

Ryu Marcus  
Form 4  
November 05, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ryu Marcus

(Last) (First) (Middle)

1001 E. HILLSDALE BLVD.,  
SUITE 800

(Street)

FOSTER CITY, CA 94404

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Guidewire Software, Inc. [GWRE]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/01/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
CEO and President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 11/01/2018                              |                                                             | M                                    | 20,000                                                                  | A \$ 3.92                                                                                                          | 20,276                                                                  | D                                                                 |
| Common<br>Stock                       | 11/01/2018                              |                                                             | S <sup>(1)</sup>                     | 3,100                                                                   | D \$ 89.3387                                                                                                       | 17,176                                                                  | D                                                                 |
| Common<br>Stock                       | 11/01/2018                              |                                                             | S <sup>(1)</sup>                     | 1,900                                                                   | D \$ 88.5355                                                                                                       | 15,276                                                                  | D                                                                 |
| Common<br>Stock                       | 11/01/2018                              |                                                             | S <sup>(1)</sup>                     | 1,695                                                                   | D \$ 88.45                                                                                                         | 13,581                                                                  | D                                                                 |
|                                       | 11/01/2018                              |                                                             | S <sup>(1)</sup>                     | 3,305                                                                   | D                                                                                                                  | 10,276                                                                  | D                                                                 |

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|              |            |      |       |   |                      |       |   |
|--------------|------------|------|-------|---|----------------------|-------|---|
| Common Stock |            |      |       |   | \$<br>89.3234<br>(5) |       |   |
| Common Stock | 11/01/2018 | S(1) | 1,550 | D | \$<br>88.4448<br>(4) | 8,726 | D |
| Common Stock | 11/01/2018 | S(1) | 3,450 | D | \$<br>89.3114<br>(6) | 5,276 | D |
| Common Stock | 11/01/2018 | S(1) | 1,550 | D | \$<br>88.4403<br>(4) | 3,726 | D |
| Common Stock | 11/01/2018 | S(1) | 3,450 | D | \$<br>89.3204<br>(6) | 276   | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title                                                       |
| Non-Qualified Stock Option (right to buy)  | \$ 3.92                                                | 11/01/2018                           |                                                    | M                              | 20,000                                                                                  | (7) 12/21/2019                                           | Common Stock 20,000                                         |

## Reporting Owners

| Reporting Owner Name / Address                   | Relationships                    |
|--------------------------------------------------|----------------------------------|
|                                                  | Director 10% Owner Officer Other |
| Ryu Marcus<br>1001 E. HILLSDALE BLVD., SUITE 800 | X CEO and President              |

FOSTER CITY, CA 94404

## Signatures

By: Winston King Attorney in Fact For: Marcus  
S. Ryu

11/05/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Automatic stock option exercise and sale pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on April 10, 2018.

The sale price reported in column 4 of Table 1 represents the average sale price of the shares sold ranging from \$89.05 to \$89.61 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(5) The sale price reported in column 4 of Table 1 represents the average sale price of the shares sold ranging from \$88.04 to \$89.02 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(6) The sale price reported in column 4 of Table 1 represents the average sale price of the shares sold ranging from \$88.00 to \$88.93 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(7) The sale price reported in column 4 of Table 1 represents the average sale price of the shares sold ranging from \$89.00 to \$89.61 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(8) The sale price reported in column 4 of Table 1 represents the average sale price of the shares sold ranging from \$89.01 to \$89.61 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(9) This Stock Option vests over four years of continuous service as follows: 1/48th of the underlying shares vest monthly following the vesting commencement date of December 21, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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