

Bankwell Financial Group, Inc.
Form 8-K
May 20, 2014

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF
THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): May 20, 2014

Bankwell Financial Group, Inc.

(Exact name of registrant as specified in its charter)

| | | |
|---|--------------------------|-----------------------------------|
| Connecticut | 001-36448 | 20-8251355 |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer Identification No.) |

220 Elm Street

New Canaan, Connecticut 06840

(Address and Telephone Number)

N/A

Edgar Filing: Bankwell Financial Group, Inc. - Form 8-K

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

TABLE OF CONTENTS

| | |
|----------------------|--|
| <u>Item 8.01</u> | <u>Other Events</u> |
| <u>Item 9.01</u> | <u>Financial Statements and Exhibits</u> |
| <u>SIGNATURES</u> | |
| <u>EXHIBIT INDEX</u> | |
| <u>EX-99.1</u> | <u>Press Release</u> |

Table of Contents

Item 8.01 Other Events.

On May 20, 2014, Bankwell Financial Group, Inc. (the “Company”), issued a press release announcing the closing of its previously announced initial public offering of its common stock. A copy of the May 20, 2014 press release is included as Exhibit 99.1 hereto.

The information in this section, including the information contained in the press release included as Exhibit 99.1 hereto, is being furnished pursuant to this Item 8.01 and shall not be deemed to be “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section. In addition, this information shall not be deemed to be incorporated by reference into any of the Registrant’s filings with the Securities and Exchange Commission, except as shall be expressly set forth by specific reference in any such filing.

Item 9.01 Financial Statements and Exhibits

(d)

Exhibits.

Exhibit Number Description

99.1 Press Release dated May 20, 2014.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**BANKWELL FINANCIAL
GROUP, INC.**
Registrant

May 20, 2014 By: /s/ Ernest J. Verrico, Sr.
Ernest J. Verrico, Sr.
Executive Vice President
and Chief Financial Officer

Table of Contents

EXHIBIT INDEX

Exhibit Number Description

99.1 Press Release dated May 20, 2014.

5