

CPS TECHNOLOGIES CORP/DE/
Form 4
December 22, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HUGHES FRANCIS J JR

2. Issuer Name and Ticker or Trading Symbol
CPS TECHNOLOGIES CORP/DE/
[CPSH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
12/20/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O CPS TECHNOLOGIES
CORP., 111 SOUTH WORCESTER
STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NORTON, MA 02766

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Amount or Price		
Common Stock	12/20/2016		A	280	\$ 1.3	72,280	D
Common Stock	12/21/2016		A	15,037	\$ 1.38	87,317	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with columns: 1. Title of Derivative Security (Instr. 3), 2. Conversion or Exercise Price of Derivative Security, 3. Transaction Date (Month/Day/Year), 3A. Deemed Execution Date, if any (Month/Day/Year), 4. Transaction Code (Instr. 8), 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5), 6. Date Exercisable and Expiration Date (Month/Day/Year), 7. Title and Amount of Underlying Securities (Instr. 3 and 4), 8. Price of Derivative Security (Instr. 5), 9. Number of Derivative Securities Owned Beneficially (Instr. 5), 10. Date of Reporting (Instr. 5), 11. Derivative Security Underlying (Instr. 5), 12. Conversion or Exercise Price of Derivative Security (Instr. 5), 13. Title of Derivative Security (Instr. 5), 14. Amount or Number of Shares (Instr. 5), 15. Date of Reporting (Instr. 5), 16. Derivative Security Underlying (Instr. 5), 17. Conversion or Exercise Price of Derivative Security (Instr. 5), 18. Title of Derivative Security (Instr. 5), 19. Amount or Number of Shares (Instr. 5), 20. Date of Reporting (Instr. 5), 21. Derivative Security Underlying (Instr. 5), 22. Conversion or Exercise Price of Derivative Security (Instr. 5), 23. Title of Derivative Security (Instr. 5), 24. Amount or Number of Shares (Instr. 5), 25. Date of Reporting (Instr. 5), 26. Derivative Security Underlying (Instr. 5), 27. Conversion or Exercise Price of Derivative Security (Instr. 5), 28. Title of Derivative Security (Instr. 5), 29. Amount or Number of Shares (Instr. 5), 30. Date of Reporting (Instr. 5), 31. Derivative Security Underlying (Instr. 5), 32. Conversion or Exercise Price of Derivative Security (Instr. 5), 33. Title of Derivative Security (Instr. 5), 34. Amount or Number of Shares (Instr. 5), 35. Date of Reporting (Instr. 5), 36. Derivative Security Underlying (Instr. 5), 37. Conversion or Exercise Price of Derivative Security (Instr. 5), 38. Title of Derivative Security (Instr. 5), 39. Amount or Number of Shares (Instr. 5), 40. Date of Reporting (Instr. 5), 41. Derivative Security Underlying (Instr. 5), 42. Conversion or Exercise Price of Derivative Security (Instr. 5), 43. Title of Derivative Security (Instr. 5), 44. Amount or Number of Shares (Instr. 5), 45. Date of Reporting (Instr. 5), 46. Derivative Security Underlying (Instr. 5), 47. Conversion or Exercise Price of Derivative Security (Instr. 5), 48. Title of Derivative Security (Instr. 5), 49. Amount or Number of Shares (Instr. 5), 50. Date of Reporting (Instr. 5), 51. Derivative Security Underlying (Instr. 5), 52. Conversion or Exercise Price of Derivative Security (Instr. 5), 53. Title of Derivative Security (Instr. 5), 54. Amount or Number of Shares (Instr. 5), 55. Date of Reporting (Instr. 5), 56. Derivative Security Underlying (Instr. 5), 57. Conversion or Exercise Price of Derivative Security (Instr. 5), 58. Title of Derivative Security (Instr. 5), 59. Amount or Number of Shares (Instr. 5), 60. Date of Reporting (Instr. 5), 61. Derivative Security Underlying (Instr. 5), 62. Conversion or Exercise Price of Derivative Security (Instr. 5), 63. Title of Derivative Security (Instr. 5), 64. Amount or Number of Shares (Instr. 5), 65. Date of Reporting (Instr. 5), 66. Derivative Security Underlying (Instr. 5), 67. Conversion or Exercise Price of Derivative Security (Instr. 5), 68. Title of Derivative Security (Instr. 5), 69. Amount or Number of Shares (Instr. 5), 70. Date of Reporting (Instr. 5), 71. Derivative Security Underlying (Instr. 5), 72. Conversion or Exercise Price of Derivative Security (Instr. 5), 73. Title of Derivative Security (Instr. 5), 74. Amount or Number of Shares (Instr. 5), 75. Date of Reporting (Instr. 5), 76. Derivative Security Underlying (Instr. 5), 77. Conversion or Exercise Price of Derivative Security (Instr. 5), 78. Title of Derivative Security (Instr. 5), 79. Amount or Number of Shares (Instr. 5), 80. Date of Reporting (Instr. 5), 81. Derivative Security Underlying (Instr. 5), 82. Conversion or Exercise Price of Derivative Security (Instr. 5), 83. Title of Derivative Security (Instr. 5), 84. Amount or Number of Shares (Instr. 5), 85. Date of Reporting (Instr. 5), 86. Derivative Security Underlying (Instr. 5), 87. Conversion or Exercise Price of Derivative Security (Instr. 5), 88. Title of Derivative Security (Instr. 5), 89. Amount or Number of Shares (Instr. 5), 90. Date of Reporting (Instr. 5), 91. Derivative Security Underlying (Instr. 5), 92. Conversion or Exercise Price of Derivative Security (Instr. 5), 93. Title of Derivative Security (Instr. 5), 94. Amount or Number of Shares (Instr. 5), 95. Date of Reporting (Instr. 5), 96. Derivative Security Underlying (Instr. 5), 97. Conversion or Exercise Price of Derivative Security (Instr. 5), 98. Title of Derivative Security (Instr. 5), 99. Amount or Number of Shares (Instr. 5), 100. Date of Reporting (Instr. 5).

Reporting Owners

Table with columns: Reporting Owner Name / Address, Relationships (Director, 10% Owner, Officer, Other). Entry for HUGHES FRANCIS J JR, C/O CPS TECHNOLOGIES CORP, 111 SOUTH WORCESTER STREET, NORTON, MA 02766, with an 'X' in the 10% Owner column.

Signatures

Francis J. Hughes, Jr. 12/22/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.