Edgar Filing: FAUBERT RICHARD J - Form 4

FAUBERT R	ICHARD J												
Form 4													
May 13, 2011	_												
FORM	4 UNITED	STATES	SECUR	ITIFS		ND FYC	'HAN	JCF (COMMISSION	т	PPROVAL		
	UNITED	SIAIL				D.C. 205				OMB Number:	3235-0287		
Check this			(u b		, -		•			Expires:	January 31,		
if no longe subject to	F CHAN	GES I	N B	ENEFI	CIAI	LOW	NERSHIP OF	·	2005				
Section 16									Estimated a burden hou				
Form 4 or									response	•			
Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Helding Company Act of 1025 or Section													
may continue.													
<i>See</i> Instruction 30(h) of the Investment Company Act of 1940													
1(b).													
(Print or Type Re	esponses)												
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person * FAUBERT RICHARD J Symbol Issuer								f Reporting Per	son(s) to				
FAUBERTR	ICHARD J		Symbol						Issuer				
	ELECTRO SCIENTIFIC INDUSTRIES INC [ESIO]						(Check all applicable)						
							J						
(Last)	(First) (I	Middle)							title 10% Owner				
13900 NW S		Month/Day/Year))5/12/2011					below)	below)					
10,000 1111 5					D /	0.1.1				·	(6) 1		
			4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
Thed(Monumbe				ui/Day/1	Day I cal)								
PORTLAND	, OR 97229								Form filed by M Person	More than One Re	eporting		
(City)	(State)	(Zip)			_								
(City)	(State)	(Zip)	Table	e I - Nor	1-De	rivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of	2. Transaction Dat	emed 3. 4. Securities on Date, if TransactionAcquired (A) or Code Disposed of (D)						5. Amount of	1	7. Nature of			
Security (Instr. 3)	(Month/Day/Year)							Securities Beneficially	Form: Direct (D) or	Indirect Beneficial			
(Instr. 3) any (Month/Da									Owned	Indirect (I)	Ownership		
	Following						Following Reported	(Instr. 4)	(Instr. 4)				
							(A)		Transaction(s)				
				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	05/12/2011			A		4,900	A	\$ 0	34,075	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
FAUBERT RICHARD J 13900 NW SCIENCE PARK DRIVE PORTLAND, OR 97229	X						
Signatures							
By: Paul Oldham for Richard J Faubert	05/1	3/2011					
<u>**</u> Signature of Reporting Person	Ι	Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.