Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

COLUMB Form 4 May 28, 20	US MCKINNON	CORP									
FOR		STATES	SECU	RITIES	AND E	ХСН	IANGE	COMMISSION		APPROVAL	
					TIES AND EXCHANGE COMMISSION nington, D.C. 20549					3235-0287	
Check if no lo	nger								Expires:	January 31, 2005	
subject Sectior Form 4 Form 5 obligat	ion in 16. or Filed pu ^{ions} Section 17	CMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ursuant to Section 16(a) of the Securities Exchange Act of 1934, 7(a) of the Public Utility Holding Company Act of 1935 or Section								ed average nours per e 0.5	
may co <i>See</i> Ins 1(b).	truction			Investmer	•	-	•				
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> Korman Alan S			2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)				of Earliest	Transactio	on		Director 10% Owner			
. ,	I JAMES AUDUI			/Day/Year)				X Officer (giv below) VP, Gen'		Other (specify	
				mendment, Date Original Aonth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
		(Zin)						Person			
(City)	(State)	(Zip)						cquired, Disposed o	of, or Benefi	•	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	OwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)I)		
Common Stock								13,360	D		
Common Stock	05/23/2014			F	158 <u>(1)</u>	D	\$ 30.29	13,202	D		
Common Stcok	05/23/2014			F	724 (2)	D	\$ 30.29	12,478 <u>(3)</u>	D		
Common Stock								346.6073 <u>(4)</u>	D		
Common Stock								471,483.3927	Ι	Additional shares held by	

		ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial owernship.										
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.									SEC 1474 (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/202	Common Stock	10,000			
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/202	Common Stock	3,230			
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/202	2 Common Stock	5,424			
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/202	3 Common Stock	4,601 (8)			
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/202	4 Common Stock	4,386			

10% Owner

Director

Reporting Owners

Reporting Owner Name / Address

Relationships

Officer

Other

Korman Alan S 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP, Gen'l Counsel & Secretary

Signatures

Alan S. Korman

<u>**</u>Signature of Reporting Person 05/28/2014 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 428 restricted stock units on 5/23/2014.
- (2) Represents shares withheld to satisy tax withholding obligation upon vesting 2,007 performance share units on 5/23/2014.

Includes 9,283 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 428 shares become fully vested and non-forfeitable on 5/23/2015; 1,353 shares become fully vested and non-forfeitable 50% per year for two years beginning

- (3) 5/21/2015, 1,636 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/20/2015; 2,826 shares become fully vested and non-forfeitable on 5/21/2015, 1,731 shares become fully vested and non-forfeitable for four years beginning 5/19/2015 and the remaining 1,309 share become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.