

FUNKE JAMES H
Form 3/A
April 13, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â FUNKE JAMES H | | (Month/Day/Year) | TETRA TECHNOLOGIES INC [TTI] | |
| (Last) | (First) | (Middle) | 05/05/2017 | |
| 24955 INTERSTATE 45 N | | | 4. Relationship of Reporting Person(s) to Issuer | |
| (Street) | | | (Check all applicable) | |
| | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) | |
| THE WOODLANDS,Â TXÂ 77380 | | | VP | |
| (City) | (State) | (Zip) | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| | | | 05/05/2017 | |
| | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|------------------------------------|--|---|--|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | |
|---|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | Date Exercisable Expiration Date | Title Amount or Number of Shares | | | |

| | | | | | | | |
|---|-------------------|------------|--------------|--------|----------|---|---|
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽²⁾ | 05/20/2018 | Common Stock | 35,000 | \$ 21.1 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽³⁾ | 05/20/2021 | Common Stock | 9,231 | \$ 13 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁴⁾ | 05/20/2024 | Common Stock | 9,317 | \$ 11.16 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁵⁾ | 05/20/2023 | Common Stock | 9,711 | \$ 10.3 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁶⁾ | 05/20/2020 | Common Stock | 9,800 | \$ 10.2 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁷⁾ | 05/04/2025 | Common Stock | 23,820 | \$ 7.15 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁸⁾ | 05/02/2026 | Common Stock | 24,057 | \$ 7.14 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽⁹⁾ | 05/20/2022 | Common Stock | 13,175 | \$ 6.81 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽¹⁰⁾ | 02/22/2027 | Common Stock | 44,118 | \$ 4.51 | D | Â |
| Stock Options (Right to buy) ⁽¹⁾ | Â ⁽¹¹⁾ | 02/12/2019 | Common Stock | 35,000 | \$ 3.78 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| FUNKE JAMES H 24955 INTERSTATE 45 N THE WOODLANDS, TX 77380 | Â | Â | Â VP | Â |

Signatures

Kimberly M. O'Brien, attorney
in fact

04/13/2018

 Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is being filed to correct the Derivative holdings of the reporting person. These holdings were inadvertently omitted from the original Form 3, filed May 5, 2017.
- This stock option award was granted pursuant to the TETRA Technologies, Inc. Second Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on February 22, 2018, and the remaining stock options will vest in monthly installments thereafter until February 22, 2020.
- (10) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Equity Incentive Compensation Plan. Thirty-three percent (33%) of the award vested on February 12, 2010, and the remaining stock options vested in

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monthly installments thereafter until February 12, 2012.

- (2) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Equity Incentive Compensation Plan. Twenty percent (20%) of the award vested on May 20, 2009, and the remaining stock options vested in monthly installments thereafter until May 20, 2013.
- (3) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2012, and the remaining stock options vested in monthly installments thereafter until May 20, 2014.
- (4) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2015, and the remaining stock options vested in monthly installments thereafter until May 20, 2017.
- (5) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Amended and Restated Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2014, and the remaining stock options vested in monthly installments thereafter until May 20, 2016.
- (6) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2007 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2011, and the remaining stock options vested in monthly installments thereafter until May 20, 2013.
- (7) This stock option award was granted pursuant to the TETRA Technologies, Inc. Second Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 4, 2016, and the remaining stock options will vest in monthly installments thereafter until May 4, 2018.
- (8) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Long Term Incentive Compensation Plan. One-third of the award vested on May 2, 2017, and the remaining stock options will vest in monthly installments thereafter until May 2, 2019.
- (9) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2013, and the remaining stock options vested in monthly installments thereafter until May 20, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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