	¢ 7	58 306	D	
5	S	124 <u>~</u> D	Φ /	30,300	ט	
	on Date 2A. Deemed /Year) Execution Date, if any	on Date 2A. Deemed 3. Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8) Code V M	on Date 2A. Deemed 3. 4. Securities A. Transaction(A) or Dispose Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and Code V Amount (D) M 124 (1) A	on Date 2A. Deemed 3. 4. Securities Acquired (Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price M 124 (1) A \$ 4. Securities Acquired (Transaction(A) or Disposed of (Instr. 3, 4 and 5)	on Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of Securities any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3 and 4) Code V Amount (D) Price M 124 (1) A \$ 4.53	on Date 2A. Deemed 3. 4. Securities Acquired Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 4) (A) Or Price (Instr. 3 and 4) (A) Or Code V Amount (D) Price (Instr. 3 and 4) (A) Securities Acquired Securities Beneficially (D) or Indirect (I) (Instr. 4) (A) Or Code V Amount (D) Price (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	ŕ	Date Exercisable	Expiration Date	Title	Amo or Num of Shar
Non-Qualified Stock Option (right to buy)	\$ 4.53	01/02/2015		M	124 (1)	07/31/2006(2)	01/31/2016	Common Stock	12

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director	Director 10% Owner Officer	Other			
KRISHNAMURTHY BALAJI						
5555 N.E. MOORE CT.	X					
HILLSBORO, OR 97124						

Signatures

By: Byron W. Milstead, Attorney-in-Fact For: Balaji Krishnamurthy

**Signature of Reporting Person Date

01/02/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction indicated was conducted under an approved 10b5-1 Plan.
- This option to purchase shares of common stock vests as follows: 12.5% of the total amount of shares subject to the option vest on
- (2) 7/31/06, and 6.25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 1/31/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2