#### **COLUMBUS MCKINNON CORP**

Form 4 May 20, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Section 16.

Form 4 or

obligations

may continue.

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rustowicz Gregory P Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/18/2015 VP - Finance and CFO **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228

,		Person									
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock							27,421.6239	D			
Common Stock	05/18/2015		A	4,069 (1)	A	\$ 24.94	31,490.6239	D			
Common Stock	05/19/2015		F	333.6689 (2)	D	\$ 24.8	31,156.955 (3)	D			
Common Stcok							242.6178 (4)	D			
Common Stock							431,381.3822	Ι	Additional shares held by		

**OMB APPROVAL** 

3235-0287

January 31,

2005

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response...

Estimated average

burden hours per

ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nui of S
Non-Qualified Stock Options (Right to Buy)	\$ 13.1					10/24/2014	10/24/2021	Common Stock	6,
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	9,
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015		A	11,716	05/18/2016	05/17/2025	Common Stock	11

# **Reporting Owners**

Reporting Owner Name / Address Relationships

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#### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Director 10% Owner Officer Other

Rustowicz Gregory P 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 VP -Finance

## **Signatures**

Gregory Rustowicz 05/20/2015

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (1) dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 925.6689 restricted stock units on 5/19/2015.
  - Includes 21,553.1902 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 4,030.5474 units become fully vested and non-forfeitable 50% per year for two years beginning 10/24/2015, 3,000.7428 units become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015; 3,647.6455 shares become fully vested and non-forfeitable 33.33% per
- (3) year for three years beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015, 2,479.1828 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016; 2,896 shares which become fully vested and non-forfeiture on 5/20/2016, and the remaining 4,069 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive (9) Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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