Rexnord Corp Form 4 May 27, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Zaba Kevin J

(First) (Last)

4701 W. GREENFIELD AVE

2. Issuer Name and Ticker or Trading Symbol

Rexnord Corp [RXN]

3. Date of Earliest Transaction (Month/Day/Year)

3.

05/22/2015

(Street)

(Middle)

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

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January 31, Expires:

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response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below) below)

Group Ex., President-PT

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State)

MILWAUKEE, WI 53214

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Code V Amount

(Instr. 3, 4 and 5)

(A)

or

(D)

Price

4. Securities

Securities Beneficially Owned Following Reported

(Instr. 3 and 4)

5. Amount of

(D) or Indirect (I) (Instr. 4) Transaction(s)

Ι

Form: Direct Indirect Beneficial Ownership (Instr. 4)

By 401(k)

Plan (1)

6. Ownership 7. Nature of

Common Stock

(Instr. 3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Rexnord Corp - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	Secu
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Stock Option (right to buy)	\$ 26.91					07/31/2014(2)	07/31/2024	Common Stock	20
Stock Option (right to buy)	\$ 25.77	05/22/2015		A	33,063	05/22/2016(3)	05/22/2025	Common Stock	3
Performance Stock Units	<u>(4)</u>	05/22/2015		A	3,675	<u>(4)</u>	<u>(4)</u>	Common Stock	1

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Zaba Kevin J 4701 W. GREENFIELD AVE MILWAUKEE, WI 53214			Group Ex., President-PT			

## **Signatures**

/s/ Jeffrey J. LaValle under Power of Attorney for Kevin J. Zaba 05/27/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on information from the trustee of the 401(k) Plan.
- (2) One half of the original option vests three years from the date listed above and the other half vests five years from the date listed above.
- (3) The original option vests in three annual installments beginning on the date listed above.
  - Each performance stock unit ("PSU") represents a contingent right to receive one share of Rexnord Corporation's common stock if certain conditions are satisfied during the three-year performance period (fiscal 2016-fiscal 2018). Vesting of one half of the PSUs is dependent
- (4) on the relative total shareholder return of Rexnord Corporation's common stock as compared to companies in the S&P 1500 Industrials Sector Index and vesting of the other half depends on goals related to absolute free cash flow conversion. The target number of PSUs that may be earned is reported above; the maximum amount is 200% of the number reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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