Wozniak Kurt F Form 3 August 02, 2012

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COLUMBUS MCKINNON CORP [CMCO] Wozniak Kurt F (Month/Day/Year) 07/23/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 140 JOHN JAMES AUDUBON (Check all applicable) **PARKWAY** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP - Latin America Person AMHERST, ÂNYÂ 14228 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 7,270 (1) D Common Stock  $1,306.66 \frac{(2)}{}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Ownership Form of (Instr. 4) Price of Derivative (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	05/19/2011	05/18/2018	Common Stock	356 (3)	\$ 28.45	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2010	05/17/2019	Common Stock	2,246 (4)	\$ 13.27	D	Â
Non-Qualified Stock Options (Right to Buy)	05/17/2011	05/16/2020	Common Stock	1,777 (5)	\$ 18.24	D	Â
Non-Qualified Stock Options (Right to Buy)	05/23/2012	05/22/2021	Common Stock	1,809 (6)	\$ 19.5	D	Â
Non-Qualified Stock Options (Right to Buy)	05/21/2013	05/20/2022	Common Stock	4,446 <u>(7)</u>	\$ 13.43	D	Â

### **Reporting Owners**

Reporting Owner Name / Address		Relationships					
toporting of their runter reduces	Director	10% Owner	Officer	Other			
Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	Â	Â	VP - Latin America	Â			

### **Signatures**

Kurt F. Wozniak 08/02/2012

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 4431 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 666 shares become fully vested and non-forfeitable 50% per year for two years beginning 10/2/2012; 62 shares become fully vested and non-forfeitable 5/19/2013; 299
- shares become fully vested and non-forfeitable 5/18/2013; 468 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2013; 719 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, and the remaining 2,217 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Exercisable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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