Energy Recovery, Inc. Form SD May 20, 2016

| UNITED STATES   |                        |                                   |  |  |  |  |  |
|---|------------------------|-----------------------------------|--|--|--|--|--|
| SECURITIES AND EXCHANGE COMM  | /ISSION                |                                   |  |  |  |  |  |
|   | IISSIOIV               |                                   |  |  |  |  |  |
| WASHINGTON, D.C. 20549  |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
| FORM SD   |                        |                                   |  |  |  |  |  |
| <b>Specialized Disclosure Report</b>  |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
| Energy Recovery, Inc.   |                        |                                   |  |  |  |  |  |
| (Exact name of registrant as specified in its of  | charter)               |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
| Delaware  | 001-34112              | <u>01-0616867</u>                 |  |  |  |  |  |
| (State or other jurisdiction of incorporation)  | Commission File Number | (IRS Employer Identification No.) |  |  |  |  |  |
| 1717 Doolittle Drive, San Leandro, CA (Address of Principal Executive Offices)                            |                        | 94577<br>(7in Code)               |  |  |  |  |  |
| (Address of Fillicipal Executive Offices)   |                        | (Zip Code)                        |  |  |  |  |  |
| <u>Nocair Bensalah - (510) 483-7370</u>   |                        |                                   |  |  |  |  |  |
| (Name and Telephone Number, including area code, of the person to contact in connection with this report) |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |
|   |                        |                                   |  |  |  |  |  |

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to

# Edgar Filing: Energy Recovery, Inc. - Form SD

which the information in this form applies:

| Rule 13p-1 under Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2015 | ſ |
|--|---|
|  |   |

Edgar Filing: Energy Recovery, Inc. - Form SD

| Section | 1. | _ Cor | flict | Minera    | le T  | )iccl                                   | OSITE  |
|---------|----|-------|-------|-----------|-------|---|--------|
| Decim   | т. | – CUI | шись  | williel a | 15 1. | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | USUI C |

#### **Item 1.01 Conflict Minerals Disclosure**

As of the date of this filing, based on our supplier responses to our survey and our analysis of such responses as they apply to the calendar year ended December 31, 2015, there is an indication of Democratic Republic of the Congo ("DRC") sourcing by some of our suppliers, but we cannot ascertain if that DRC source was used in our products. Accordingly, we have continued to proceed with additional due diligence procedures for the purpose of determining the status of our products as it pertains to the source and chain of custody of any such conflict minerals. See Conflict Minerals Report, Exhibit 1.01.

#### **Conflict Minerals Disclosure**

This Form SD and the Conflict Minerals Report, filed as Exhibit 1.01 to this report, are publicly available on our website at <a href="http://ir.energyrecovery.com/phoenix.zhtml?c=221013&p=irol-sec">http://ir.energyrecovery.com/phoenix.zhtml?c=221013&p=irol-sec</a>

#### Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

Section 2 – Exhibits

Item 2.01 Exhibits

Exhibit No. Description

## Edgar Filing: Energy Recovery, Inc. - Form SD

Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form

### **SIGNATURES**

1.01

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Registrant: Energy Recovery, Inc.

/s/ NOCAIR BENSALAH Vice President of Operations May 19, 2016 **Nocair Bensalah**