#### HOOKS STEPHEN V

Form 4

January 07, 2005

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB ....

**OMB APPROVAL** 

January 31,

0.5

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HOOKS STEPHEN V	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) t Issuer		
(Last) (First) (Middle)	CASTLE A M & CO [CAS]  3. Date of Earliest Transaction	(Check all applicable)		
3400 NORTH WOLF ROAD	(Month/Day/Year) 01/07/2005	Director 10% Owner Special Delow Other (special Delow)  Executive VP & COO		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)		
FRANKLIN PARK, IL 60131		_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative Securities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		anv	Code	(Instr. 3, 4 and 5)	Beneficially	Form: Direct	Beneficial

(Instr. 3)	any	Code	(Instr. 3,	4 and 5	5)	Beneficially	Form: Direct	Beneficial
	(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
						Following	Indirect (I)	(Instr. 4)
				(A)		Reported	(Instr. 4)	
				(A) or		Transaction(s)		
		Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common								
Stock						18,960	D	
Stock								
								$\mathbf{R}_{\mathbf{V}}$

Common Stock 12/16/2004 12/16/2004 J(1) V 0.13 D \$ 566.2766 I PAYSOP Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

8. Pri Deriv Secur (Instr

1. Title of Derivative Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 3 (
					4, and 5)				Amount	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or Number of Shares	
Stock Option (Right buy)	* 7 7 1					10/23/2004	10/23/2013	Common Stock	45,000	
Stock Option (Right buy)	X n 40					10/24/2003	10/24/2012	Common Stock	28,000	
Stock Option (Right buy)	* / U					10/04/2003	10/04/2012	Common Stock	22,275	
Stock Option (Right buy)	.5 10					07/27/2001	07/27/2010	Common Stock	25,000	
Stock Option (Right buy)	<b>&gt;</b>					07/26/2002	07/26/2011	Common Stock	25,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F-</b>	Director	10% Owner	Officer	Other	
HOOKS STEPHEN V					
3400 NORTH WOLF ROAD			Executive VP & COO		
FRANKLIN PARK IL 60131					

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### **Signatures**

Jerry M. Aufox 01/07/2005

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction exempt pursuant to Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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