

Wochner William J  
 Form 3  
 December 11, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Wochner William J  
 (Last) (First) (Middle)

2. Date of Event Requiring Statement  
 (Month/Day/Year)  
 12/01/2006

3. Issuer Name and Ticker or Trading Symbol  
 KANSAS CITY SOUTHERN [KSU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

KANSAS CITY  
 SOUTHERN, PO BOX 219335  
 (Street)

(Check all applicable)

KANSAS  
 CITY, MO 64121-9335  
 (City) (State) (Zip)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 \_\_\_X\_\_\_ Officer \_\_\_\_\_ Other  
 (give title below) (specify below)  
 VP & Interim General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_X\_\_\_ Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	73,653	D	∆
Common Stock	17,161.303	I	Held by ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) <sup>(1)</sup>	07/13/2001	07/12/2010	Common Stock	72,000	\$ 5.75	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	72,000	\$ 5.75	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	02/27/2001	02/26/2011	Common Stock	817	\$ 14.34	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	817	\$ 14.34	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	02/06/2002	02/05/2012	Common Stock	873	\$ 13.42	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	873	\$ 13.42	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	01/16/2003	01/15/2013	Common Stock	1,148	\$ 12.55	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	1,148	\$ 12.55	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	01/16/2008	01/15/2013	Common Stock	15,000	\$ 12.55	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	15,000	\$ 12.55	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	01/02/2005	01/01/2014	Common Stock	6,000	\$ 14.6	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	6,000	\$ 14.6	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	02/09/2004	02/08/2014	Common Stock	1,102	\$ 14.53	D	Â
LSAR <sup>(1)</sup>	Â <sup>(1)</sup>	Â <sup>(1)</sup>	Common Stock	1,102	\$ 14.53	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Wochner William J KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121-9335	Â	Â	Â	VP & Interim General Counsel	Â

## Signatures

Julie D. Powell,  
Attorney-in-fact

12/11/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Limited Stock Appreciation Rights ("LSARs") are granted in tandem with stock options. LSARs become exercisable only following a  
(1) change-in-control of the Company in lieu of related options and are exercisable only for cash. LSARs terminate when the related options are exercised or terminated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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