Edgar Filing: Spark Energy, Inc. - Form 4

Spark Energy, Inc.Form 4May 20, 2016FORM 4Check this boxIf no longersubject toSection 16.Form 4 orForm 5obligationsMay continue.See InstructionState Instruction							OMB Number: Expires: Estimated burden hou response	Number:3235-0287Expires:January 31, 2005Estimated average burden hours per response0.5		
1(b).										
 (Print or Type Responses) 1. Name and Address of Reporting Person [*] Hartwick Kenneth Michael Symbol Spark Er 			Ticker or '		ıg	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (I	Middle) 3. Date of	3. Date of Earliest Transaction			(Check all applicable)					
12140 WICKCHESTER LA SUITE 100	nth/Day/Year) 8/2016				_X_Director10% Owner Officer (give titleOther (specify below) below)					
			endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City) (State)	(Zip) Tab	le I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 8)	TransactionAcquired (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Class A Common Stock						7,660	D			
Class A Common 05/18/2016 Stock		М	5,867 (1)	А	\$0	13,527	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(2)</u>	05/18/2016		М	5,867	<u>(1)</u>	(1)	Class A Common Stock	5,867	\$ (

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hartwick Kenneth Michael 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	Х						
Signatures							
/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact		05/20/2016					
<u>**</u> Signature of Reporting Person			Date				
Evaluation of Decreases	. .						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares of Class A Common Stock reported represent shares issued as a result of vesting of 5,865 Restricted Stock Units on May 18, (1) 2016.
- (2) Restricted Stock Units convert into Class A Common Stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.