Edgar Filing: Fisher Mark P - Form 4

Fisher Mark Form 4	Р										
November 20	0, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check thi if no long subject to Section 1 Form 4 or Form 5	F CHAN	SECUR	Expires: January 3 200 Estimated average burden hours per response 0								
obligation may cont <i>See</i> Instru 1(b).	ns Section 1'	7(a) of the		ility Hold	ling Con	ipany	Act of	f 1935 or Sectio	n		
(Print or Type F	Responses)										
Fisher Mark P Sy			Symbol	2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]				5. Relationship of Reporting Person(s) to Issuer			
				f Earliest Transaction				(Check all applicable)			
ONE MONT 3700	IGOMERY ST	T STE	(Month/D 11/17/20	•				Director X_Officer (give below) SENIOR VP &		owner er (specify COUNSEL	
				f Amendment, Date Original cd(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN FRAN	CISCO, CA 94	104						Form filed by M Person	Nore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ties Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8)	4. Securi on(A) or D (D) (Instr. 3, Amount	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/17/2017			S	5,000	D	\$ 52.3	21,369	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships							
I O	Director	10% Owner	Officer	Other					
Fisher Mark P ONE MONTGOMER SAN FRANCISCO, C			SENIOR VP & GENERAL COUNSEL						
Signatures									
MARK PHILIP FISHER	11/17/2017								

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.