**GRAHAM CORP** 

Form 4 June 12, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, Expires: 2005

**OMB APPROVAL** 

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Condame Jennifer R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(City)

(First) (Middle)

(Zip)

GRAHAM CORP [GHM]

(Check all applicable)

C/O GRAHAM

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

Director below)

10% Owner X\_ Officer (give title Other (specify

CAO and Controller

CORPORATION, 20 FLORENCE **AVENUE** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

06/09/2017

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BATAVIA, NY 14020

		Tubic	Tuble 1 Tion Betty unite Securities required, Bisposed of, of Beneficiary 6 when						
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	saction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
						Following	(Instr. 4)	(Instr. 4)	
							Reported		
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	( ,		
Common	06/00/2017		C	200	Ъ	\$	21 102	D	
Stock	06/09/2017		S	200	D	20.4	21,192	D	
~~~									
Common	06/00/2017		C	5.77	Ъ	\$	20.625	Ъ	
Stock	06/09/2017		S	567	D	20.4	20,625	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 30.875 (1)					05/29/2012	05/29/2018	Common Stock	576 <u>(1)</u>	
Stock Option (Right to Buy)	\$ 44.5 <u>(1)</u>					07/31/2012	07/31/2018	Common Stock	1,000 (1)	
Stock Option (Right to Buy)	\$ 15.22 (1)					05/28/2012	05/28/2019	Common Stock	2,678 (1)	
Stock Option (Right to Buy)	\$ 15.25 (1)					05/20/2013	05/20/2020	Common Stock	1,609 (1)	
Stock Option (Right to Buy)	\$ 18.65 (1)					05/30/2015	05/30/2022	Common Stock	3,127 (1)	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Condame Jennifer R							
C/O GRAHAM CORPORATION			CAO and Controller				
20 FLORENCE AVENUE			CAO and Controller				
BATAVIA, NY 14020							

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## **Signatures**

/s/ Jennifer R. 06/12/2017 Condame

\*\*Signature of Reporting Date

Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was previously reported by Ms. Condame.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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