

PEARSON PLC
Form 6-K
November 09, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER
THE SECURITIES EXCHANGE ACT OF 1934

For the month of November 2018

PEARSON plc
(Exact name of registrant as specified in its charter)

N/A

(Translation of registrant's name into English)

80 Strand
London, England WC2R 0RL
44-20-7010-2000
(Address of principal executive office)

Indicate by check mark whether the Registrant files or will file annual reports
under cover of Form 20-F or Form 40-F:

Form 20-F X

Form 40-F

Indicate by check mark whether the Registrant by furnishing the information
contained in this Form is also thereby furnishing the information to the
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934

Yes

No X

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)i

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attachedii: Pearson plc

1b. Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate)
 Non-UK issuer

2. Reason for the notification (please mark the appropriate box or boxes with an "X")

An acquisition or disposal of voting rights	X
An acquisition or disposal of financial instruments	X
An event changing the breakdown of voting rights	
Other (please specify)iii:	

3. Details of person subject to the notification obligationiv

Name	The Goldman Sachs Group, Inc. Corporation Trust Center, 1209 Orange Street, Wilmington DE 19801, USA
City and country of registered office (if applicable)	
4. Full name of shareholder(s) (if different from 3.)v	Goldman Sachs International Peterborough Court, 133 Fleet Street, London EC4A 2BB, UK
Name	Goldman Sachs & Co. LLC
City and country of registered office (if applicable)	200 West Street, New York, 10282, USA
Name	
City and country of registered office (if applicable)	
5. Date on which the threshold was crossed or reachedvi:	06/11/2018
6. Date on which issuer notified (DD/MM/YYYY):	08/11/2018
7. Total positions of person(s) subject to the notification obligation	

	% of voting rights attached to shares (total of 8. A)	% of voting rights through financial instruments of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B total)	Total number of voting rights of issuervii
Resulting situation on the date on which threshold was crossed or reached	1.35%	5.82%	7.17%	781,067,196
Position of previous notification (if applicable)	0.54%	5.98%	6.52%	

8. Notified details of the resulting situation on the date on which the threshold was crossed or reachedviii

A: Voting rights attached to shares

Class/type of shares ISIN code (if possible)	Number of voting rightsix		% of voting rights	
	Direct	Indirect	Direct	Indirect

Edgar Filing: PEARSON PLC - Form 6-K

	(Art 9 of Directive 2004/109/EC) (DTR5.1)	(Art 10 of Directive 2004/109/EC) (DTR5.2.1)	(Art 9 of Directive 2004/109/EC) (DTR5.1)	(Art 10 of Directive 2004/109/EC) (DTR5.2.1)
GB0006776081		10,175,016		1.30%
US7050151056		347,909		0.04%

SUBTOTAL 8. A 10,522,925 1.35%

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

Type of financial instrument	Expirationdate ^x	Exercise/Conversion Period ^{xi}	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
Securities Lending	Open		17,435,233	2.23%
Call Option	21-Dec-2018		400,000	0.05%

SUBTOTAL 8. B 1 17,835,233 2.28%

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Expirationdate ^x	Exercise/Conversion Period ^{xi}	Physical or cash settlement ^{xii}	Number of voting rights	% of voting rights
Refer to the Annexure					

SUBTOTAL 8.B.2 27,655,570 3.54%

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer^{xiii}
 Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity^{xiv} (please add additional X rows as necessary)

Name ^{xv}	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
The Goldman Sachs Group, Inc. Goldman Sachs (UK) L.L.C. Goldman Sachs Group UK Limited Goldman Sachs		3.52%	3.52%

International

The Goldman Sachs Group, Inc.
Goldman Sachs & Co. LLC 3.13%

The Goldman Sachs Group, Inc.
GSAM Holdings LLC
Goldman Sachs Asset Management,
L.P.
Goldman Sachs Asset Management
International Holdings L.L.C.
Goldman Sachs Asset Management
Co., Ltd.

The Goldman Sachs Group, Inc.
GSAM Holdings LLC
Goldman Sachs Asset Management,
L.P.

10. In case of proxy voting, please identify:
Name of the proxy holder N/A
The number and % of voting rights held N/A
The date until which the voting rights will be held N/A

11. Additional information^{xvi}
Please note, total amount of voting rights have been rounded to 2 decimal places therefore there is a possibility of a rounding error.

General email contact:
gs-regops-emea-position-enquiries@gs.com

Place of completion London, UK
Date of completion 08/11/2018

Natalie White
Deputy Company Secretary
Pearson plc

9 November 2018

Annexure

Edgar Filing: PEARSON PLC - Form 6-K

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Expiration date	Exercise/ Conversion period	Physical or cash settlement	Number of voting rights	% of voting rights
CFD	10-Dec-2025		Cash	3,850,190	0.49%
CFD	23-Sep-2020		Cash	2,464,000	0.32%
CFD	10-Apr-2028		Cash	2,067,467	0.26%
Swap	7-May-2019		Cash	1,876,758	0.24%
CFD	20-Oct-2027		Cash	1,505,835	0.19%
CFD	15-Sep-2028		Cash	1,470,000	0.19%
Swap	28-Jul-2021		Cash	1,096,212	0.14%
Swap	28-Jul-2021		Cash	757,148	0.10%
CFD	9-Sep-2021		Cash	711,542	0.09%
Swap	21-May-2019		Cash	622,194	0.08%
Swap	12-Oct-2023		Cash	397,330	0.05%
CFD	20-Oct-2027		Cash	384,473	0.05%
CFD	20-Oct-2027		Cash	384,147	0.05%
CFD	27-Oct-2027		Cash	368,492	0.05%
Swap	7-May-2019		Cash	360,243	0.05%
CFD	21-Jun-2028		Cash	343,327	0.04%
Call Option	9-Jan-2019		Cash	332,146	0.04%
CFD	15-Jul-2021		Cash	328,703	0.04%
CFD	16-Jun-2028		Cash	328,703	0.04%
Swap	7-May-2019		Cash	274,507	0.04%
CFD	17-Jul-2020		Cash	272,685	0.03%
CFD	24-Mar-2028		Cash	259,000	0.03%
CFD	19-May-2027		Cash	253,415	0.03%
Swap	3-Feb-2023		Cash	250,801	0.03%
CFD	17-Jul-2020		Cash	247,317	0.03%
CFD	10-Apr-2028		Cash	246,251	0.03%
Call Option	15-Jan-2019		Cash	242,411	0.03%
CFD	27-Sep-2028		Cash	217,594	0.03%
CFD	9-Sep-2021		Cash	208,879	0.03%
CFD	23-Aug-2028		Cash	204,000	0.03%
Swap	28-Jul-2021		Cash	203,317	0.03%
Swap	2-Feb-2023		Cash	198,606	0.03%
Call Option	11-Jan-2019		Cash	170,856	0.02%
Swap	28-Jul-2021		Cash	170,231	0.02%
CFD	12-Jul-2023		Cash	158,153	0.02%
CFD	12-Jun-2028		Cash	156,757	0.02%
Swap	28-Jul-2021		Cash	131,070	0.02%
Swap	7-May-2019		Cash	128,958	0.02%
CFD	25-Apr-2019		Cash	124,313	0.02%
CFD	25-Feb-2027		Cash	122,707	0.02%
Swap	17-Sep-2020		Cash	120,551	0.02%
Swap	5-Sep-2019		Cash	120,300	0.02%
Swap	3-Feb-2023		Cash	117,797	0.02%
Swap	13-Oct-2023		Cash	113,548	0.01%
Swap	22-Mar-2019		Cash	113,300	0.01%
CFD	17-Jul-2028		Cash	103,200	0.01%

Edgar Filing: PEARSON PLC - Form 6-K

Swap	2-Feb-2023	Cash	93,281	0.01%
CFD	1-Mar-2028	Cash	92,210	0.01%
CFD	10-Mar-2028	Cash	89,128	0.01%
Swap	14-Jun-2023	Cash	86,565	0.01%
Swap	12-Jul-2023	Cash	84,590	0.01%
CFD	26-Nov-2021	Cash	80,948	0.01%
Swap	7-May-2019	Cash	74,747	0.01%
CFD	11-Aug-2023	Cash	74,396	0.01%
Swap	7-Jul-2023	Cash	73,094	0.01%
Swap	5-Sep-2019	Cash	72,000	0.01%
CFD	25-Apr-2019	Cash	69,385	0.01%
Swap	3-Feb-2023	Cash	68,758	0.01%
CFD	5-Nov-2027	Cash	64,825	0.01%
CFD	10-Jul-2019	Cash	63,409	0.01%
Swap	7-May-2019	Cash	59,699	0.01%
CFD	11-Oct-2028	Cash	59,383	0.01%
Swap	15-Jun-2023	Cash	57,709	0.01%
CFD	1-Mar-2028	Cash	56,462	0.01%
Swap	5-Sep-2019	Cash	55,700	0.01%
CFD	17-Jul-2028	Cash	55,000	0.01%
Swap	2-Feb-2023	Cash	54,448	0.01%
CFD	14-Jan-2021	Cash	51,561	0.01%
CFD	27-Feb-2023	Cash	51,426	0.01%
CFD	25-Apr-2019	Cash	50,000	0.01%
Swap	1-Feb-2023	Cash	43,214	0.01%
CFD	14-Jan-2021	Cash	42,705	0.01%
Swap	21-Nov-2018	Cash	42,200	0.01%
CFD	25-Apr-2019	Cash	41,002	0.01%
CFD	20-Oct-2021	Cash	40,600	0.01%
Swap	14-Jun-2023	Cash	40,052	0.01%
CFD	27-Feb-2023	Cash	39,396	0.01%
CFD	17-Jul-2023	Cash	39,200	0.01%
Swap	12-Jul-2023	Cash	37,897	0.005%
CFD	10-Mar-2028	Cash	34,758	0.004%
CFD	21-Jul-2028	Cash	34,000	0.004%
Swap	7-Jul-2023	Cash	32,746	0.004%
CFD	14-Jul-2023	Cash	30,500	0.004%
Swap	12-Jun-2023	Cash	30,225	0.004%
CFD	25-Feb-2027	Cash	29,702	0.004%
CFD	25-Apr-2019	Cash	29,469	0.004%
CFD	30-Oct-2028	Cash	28,773	0.004%
Swap	15-Jun-2023	Cash	26,702	0.003%
CFD	1-Nov-2028	Cash	26,197	0.003%
CFD	13-Sep-2028	Cash	26,000	0.003%
CFD	6-Jul-2023	Cash	25,488	0.003%
CFD	1-Nov-2028	Cash	25,474	0.003%
CFD	25-Apr-2019	Cash	24,541	0.003%
CFD	26-Nov-2021	Cash	24,179	0.003%
Swap	14-Jun-2023	Cash	23,383	0.003%
CFD	21-Jan-2028	Cash	23,330	0.003%
Swap	12-Jul-2023	Cash	22,173	0.003%

Edgar Filing: PEARSON PLC - Form 6-K

CFD	17-Jul-2023	Cash	20,900	0.003%
Swap	1-Feb-2023	Cash	20,297	0.003%
CFD	27-Feb-2023	Cash	19,800	0.003%
Swap	7-Jul-2023	Cash	19,160	0.002%
Swap	5-Sep-2019	Cash	18,900	0.002%
Swap	7-May-2019	Cash	16,302	0.002%
Swap	28-Mar-2019	Cash	16,200	0.002%
Swap	22-May-2020	Cash	16,129	0.002%
Swap	30-Apr-2019	Cash	15,800	0.002%
Swap	22-May-2020	Cash	15,796	0.002%
Swap	15-Jun-2023	Cash	15,589	0.002%
CFD	2-Nov-2028	Cash	15,311	0.002%
CFD	21-Jul-2028	Cash	15,000	0.002%
CFD	18-Sep-2019	Cash	15,000	0.002%
CFD	19-Oct-2028	Cash	15,000	0.002%
Swap	28-Nov-2018	Cash	14,300	0.002%
Swap	17-Sep-2020	Cash	14,153	0.002%
Swap	12-Jun-2023	Cash	13,985	0.002%
Swap	3-Nov-2021	Cash	12,609	0.002%
Swap	22-May-2020	Cash	12,597	0.002%
CFD	25-Sep-2028	Cash	12,000	0.002%
Swap	1-Feb-2023	Cash	11,847	0.002%
CFD	26-Jun-2019	Cash	11,475	0.001%
Swap	29-Nov-2018	Cash	10,900	0.001%
CFD	27-Feb-2023	Cash	10,829	0.001%
CFD	28-Oct-2020	Cash	10,812	0.001%
Swap	5-Sep-2019	Cash	10,800	0.001%
Swap	6-Feb-2019	Cash	10,500	0.001%
Swap	12-Oct-2023	Cash	8,622	0.001%
Swap	12-Jun-2023	Cash	8,165	0.001%
Swap	22-May-2020	Cash	7,925	0.001%
CFD	25-Apr-2019	Cash	7,650	0.001%
CFD	21-Oct-2021	Cash	7,596	0.001%
Swap	22-May-2020	Cash	7,437	0.001%
Call Option	19-Nov-2018	Cash	7,349	0.001%
Swap	22-May-2020	Cash	6,834	0.001%
CFD	27-Jan-2028	Cash	6,390	0.001%
CFD	25-Oct-2028	Cash	6,257	0.001%
Swap	22-May-2020	Cash	6,153	0.001%
CFD	15-Dec-2027	Cash	5,000	0.001%
CFD	8-Mar-2028	Cash	5,000	0.001%
CFD	12-May-2028	Cash	5,000	0.001%
CFD	3-Nov-2028	Cash	4,984	0.001%
Swap	22-May-2020	Cash	4,774	0.001%
CFD	27-Oct-2028	Cash	4,511	0.001%
Swap	22-May-2020	Cash	3,941	0.001%
Swap	5-Sep-2019	Cash	3,900	0.0005%
Swap	3-Nov-2021	Cash	3,634	0.0005%
CFD	25-Apr-2019	Cash	3,060	0.0004%
Swap	22-May-2020	Cash	3,050	0.0004%
CFD	2-Nov-2028	Cash	2,900	0.0004%

Edgar Filing: PEARSON PLC - Form 6-K

Swap	22-May-2020	Cash		2,857	0.0004%
Swap	3-Nov-2021	Cash		2,556	0.0003%
CFD	29-Sep-2028	Cash		2,500	0.0003%
CFD	28-Oct-2020	Cash		2,350	0.0003%
CFD	28-Oct-2020	Cash		2,350	0.0003%
Swap	3-Nov-2021	Cash		2,214	0.0003%
Call Option	20-Nov-2018	Cash		1,931	0.0002%
Call Option	21-Nov-2018	Cash		1,860	0.0002%
Swap	22-May-2020	Cash		1,768	0.0002%
CFD	27-Jan-2028	Cash		1,634	0.0002%
CFD	26-Oct-2028	Cash		1,547	0.0002%
CFD	27-Jan-2028	Cash		1,452	0.0002%
CFD	27-Apr-2020	Cash		1,445	0.0002%
CFD	18-Sep-2028	Cash		907	0.0001%
CFD	27-Oct-2028	Cash		700	0.0001%
CFD	27-Jan-2028	Cash		683	0.0001%
Swap	22-May-2020	Cash		461	0.0001%
Swap	22-May-2020	Cash		419	0.0001%
CFD	8-Nov-2028	Cash		400	0.0001%
Swap	22-May-2020	Cash		329	0.00004%
Swap	22-May-2020	Cash		323	0.00004%
CFD	27-Sep-2028	Cash		200	0.00003%
CFD	27-Sep-2028	Cash		123	0.00002%
CFD	5-Oct-2028	Cash		122	0.00002%
CFD	27-Sep-2028	Cash	90		0.00001%
CFD	6-Oct-2028	Cash	82		0.00001%
CFD	2-Oct-2028	Cash	61		0.00001%
CFD	23-Oct-2028	Cash	19		0.000002%
Total Number of voting rights and percentage of voting rights				27,655,570	3.54%

Additional information: Please note, total amount of voting rights have been rounded to 2 decimal places therefore there is a possibility of a rounding error.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

PEARSON plc

Date: 09 November 2018

By: /s/ NATALIE WHITE

Natalie White
Deputy Company Secretary