

Edwards Jeffrey S
Form 4
October 05, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Edwards Jeffrey S

2. Issuer Name and Ticker or Trading Symbol
JOHNSON CONTROLS INC [JCI]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
49200 HALYARD DRIVE, P.O. BOX 8010
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/01/2009

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President

PLYMOUTH, MI 48170
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 10/02/2009 | | A | 4.156 A \$ 24.0611 | 78,359.373 (1) (2) | D | |
| Common Stock | | | | | 10,484.728 (3) | I | By 401(k) Plan Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|--------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount |
| Employee Stock Option (Right to Buy) | \$ 24.87 | 10/01/2009 | | A | 45,000 | 10/01/2011 ⁽⁴⁾ | 10/01/2019 | Common Stock | 4 |
| Phantom Stock Units - Retirement Restoration Plan | ⁽⁵⁾ | | | | | ⁽⁶⁾ | ⁽⁶⁾ | Common Stock | 1,2 |
| Phantom Stock Units - Annual Incentive Plan | ⁽⁵⁾ | | | | | ⁽⁸⁾ | ⁽⁸⁾ | Common Stock | 12, |
| Phantom Stock Units - Restricted Stock Plan | ⁽¹⁰⁾ | | | | | ⁽¹⁰⁾ | ⁽¹⁰⁾ | Common Stock | 1,9 |
| Employee Stock Option (Right to Buy) | \$ 17.5167 | | | | | 11/19/2005 | 11/19/2013 | Common Stock | 6 |
| Employee Stock Option (Right to Buy) | \$ 20.5633 | | | | | 11/17/2006 | 11/17/2014 | Common Stock | 9 |
| Employee Stock Option (Right to Buy) | \$ 22.5617 | | | | | 11/16/2007 | 11/16/2015 | Common Stock | 1. |

| | | | | | |
|--|-----------|---------------------------|------------|-----------------|---|
| Employee Stock Option (Right to Buy) | \$ 23.965 | 10/02/2008 | 10/02/2016 | Common Stock | 6 |
| Employee Stock Option (Right to Buy) | \$ 40.21 | 10/01/2009 ⁽⁴⁾ | 10/01/2017 | Common Stock | 3 |
| Employee Stock Option (Right to Buy) | \$ 28.79 | 10/01/2010 ⁽⁴⁾ | 10/01/2018 | Common Stock | 4 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170 | | | Vice President | |

Signatures

Angela M. Blair, Attorney-in-Fact for Jeffrey S.
Edwards

10/05/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares for restricted stock grants: 4,500 which vest on 11/1/2009; 22,500 which vest on 1/3/2010; and 4,500 which vest on 11/1/2011.
- (2) Includes 11,123 shares acquired through the reinvestment of dividends on October 2, 2009, at a price of \$24.0611 per share.
- (3) The number of underlying securities is based on the stock fund balance on September 30, 2009. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an September 30, 2009, stock fund price of \$25.56 per share.
- (4) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- (5) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (6) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

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- (7) Includes 6.856 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.
- (8) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
- (9) Includes 68.121 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.
- (10) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (11) Includes 182.109 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.