**GRUBER MARK** 

Form 4 May 24, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GRUBER MARK** 

2. Issuer Name and Ticker or Trading

Issuer

Symbol

Armour Residential REIT, Inc.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[ARR]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

X\_ Officer (give title below)

10% Owner Other (specify

3001 OCEAN DRIVE, SUITE 201

(Street)

(State)

(First)

05/22/2018

below) COO and CIO

(Middle)

(Zip)

6. Individual or Joint/Group Filing(Check

Director

Applicable Line) \_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

Form filed by More than One Reporting Person

VERO BEACH, FL 32963

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

(D)

Transaction(s)

(Instr. 3 and 4) Price

Common

\$0.001 per share

Stock, par value 05/22/2018

3,000 M

(1)

Code V Amount

28,300

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

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#### Edgar Filing: GRUBER MARK - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>	05/22/2018		M	3,000 (1)	<u>(1)</u>	<u>(1)</u>	Common Stock	3,000	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

GRUBER MARK 3001 OCEAN DRIVE SUITE 201 VERO BEACH, FL 32963

COO and CIO

## **Signatures**

/s/ Mark Gruber 05/22/2018

\*\*Signature of Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On May 22, 2018, the reporting person elected to convert 3,000 shares of vested phantom stock into 3,000 shares of ARMOUR common stock. The 3,000 shares are part of, and relate to, phantom stock vesting over a five-year period, which was reported on a Form 4 filed by the reporting person on November 22, 2017.
- (2) Each unit of phantom stock is the economic equivalent of one share of ARMOUR common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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