

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form NSAR-B

GENERAL AMERICAN INVESTORS CO INC

Form NSAR-B

February 09, 2016

PAGE 1  
000 B000000 12/31/2015  
000 C000000 0000040417  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 GENERAL AMERICAN INVESTORS COMPANY, INC.  
001 B000000 811-41  
001 C000000 2129168400  
002 A000000 100 PARK AVENUE 35TH FLOOR  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10017  
002 D020000 5528  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
020 A000001 MORGAN STANLEY  
020 B000001 36-3145972  
020 C000001 159  
020 A000002 BERNSTEIN (SANFORD C.) & CO., LLC  
020 B000002 13-2625874  
020 C000002 103  
020 A000003 J.P. MORGAN SECURITIES LLC  
020 B000003 13-2624428  
020 C000003 97  
020 A000004 ISI GROUP, INC  
020 B000004 13-3599877  
020 C000004 83  
020 A000005 MKM PARTNERS, LLC  
020 B000005 13-4172253  
020 C000005 50  
020 A000006 NATIONAL ALLIANCE SECURITIES, LLC  
020 B000006 20-0177268  
020 C000006 46  
020 A000007 DEUTSCHE BANK SECURITIES, INC.  
020 B000007 13-2730828  
020 C000007 40  
020 A000008 OPPENHEIMER & COMPANY INC.  
020 B000008 13-5657518  
020 C000008 38  
020 A000009 UBS FINANCIAL SERVICES  
020 B000009 13-2638166  
020 C000009 35  
020 A000010 DOWLING & PARTNERS SECURITIES

PAGE 2  
020 B000010 06-1400223  
020 C000010 30  
021 000000 928  
022 A000001 MAXIM GROUP LLC  
022 B000001 04-3640096  
022 C000001 1575

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form NSAR-B

022 D000001 0  
022 A000002 LEERINK SWANN & COMPANY  
022 B000002 42-1738148  
022 C000002 1103  
022 D000002 0  
022 A000003 MORGAN STANLEY  
022 B000003 36-3145972  
022 C000003 685  
022 D000003 0  
022 A000004 GUGGENHEIM SECURITIES  
022 B000004 52-2144448  
022 C000004 613  
022 D000004 0  
022 A000005 LAUDENBURG THALMANN & CO, INC.  
022 B000005 65-0701248  
022 C000005 539  
022 D000005 0  
022 A000006 M&T BANK CORPORATION  
022 B000006 16-0968385  
022 C000006 0  
022 D000006 412  
022 A000007 COWEN AND COMPANY, LLC  
022 B000007 84-1702964  
022 C000007 368  
022 D000007 0  
022 A000008 STIFEL FINANCIAL CORP.  
022 B000008 43-1273600  
022 C000008 245  
022 D000008 0  
022 A000009 CANTOR FITZGERALD & CO.  
022 B000009 13-3680184  
022 C000009 123  
022 D000009 0  
022 A000010 BTIG, LLC  
022 B000010 04-3695739  
022 C000010 123  
022 D000010 0  
023 C000000 5469  
023 D000000 412  
024 000000 Y  
025 A000001 J.P. MORGAN  
025 B000001 13-2624428  
025 C000001 E  
025 D000001 20799  
025 D000002 0  
PAGE 3  
025 D000003 0  
025 D000004 0  
025 D000005 0  
025 D000006 0  
025 D000007 0  
025 D000008 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form NSAR-B

|     |         |         |
|-----|---------|---------|
| 062 | K000000 | 0.0     |
| 062 | L000000 | 0.0     |
| 062 | M000000 | 0.0     |
| 062 | N000000 | 0.0     |
| 062 | O000000 | 0.0     |
| 062 | P000000 | 0.0     |
| 062 | Q000000 | 0.0     |
| 062 | R000000 | 0.0     |
| 066 | A000000 | Y       |
| 066 | B000000 | N       |
| 066 | C000000 | N       |
| 066 | D000000 | Y       |
| 066 | E000000 | N       |
| 066 | F000000 | N       |
| 066 | G000000 | N       |
| 067 | 000000  | N       |
| 068 | A000000 | N       |
| 068 | B000000 | N       |
| 069 | 000000  | N       |
| 070 | A010000 | Y       |
| 070 | A020000 | N       |
| 070 | B010000 | Y       |
| 070 | B020000 | Y       |
| 070 | C010000 | Y       |
| 070 | C020000 | N       |
| 070 | D010000 | Y       |
| 070 | D020000 | N       |
| 070 | E010000 | Y       |
| 070 | E020000 | N       |
| 070 | F010000 | Y       |
| 070 | F020000 | N       |
| 070 | G010000 | Y       |
| 070 | G020000 | N       |
| 070 | H010000 | Y       |
| 070 | H020000 | N       |
|     | PAGE    | 4       |
| 070 | I010000 | Y       |
| 070 | I020000 | N       |
| 070 | J010000 | Y       |
| 070 | J020000 | N       |
| 070 | K010000 | Y       |
| 070 | K020000 | N       |
| 070 | L010000 | Y       |
| 070 | L020000 | Y       |
| 070 | M010000 | Y       |
| 070 | M020000 | Y       |
| 070 | N010000 | Y       |
| 070 | N020000 | N       |
| 070 | O010000 | Y       |
| 070 | O020000 | N       |
| 070 | P010000 | Y       |
| 070 | P020000 | N       |
| 070 | Q010000 | Y       |
| 070 | Q020000 | N       |
| 070 | R010000 | Y       |
| 070 | R020000 | N       |
| 071 | A000000 | 181750  |
| 071 | B000000 | 297520  |
| 071 | C000000 | 1257940 |
| 071 | D000000 | 14      |
| 072 | A000000 | 12      |
| 072 | B000000 | 0       |

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form NSAR-B

|             |       |
|-------------|-------|
| 072 C000000 | 27547 |
| 072 D000000 | 0     |
| 072 E000000 | 0     |
| 072 F000000 | 0     |
| 072 G000000 | 0     |
| 072 H000000 | 7414  |
| 072 I000000 | 172   |
| 072 J000000 | 61    |
| 072 K000000 | 3     |
| 072 L000000 | 46    |
| 072 M000000 | 235   |
| 072 N000000 | 62    |
| 072 O000000 | 174   |
| 072 P000000 | 0     |
| 072 Q000000 | 0     |
| 072 R000000 | 185   |
| 072 S000000 | 303   |
| 072 T000000 | 0     |
| 072 U000000 | 0     |
| 072 V000000 | 75    |
| 072 W000000 | 5089  |
| 072 X000000 | 13819 |
| 072 Y000000 | 0     |
| 072 Z000000 | 13728 |
| 072AA000000 | 34131 |

PAGE 5

|             |         |
|-------------|---------|
| 072BB000000 | 0       |
| 072CC010000 | 0       |
| 072CC020000 | 76269   |
| 072DD010000 | 9622    |
| 072DD020000 | 0       |
| 072EE000000 | 22923   |
| 073 A010000 | 0.3400  |
| 073 A020000 | 0.0000  |
| 073 B000000 | 0.8100  |
| 073 C000000 | 0.0000  |
| 074 A000000 | 3845    |
| 074 B000000 | 0       |
| 074 C000000 | 106007  |
| 074 D000000 | 0       |
| 074 E000000 | 0       |
| 074 F000000 | 1162379 |
| 074 G000000 | 10      |
| 074 H000000 | 0       |
| 074 I000000 | 472     |
| 074 J000000 | 0       |
| 074 K000000 | 0       |
| 074 L000000 | 2201    |
| 074 M000000 | 2913    |
| 074 N000000 | 1277827 |
| 074 O000000 | 5356    |
| 074 P000000 | 3220    |
| 074 Q000000 | 0       |
| 074 R010000 | 0       |
| 074 R020000 | 0       |
| 074 R030000 | 2066    |
| 074 R040000 | 9040    |
| 074 S000000 | 190117  |
| 074 T000000 | 1068028 |
| 074 U010000 | 28297   |
| 074 U020000 | 0       |
| 074 V010000 | 37.74   |

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form NSAR-B

|           |                      |   |
|-----------|----------------------|---|
| 074       | V020000              | 0.00  |
| 074       | W000000              | 0.0000  |
| 074       | X000000              | 2505  |
| 074       | Y000000              | 3833  |
| 075       | A000000              | 0   |
| 075       | B000000              | 1177761                                       |
| 076       | 000000               | 31.94   |
| 077       | A000000              | Y   |
| 077       | C000000              | Y   |
| 077       | Q010000              | Y   |
| 077       | Q020000              | Y   |
| 077       | Q030000              | N   |
| 078       | 000000               | N   |
| 080       | A000000              | VIGILANT INSURANCE COMPANY                    |
| 080       | C000000              | 2250  |
|           | PAGE                 | 6   |
| 081       | A000000              | N   |
| 081       | B000000              | 0   |
| 082       | A000000              | Y   |
| 082       | B000000              | 25  |
| 083       | A000000              | N   |
| 083       | B000000              | 0   |
| 084       | A000000              | N   |
| 084       | B000000              | 0   |
| 085       | A000000              | Y   |
| 085       | B000000              | N   |
| 086       | A010000              | 0   |
| 086       | A020000              | 0   |
| 086       | B010000              | 3014  |
| 086       | B020000              | 101675  |
| 086       | C010000              | 0   |
| 086       | C020000              | 0   |
| 086       | D010000              | 0   |
| 086       | D020000              | 0   |
| 086       | E010000              | 0   |
| 086       | E020000              | 0   |
| 086       | F010000              | 0   |
| 086       | F020000              | 0   |
| 087       | A010000              | COMMON STOCK \$1 PAR VALUE                    |
| 087       | A020000              | 368802104                                     |
| 087       | A030000              | GAM   |
| 087       | B010000              | 5.95% CUM PRFD STOCK, SERIES B, \$1 PAR VALUE |
| 087       | B020000              | 368802401                                     |
| 087       | B030000              | GAMPR B                                       |
| 088       | A000000              | N   |
| 088       | B000000              | N   |
| 088       | C000000              | N   |
| 088       | D000000              | Y   |
| SIGNATURE | EUGENE S. STARK      |   |
| TITLE     | V.P., ADMINISTRATION |   |