Harvest Capital Credit Corp Form 4

# December 21, 2015

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

Symbol

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

2005 Estimated average burden hours per response... 0.5

10% Owner

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

\_X\_\_ Director

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

(Month/Day/Year)

Harvest Capital Credit Corp [HCAP]

1(b).

Form 5

(Print or Type Responses)

Jolson Joseph A

(Last)

1. Name and Address of Reporting Person \*

(First)

C/O HARVEST CAPITAL CORPORATION, 767 EAS THIRD AVENUE, 25TH F	CREDIT 12/17	/2015	,		i	Officer (give below)	title Ot below)	ther (specify		
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK, NY 10017						Form filed by More than One Reporting Person				
(City) (State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Month/Day/Year) (Instr. 3)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	owr Dispos (Instr. 3, 4	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock				,		10,000	I	By Daughter		
Common Stock						20,000	D			
Common Stock						35,768	I	By Foundation (1)		
Common Stock 12/17/2015		P	12,407 (2)	A	\$ 11.3652 (3)	359,188	I	By Jolson 1991 Trust		

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By Jolson Common 11.1724 379,188 12/18/2015 1991 Trust Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Jolson Joseph A C/O HARVEST CAPITAL CREDIT CORPORATION 767 EAST THIRD AVENUE, 25TH FLOOR NEW YORK, NY 10017

X

### **Signatures**

/s/ CRAIG KITCHIN ON BEHALF OF JOSEPH A. **JOLSON** 

12/21/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects shares of common stock held by The Jolson Family Foundation, of which Mr. Jolson is President and Treasurer. Mr. Jolson **(1)** disclaims beneficial ownership of the shares.
- (2) Reflects the acquisition of common shares pursuant to the Rule 10b5-1 purchase plan of the Reporting Person.

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- The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from 11.05 to 11.50. The
- (3) Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.
- (4) Reflects shares of common stock held by the Joseph A. Jolson 1991 Trust, of which Mr. Jolson is the trustee.
  - The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from 11.00 to 11.39. The
- (5) Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.