Spark Energy, Inc. Form 4 May 20, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

of

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1 Name and Address of Reporting Person \*

		duress of Reporting	Symbo	I	d Ticker or Trading	Issuer	Reporting Fers	on(s) to	
(IA) (Final) (MIJIIA)			•	<b>0.</b>	ic. [SPKE]	(Check all applicable)			
	(Last)	(First) (	Middle) 3. Date	of Earliest T	ransaction				
				/Day/Year)		Director	10%		
	12140 WIC	KCHESTER LA	NE 05/18	2016		_X_ Officer (give below)	e title Othe below)	r (specify	
	SUITE 100						dent General Co	ounsel	
(Street)				nendment, D	ate Original	6. Individual or Joint/Group Filing(Check			
			Filed(M	Ionth/Day/Yea	r)	Applicable Line) _X_ Form filed by One Reporting Person			
	(Street)  HOUSTON, TX 77079  (City) (State) (Zip)  1.Title of 2. Transaction Date Security (Month/Day/Year) Execution Control (Instr. 3)				Form filed by More than One Reporting Person				
	(City)	(State)	(Zip) Ta	ble I - Non-l	Derivative Securities Acc	quired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of	2. Transaction Date	e 2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature o	
	Security	(Month/Day/Year)	Execution Date, it	Transaction	or(A) or Disposed of (D)	Securities	Ownership	Indirect	
	(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form: Direct	Beneficial	
			(Month/Day/Year	(Instr. 8)		Owned	(D) or	Ownership	
						E 11 '	T 1' (T)	(T ( 1)	

1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acquired action(A) or Disposed of (D)		5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)	(Monda Day) Teal)	any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3,	4 and (A) or	` ′	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	
Class A Common Stock							9,326	D	
Class A Common Stock	05/18/2016		M	2,715 (1)	A	\$ 0	12,041	D	
Class A Common Stock	05/18/2016		F	745 (2)	D	\$ 29.915	11,296	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	05/18/2016		M		2,715	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	2,715
Restricted Stock Unit	<u>(4)</u>	05/18/2016		A	10,000		(5)	(5)	Class A Common Stock	10,000 (4)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Melman Gil 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079

Vice President General Counsel

## **Signatures**

/s/ Gil Melman 05/20/2016

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of Class A Common Stock reported represent shares issued as a result of vesting of 2.715 Restricted Stock Units ("RSU") on May 18, 2016.
- Payment for tax liability through the withholding of shares of Spark Energy, Inc. Class A Common Stock, par value \$0.01 per share, vesting on May 18, 2016 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of common stock on May 18, 2016, pursuant to the Spark Energy, Inc. Long Term Incentive Plan.

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- Each RSU represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in footnote 5.
- (5) The RSUs will vest ratably over four years commencing May 18, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.