DILL CHARLES A Form 4

December 01, 2008

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DILL CHARLES A			2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
			(Month/Day/Year)	X Director 10% Owner			
GATEWAY ASSOCIATES, 8000 MARYLAND AVE., SUITE 1190			11/28/2008	Officer (give title below) Other (speci			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Chec			
ST. LOUIS, MO 63105			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zin)					

(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired Disposed of or Reneficially Owner

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Di	ispose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/28/2008		S	9,151	D	\$ 41.98	19,004	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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3235-0287

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. conNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr. Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0					11/01/2002	11/01/2012	Common Stock	262	
Phantom Stock Units	\$ 0					12/02/2002	12/02/2012	Common Stock	266	
Phantom Stock Units	\$ 0					01/02/2003	01/02/2013	Common Stock	284	
Phantom Stock Units	\$ 0					01/30/2003	01/30/2013	Common Stock	173	
Phantom Stock Units	\$ 0					02/03/2003	02/03/2013	Common Stock	266	
Phantom Stock Units	\$ 0					03/03/2003	03/03/2013	Common Stock	263	
Phantom Stock Units	\$ 0					04/01/2003	04/01/2013	Common Stock	269	
Phantom Stock Units	\$ 0					05/01/2003	05/01/2013	Common Stock	259	
Phantom Stock Units	\$ 0					05/12/2003	05/12/2013	Common Stock	160	
Phantom Stock Units	\$ 0					06/01/2003	06/01/2013	Common Stock	250	
Phantom Stock	\$ 0					07/01/2003	07/01/2013	Common Stock	253	

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Units					
Phantom Stock Units	\$ O	08/06/2003	08/06/2013	Common Stock	510
Phantom Stock Units	\$ 0	09/01/2003	09/01/2013	Common Stock	242
Phantom Stock Units	\$ 0	10/01/2003	10/01/2013	Common Stock	1,179
Phantom Stock Units	\$ O	01/01/2004	01/01/2014	Common Stock	844
Phantom Stock Units	\$ 0	<u>(1)</u>	<u>(2)</u>	Common Stock	13,003
Stock Option (Option to Buy)	\$ 7.8	(3)	01/02/2012	Common Stock	2,000
Stock Option (Option to Buy)	\$ 8.7	<u>(4)</u>	01/30/2013	Common Stock	2,000
Stock Option (Option to Buy)	\$ 13.89	<u>(5)</u>	02/03/2014	Common Stock	2,000
Stock Option (Option to Buy)	\$ 20.22	<u>(6)</u>	01/03/2015	Common Stock	1,500
Stock Option (Option to Buy)	\$ 38.25	<u>(7)</u>	01/03/2016	Common Stock	1,500
Stock Option (Option to Buy)	<u>(8)</u>	<u>(9)</u>	(10)	Common Stock	3,998

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

3

Reporting Owners

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Director 10% Owner Officer Other

DILL CHARLES A
GATEWAY ASSOCIATES
8000 MARYLAND AVE., SUITE 1190
ST. LOUIS, MO 63105



## **Signatures**

Charles A Dill 12/01/2008

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.
- (3) Options vest in 20% increments on 1/2/03, 1/2/04, 1/2/05, 1/2/06 and 1/2/07.
- (4) Options vest in 20% increments on 1/3/04, 1/3/05, 1/3/06, 1/3/07 and 1/3/08.
- (5) Options vest in 20% increments yearly from the grant date.
- (6) Options vest in 20 percent increments on 1/3/06, 1/3/07, 1/3/08, 1/3/09 and 1/3/10.
- (7) Options vest in 20% increments on 1/3/07, 1/3/08, 1/3/09, 1/3/10 and 1/3/11.
- (8) Various exercise prices.
- (9) Options vest over time.
- (10) Various expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4