

Fogarty Maria V.
Form 4
April 17, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Fogarty Maria V.

2. Issuer Name and Ticker or Trading Symbol
SPIRE INC [SR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
700 MARKET STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/08/2018

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

ST. LOUIS, MO 63101
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
--	------------------------------------	--------------------------------------	--	--------------------------------	--	--	---

Edgar Filing: Fogarty Maria V. - Form 4

Phantom Stock	Derivative Security		Disposed of (D)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			(A)	(D)				
Phantom Stock	<u>(1)</u>	02/08/2018	I	1,078.04	<u>(1)</u>	<u>(1)</u>	Common Stock	1,078.04
Phantom Stock	<u>(2)</u>	04/03/2018	A	8.37	<u>(2)</u>	<u>(2)</u>	Common Stock	8.37
Phantom Stock	<u>(3)</u>	06/29/2018	A	102.26	<u>(3)</u>	<u>(3)</u>	Common Stock	102.26
Phantom Stock	<u>(2)</u>	07/03/2018	A	8.45	<u>(2)</u>	<u>(2)</u>	Common Stock	8.45
Phantom Stock	<u>(3)</u>	09/28/2018	A	98.23	<u>(3)</u>	<u>(3)</u>	Common Stock	98.23
Phantom Stock	<u>(2)</u>	10/02/2018	A	9.25	<u>(2)</u>	<u>(2)</u>	Common Stock	9.25
Phantom Stock	<u>(3)</u>	12/14/2018	A	91.09	<u>(3)</u>	<u>(3)</u>	Common Stock	91.09
Phantom Stock	<u>(2)</u>	01/03/2019	A	10.62	<u>(2)</u>	<u>(2)</u>	Common Stock	10.62
Phantom Stock	<u>(4)</u>	02/01/2019	A	19.06	<u>(4)</u>	<u>(4)</u>	Common Stock	19.06
Phantom Stock	<u>(5)</u>	02/01/2019	A	19.06	<u>(5)</u>	<u>(5)</u>	Common Stock	19.06
Phantom Stock	<u>(6)</u>	02/01/2019	A	19.06	<u>(6)</u>	<u>(6)</u>	Common Stock	19.06
Phantom Stock	<u>(7)</u>	02/01/2019	A	19.06	<u>(7)</u>	<u>(7)</u>	Common Stock	19.06
Phantom Stock	<u>(8)</u>	02/01/2019	A	19.06	<u>(8)</u>	<u>(8)</u>	Common Stock	19.06
Phantom Stock	<u>(4)</u>	03/29/2019	A	27.85	<u>(4)</u>	<u>(4)</u>	Common Stock	27.85
Phantom Stock	<u>(5)</u>	03/29/2019	A	27.85	<u>(5)</u>	<u>(5)</u>	Common Stock	27.85
Phantom Stock	<u>(6)</u>	03/29/2019	A	27.85	<u>(6)</u>	<u>(6)</u>	Common Stock	27.85
Phantom Stock	<u>(7)</u>	03/29/2019	A	27.85	<u>(7)</u>	<u>(7)</u>	Common Stock	27.85
Phantom Stock	<u>(8)</u>	03/29/2019	A	27.85	<u>(8)</u>	<u>(8)</u>	Common Stock	27.85

Edgar Filing: Fogarty Maria V. - Form 4

Phantom Stock	<u>(9)</u>	04/02/2019	A	0.14	<u>(9)</u>	<u>(9)</u>	Common Stock	0.14
Phantom Stock	<u>(10)</u>	04/02/2019	A	0.14	<u>(10)</u>	<u>(10)</u>	Common Stock	0.14
Phantom Stock	<u>(11)</u>	04/02/2019	A	0.14	<u>(11)</u>	<u>(11)</u>	Common Stock	0.14
Phantom Stock	<u>(12)</u>	04/02/2019	A	0.14	<u>(12)</u>	<u>(12)</u>	Common Stock	0.14
Phantom Stock	<u>(13)</u>	04/02/2019	A	0.14	<u>(13)</u>	<u>(13)</u>	Common Stock	0.14
Phantom Stock	<u>(2)</u>	04/02/2019	A	10.27	<u>(2)</u>	<u>(2)</u>	Common Stock	10.27

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Fogarty Maria V.
700 MARKET STREET
ST. LOUIS, MO 63101

Signatures

/s/ Ellen Theroff, Attorney-in-Fact for Fogarty Maria V.

04/17/2019

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents phantom stock acquired by the reporting person pursuant to a transfer from another investment within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.

(10) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

(11) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

(12) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

(13) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the

Edgar Filing: Fogarty Maria V. - Form 4

economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

- (2) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (3) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (4) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (5) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (6) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (7) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (8) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (9) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.