

STASYSZEN RICHARD E

Form 4

March 29, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STASYSZEN RICHARD E

(Last) (First) (Middle)

2425 WEST LOOP SOUTH

(Street)

HOUSTON, TX 77027

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
STAGE STORES INC [SSI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/27/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

SVP, Finance and Controller

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/27/2019		M <sup>(1)</sup>		10,000	A	\$ 0 57,142
Common Stock	03/27/2019		D <sup>(1)</sup>		10,000	D	\$ 0.95 47,142
Common Stock	03/28/2019		M <sup>(2)</sup>		9,375	A	\$ 0 56,517
Common Stock	03/28/2019		D <sup>(2)</sup>		9,375	D	\$ 0.98 47,142

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2018 Restricted Stock Unit - 3/27/2018	(3)	03/27/2019		M(1)		10,000		(4)	(4)	Common Stock	10,000 (5)
2017 Restricted Stock Unit - 3/28/2017	(3)	03/28/2019		M(2)		9,375		(6)	(6)	Common Stock	9,375 (7)

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
STASYSZEN RICHARD E 2425 WEST LOOP SOUTH HOUSTON, TX 77027	SVP, Finance and Controller

## Signatures

/s/ Richard E.  
Stasyszen

03/29/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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Represents the vesting and cash settlement of restricted stock units ("RSU") in accordance with the terms of the award agreement granted on March 27, 2018.

- (2) Represents the vesting and cash settlement of restricted stock units ("RSU") in accordance with the terms of the award agreement granted on March 28, 2017.

Each restricted stock unit (RSU) represents the right to receive, at settlement, cash equal to the fair market value of one share of the

- (3) Issuer's common stock on the vesting date. Upon vesting, each cash settlement shall not exceed five times the per share fair market value of the Issuer's common stock on the grant date multiplied by the number of RSUs vesting.
- (4) The RSUs vest 25% per year on the first four anniversary dates from the grant date of March 27, 2018.
- (5) Represents the portion of the cash-settled RSUs granted on March 27, 2018 that vested on March 27, 2019.
- (6) The RSUs vest 25% per year on the first four anniversary dates from the grant date of March 28, 2017.
- (7) Represents the portion of the cash-settled RSUs granted on March 28, 2017 that vested on March 28, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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