

KATZ KAREN
Form 4
November 05, 2002

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, DC 20549

☐ Check this box if
no longer
subject to
Section 16. Form
4 or
Form 5
obligations may
continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934,
Section 17(a) of the Public Utility
Holding Company Act of 1935 or
Section 30(h) of the Investment
Company Act of 1940

OMB
APPROVAL
OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden
hours per
response.....0.5

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting to Issuer (Check all applicable)				
KATZ KAREN			The Neiman Marcus Group, Inc. (NMG.A)		☐ Director ☒ 10% Owner ☒ Office Other (specify title below) President and CEO, Neiman Marcus Direct				
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)	4. Statement for Month/Day/Year	7. Individual or Joint/Group (Check Applicable Line)				
c/o The Neiman Marcus Group, Inc. 1618 Main Street				November 3, 2002	☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person				
(Street)			5. If Amendment, Date of Original (Month/Day/Year)						
Dallas Texas 75201									
(City)	(State)	(Zip)	Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially						
1. Title of Security (Instr. 3)			2. Transaction Date	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Ownership Percentage (Instr. 3)

Edgar Filing: KATZ KAREN - Form 4

	(Month/ Day/ Year)	(Month/ Day/ Year)	Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 4) (Instr. 3 and 4)	Indirect (Instr. 4)
Common Stock, Class A	11/03/02	11/03/02	F(1)	398	D	\$30.00	7,985	D
Common Stock, Class A (11/99 Restricted)							2,800	D
Common Stock, Class A (9/97 PARS)							1,600	D
Common Stock, Class A (9/98 PARS)							2,500	D
Common Stock, Class A (10/99 PARS)							2,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over)
SEC 1474
(9-02)

FORM 4 (continued)	Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.	9.	

Edgar Filing: KATZ KAREN - Form 4

[illegible]

Explanation of Responses:

(1) Shares withheld pursuant to exercise of tax withholding right under The Neiman Marcus Group, Inc. 1997 Incentive Plan in transaction exempt under Rule 16b-3.

/s/ Karen Katz

November 4, 2002

**** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.**
See

**Signature of Reporting Person

Date

18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.