

Staudmyer Frederick
Form 4/A
April 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Staudmyer Frederick

2. Issuer Name **and** Ticker or Trading
Symbol

PATRIOT NATIONAL BANCORP
INC [PNBK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

05/21/2018

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Secretary and Chief HR Officer

C/O PATRIOT NATIONAL
BANCORP, INC., 900 BEDFORD
STREET

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

04/03/2019

6. Individual or Joint/Group Filing(Check
Applicable Line)

____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

STAMFORD, CT 06901

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/21/2018		S		200	D	\$ 3,900	5,327	D	
Common Stock	06/05/2018		S		300	D	\$ 6,000	5,027	D	
Common Stock	08/17/2018		S		200	D	\$ 3,960	4,827	D	
Common Stock	08/23/2018		S		300	D	\$ 5,880	4,527	D	
	09/06/2018		S		200	D		4,327	D	

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Common Stock						\$ 3,940		
Common Stock	09/11/2018	S	100	D		\$ 2,155	4,227	D
Common Stock	11/27/2018	S	100	D		\$ 1,750	4,127	D
Common Stock	03/01/2019	S	400	D		\$ 5,780	3,727	D
Common Stock	03/05/2019	S	400	D		\$ 6,000	3,327	D
Common Stock	03/08/2019	S	400	D		\$ 5,784	2,927	D
Common Stock	03/12/2019	S	275	D		\$ 4,048	2,652	D
Common Stock	04/01/2019	A	551 ⁽¹⁾	A		\$ 0	3,203 ⁽²⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Staudmyer Frederick
C/O PATRIOT NATIONAL BANCORP, INC.
900 BEDFORD STREET
STAMFORD, CT 06901

Secretary and Chief HR Officer

Signatures

/s/ Frederick
Staudmyer

04/04/2019

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On April 1, 2019, the Reporting Person was granted 551 shares of the Issuer's common stock which vest annually in equal installments over three years (183 shares, 184 shares and 184 shares respectively as of December 31, 2019, 2020 and 2021).

- The Form 4 filed by the Reporting Person on April 3, 2019 disclosed that since the previous filing on May 29, 2018 (as of which day the Reporting Person owned a total of 5,527 shares), the Reporting Person sold a total of 2,875 shares of the Issuer's common stock. This amendment is being filed to disclose the information of the sales of such 2,875 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.