Edgar Filing: Capital Financial Holdings, Inc - Form 4

Capital Financial Holdings, Inc Form 4 December 09, 2014

December 09	9, 2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check th if no long	ar.								Expires:	January 31,	
subject to	T STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									2005 average	
Section 1 Form 4 o	Section 16.								burden hou		
Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							e Act of 1934,	response	. 0.5	
obligation may cont					-		-	1935 or Sectio	n		
See Instruction 30(h) of the Investment Company Act of 1940											
1(b).											
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Dihle Gordon D.			2. Issuer Name and Ticker or Trading Symbol Capital Financial Holdings, Inc					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	Aiddle)		f Earliest Ti	ansaction			X Director Officer (give	X10	% Owner her (specify	
37990 COM	IANCHE CREEK	K ROAD	(Month/I 06/23/2	Day/Year) 014				below)	below)	ici (speeny	
		endment, Da	-	1		6. Individual or Joint/Group Filing(Check					
Filed(Month/Day/Year) Applicable Line) _X_Form filed by O								One Reporting Person			
KIOWA, C	O 80117							Form filed by M Person	More than One R	eporting	
(City)	(State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of	2. Transaction Date			3.4. Securities AcquiredifTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)				5. Amount of Securities	6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution any					Beneficially	Ownership Form: Direct	Indirect Beneficial		
		(Month/D	ay/Year)	/Year) (Instr. 8)				Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)	
						(A)		Reported	(Instr. 4)	(1104111)	
						or	D.:	Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D)	Price			Held in the	
										name of	
										Gordon	
Common							\$			Dihle Charles	
Stock	06/23/2014			Р	1	А	,845	97	Ι	Schwab &	
										Co Inc	
										Cust IRA Rollover	
										Account	
Common	12/04/2014			Р	2	А	\$	351	Ι	Held in the	
Stock							1,400			name of	

		Edgar Fi	ling: Capital I	Financial	Holdings,	Inc - Form	ı 4					
								Tru whi Rep Per	attel st of			
Common Stock	12/09/20)14	Р	16	A \$ 1,2	50 25	D					
Reminder: R	deport on a sep	arate line for each cla	iss of securities be	Pers info requ	sons who re rmation cor lired to resp lays a curre	or indirectly. espond to th ntained in th pond unless ently valid C	e collection is form are the form	not (9	474 -02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, any (Month/Day/Yes	Code	5. ctionNumber of 8) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Amount or Title Number of Shares				
Repo	rting O	wners										
Repor	ting Owner N	ame / Address		Relationship	s Officer Oth	er						
		CREEK ROAD	Х	X								
Signa	tures											

Signatures

Gordon Dihle

12/09/2014

<u>**</u>Signature of Reporting Person

Date

Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.