Edgar Filing: Maselli Alessandro - Form 4

Maselli Aless	sandro										
Form 4											
July 25, 2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box if no longer									Expires:	January 31, 2005	
subject to STATEMENT OF CHAN				GES IN BENEFICIAL OW				NERSHIP OF	Estimated		
Section 16. S				SECUR	ITIES			burden hours per			
Form 4 or Form 5			C 1/	$(\cdot) = f \cdot i \cdot$	G	F .	. 1	· · · · · · · · · · · · · · · · · · ·	response	. 0.5	
obligation								ge Act of 1934, of 1935 or Sectio	n		
may conti	nue.		of the In	•	•				011		
See Instru 1(b).	ction	50(II)	of the m	vestillent	Compan	y Aci	. 01 19	40			
1(0).											
(Print or Type R	esponses)										
						5. Relationship of	f Reporting Per	rson(s) to			
Maselli Ales	sandro		Symbol	bol				Issuer			
Cat				, Inc. [CT	LT]			(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	te of Earliest Transaction							
				onth/Day/Year)				Director 10% Owner			
C/O CATALENT, INC., 14 07/2 SCHOOLHOUSE ROAD			07/23/20	07/23/2018				XOfficer (give titleOther (specify below) below)			
SCHOOLH	JUSE KUAD							SVP, C	Global Operation	ons	
(Street) 4			4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed				led(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
COMEDCET	NIL 00072								One Reporting Po More than One R		
SOMERSET	, NJ 08873							Person		1 0	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Da				3. 4. Securities				6. Ownership		
Security (Instr. 3)	(Month/Day/Year	r) Execution any	ion Date, if TransactionAcquired (A) o				Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(1130.3)		-	CodeDisposed of (D)/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)					•	/	Ownership	
								Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or	D.	(Instr. 3 and 4)			
Common				Code V	Amount 2,052	(D)	Price				
Stock	07/23/2018			А	(1)	А	\$0	15,655 <u>(2)</u>	D		
Stook											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Maselli Alessandro - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to purchase Common Stock	\$ 43.88	07/23/2018		A	10,523 (<u>3)</u>	<u>(4)</u>	07/23/2028	Common Stock	10,523

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Maselli Alessandro C/O CATALENT, INC. 14 SCHOOLHOUSE ROAD SOMERSET, NJ 08873			SVP, Global Operations					
Signatures								
/s/ Jose Ibietatorremendia, attorney-in-fact		07/25/2018						
**Signature of Reporting Person		D	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock received by the reporting person pursuant to the Issuer's annual grant to executive officers and other personnel under its long-term incentive plan. Subject to forfeiture until vested on third anniversary of grant.
- (2) Includes restricted stock units.
- (3) Received by the reporting person pursuant to the Issuer's annual grant to executive officers and other personnel under its long-term incentive plan.
- (4) The options vest and become exercisable in four equal annual installments beginning on July 23, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.