ALPINE TOTAL DYNAMIC DIVIDEND FUND

Form N-PX August 30, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-21980

Alpine Total Dynamic Dividend Fund

(Exact name of registrant as specified in charter)

2500 Westchester Avenue, Suite 215, Purchase, New York 10577

(Address of principal executive offices) (Zip code)

Samuel A. Lieber Alpine Woods Capital Investors, LLC 2500 Westchester Avenue, Suite 215 Purchase, New York 10577

(Name and address of agent for service)

Registrant's telephone number, including area code: (914) 251-0880

Date of fiscal year end: October 31

Date of reporting period: 7/1/15-6/30/16

Item 1. Proxy Voting Record.

ICA File Number: 811-21980

Reporting Period: 07/01/2015 - 06/30/2016 Alpine Total Dynamic Dividend Fund

ACCENTURE PLC

Ticker: ACN Security ID: G1151C101 Meeting Date: FEB 03, 2016 Meeting Type: Annual

Record Date: DEC 07, 2015

| # | Proposal Mgt R | ec Voi | te Cast | Sponsor |
|--------------------------------|--|--|-----------------------------|---|
| 1a | Elect Director Jaime Ardila | For | For | Management |
| 1b | Elect Director Dina Dublon | For | For | Management |
| 1c | Elect Director Charles H. Giancarle | o For | For | Management |
| 1d | Elect Director William L. Kimsey | For | r For | Management |
| 1e | Elect Director Marjorie Magner | For | For | Management |
| 1f | Elect Director Blythe J. McGarvie | For | For | Management |
| 1g | Elect Director Pierre Nanterme | For | For | Management |
| 1h | Elect Director Gilles C. Pelisson | For | For | Management |
| 1i | Elect Director Paula A. Price | For | For | Management |
| 1j | Elect Director Arun Sarin | For | For | Management |
| 1k | Elect Director Wulf von Schimmel | lmann | For | For Management |
| 11 | Elect Director Frank K. Tang | For | For | Management |
| 2 | Advisory Vote to Ratify Named | For | For | Management |
| | Executive Officers' Compensation | | | |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Amend Qualified Employee Stock | Fo | or Fo | r Management |
| | Purchase Plan | | | |
| | | | | |
| 5 | Approve KPMG LLP as Auditors a | nd | For : | For Management |
| 5 | Approve KPMG LLP as Auditors a Authorize Board to Fix Their | ind | For | For Management |
| 5 | 11 | and | For : | For Management |
| 56 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right | For | For | For Management Management |
| 6 7A | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share | For | For | C |
| 6 7A | Authorize Board to Fix Their Remuneration Provide Proxy Access Right | For | For | Management |
| 6 7A | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share | For eholder | For For | Management For Management Management |
| 6 7A | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles | For eholder | For For | Management For Management Management |
| 6 7A 7B | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles For Amend Articles - Board Related | For cholder or Fo | For For or For | Management For Management Management Management Management |
| 6 7A 7B 8A | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or | For cholder or Fo | For For or I | Management For Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with | For cholder or For For | For For or For For | Management For Management Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights | For eholder or For For For | For For or For For | Management For Management Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles For Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights Authorize Issuance of Equity or | For cholder or For For | For For or For For | Management For Management Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles For Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights Authorize Issuance of Equity or Equity-Linked Securities without | For eholder or For For For | For For or For For | Management For Management Management Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles For Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For Pholder For For For | For For or For For | Management For Management Management Management Management Management Management |
| 6 7A 7B 8A 8B 9 | Authorize Board to Fix Their Remuneration Provide Proxy Access Right Require Advance Notice for Share Proposals Amend Articles For Amend Articles - Board Related Establish Range for Size of Board Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights Authorize Issuance of Equity or Equity-Linked Securities without | For Pholder For For For | For For For | Management For Management Management Management Management Management Management |

12 Determine the Price Range at which For For Management Accenture Plc can Re-issue Shares that it Acquires as Treasury Stock

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ALLIANZ SE

Ticker: ALV Security ID: D03080112 Meeting Date: MAY 04, 2016 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Financial Statements and None None Management Statutory Reports for Fiscal 2015 (Non-Voting)
- 2 Approve Allocation of Income and For Did Not Vote Management Dividends of EUR 7.30 per Share
- 3 Approve Discharge of Management Board For Did Not Vote Management for Fiscal 2015
- 4 Approve Discharge of Supervisory Board For Did Not Vote Management for Fiscal 2015
- 5 Elect Friedrich Eichiner to the For Did Not Vote Management Supervisory Board

AMERICAN TOWER CORPORATION

Ticker: AMT Security ID: 03027X100 Meeting Date: JUN 01, 2016 Meeting Type: Annual

Record Date: APR 06, 2016

| # | Proposal Mgt Rec | Vote | Cast | Sponsor |
|----|--------------------------------------|------|--------|---------------|
| 1a | Elect Director Raymond P. Dolan | For | For | Management |
| 1b | Elect Director Robert D. Hormats | For | For | Management |
| 1c | Elect Director Carolyn F. Katz | For | For | Management |
| 1d | Elect Director Gustavo Lara Cantu | For | For | Management |
| 1e | Elect Director Craig Macnab | For | For | Management |
| 1f | Elect Director JoAnn A. Reed | For | For | Management |
| 1g | Elect Director Pamela D.A. Reeve | For | For | Management |
| 1h | Elect Director David E. Sharbutt | For | For | Management |
| 1i | Elect Director James D. Taiclet, Jr. | For | For | Management |
| 1j | Elect Director Samme L. Thompson | For | Fo | r Management |
| 2 | Ratify Deloitte & Touche LLP as | For | For | Management |
| | Auditors | | | |
| 3 | Advisory Vote to Ratify Named | For | For | Management |
| | Executive Officers' Compensation | | | |
| 4 | Reduce Ownership Threshold for | Agai | nst Fo | r Shareholder |

Shareholders to Call Special Meeting

AMERICAN WATER WORKS COMPANY, INC.

Ticker: AWK Security ID: 030420103 Meeting Date: MAY 13, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal Mgt R | ec Vote | e Cast | Sponsor |
|----|--|---------|--------|--------------------------|
| 1a | Elect Director Julie A. Dobson | For | For | Management |
| 1b | Elect Director Paul J. Evanson | For | For | Management |
| 1c | Elect Director Martha Clark Goss | For | For | Management |
| 1d | Elect Director Richard R. Grigg | For | For | Management |
| 1e | Elect Director Veronica M. Hagen | For | For | Management |
| 1f | Elect Director Julia L. Johnson | For | For | Management |
| 1g | Elect Director Karl F. Kurz | For | For | Management |
| 1h | Elect Director George MacKenzie | For | r Foi | Management Management |
| 1i | Elect Director Susan N. Story | For | For | Management |
| 2 | | | | |
| _ | Advisory Vote to Ratify Named | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | • | | | Management or Management |

ANADARKO PETROLEUM CORPORATION

Ticker: APC Security ID: 032511107 Meeting Date: MAY 10, 2016 Meeting Type: Annual

Record Date: MAR 15, 2016

| # | Proposal Mgt R | Rec V | Vote | e Cast | Sponsor |
|----|------------------------------------|-------|------|--------|-----------------|
| 1a | Elect Director Anthony R. Chase | F | or | For | Management |
| 1b | Elect Director Kevin P. Chilton | Fo | r | For | Management |
| 1c | Elect Director H. Paulett Eberhart | Fo | or | For | Management |
| 1d | Elect Director Peter J. Fluor | For | | For | Management |
| 1e | Elect Director Richard L. George | F | or | For | Management |
| 1f | Elect Director Joseph W. Gorder | F | or | For | Management |
| 1g | Elect Director John R. Gordon | Fo | or | For | Management |
| 1h | Elect Director Sean Gourley | Fo | r | For | Management |
| 1i | Elect Director Mark C. McKinley |] | For | For | Management |
| 1j | Elect Director Eric D. Mullins | For | | For | Management |
| 1k | Elect Director R. A. Walker | For | • | For | Management |
| 2 | Ratify KPMG LLP as Auditors | I | For | For | Management |
| 3 | Amend Omnibus Stock Plan | F | or | For | Management |
| 4 | Advisory Vote to Ratify Named | F | or | Aga | inst Management |
| | Executive Officers' Compensation | | | | |

5 Report on Plans to Address Stranded Against For Shareholder Carbon Asset Risks

ANHEUSER-BUSCH INBEV SA

Ticker: ABI Security ID: 03524A108 Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: MAR 18, 2016

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Directors' Reports (Non-Voting) None None Management
- 2 Receive Auditors' Reports (Non-Voting) None None Management
- 3 Receive Consolidated Financial None None Management Statements and Statutory Reports (Non-Voting)
- 4 Approve Financial Statements, For Did Not Vote Management Allocation of Income, and Dividends of EUR 3.60 per Share
- 5 Approve Discharge of Directors For Did Not Vote Management 6 Approve Discharge of Auditors For Did Not Vote Management
- 7 Ratify Deloitte as Auditors and For Did Not Vote Management Approve Auditors' Remuneration
- 8a Approve Remuneration Report For Did Not Vote Management
- 8b Approve Non-Employee Director Stock For Did Not Vote Management
 Option Plan and According Stock Option
 Grants to Non-Executive Directors
- 9a Approve Change-of-Control Clause Re: For Did Not Vote Management Restated USD 9 Billion Senior Facilities Agreement of Aug. 28, 2015
- 9b Approve Change-of-Control Clause Re: For Did Not Vote Management USD 75 Billion Senior Facilities
 Agreement of Oct. 28, 2015
- 10 Acknowledge Cancellation of VVPR Strips For
 11 Authorize Implementation of Approved For
 12 Resolutions and Filing of Required
 13 Did Not Vote Management
 14 Did Not Vote Management
 15 Did Not Vote Management
 16 Did Not Vote Management
 17 Did Not Vote Management
 18 Did Not Vote Management
 19 Did Not Vote Management
 20 Did Not Vote Management
 21 Did Not Vote Management
 22 Did Not Vote Management
 23 Did Not Vote Management
 24 Did Not Vote Management
 25 Did Not Vote Management
 26 Did Not Vote Management
 26 Did Not Vote Management

ANTA SPORTS PRODUCTS LTD.

Ticker: 2020 Security ID: G04011105 Meeting Date: APR 06, 2016 Meeting Type: Annual

Record Date: MAR 31, 2016

Proposal Mgt Rec Vote Cast Sponsor

1 Accept Financial Statements and For For Management

Statutory Reports

- 2 Approve Final Dividend For For Management
- 3 Approve Special Dividend For For Management
- 4 Elect Ding Shizhong as Director For For Management
- 5 Elect Zheng Jie as Director For For Management
- 6 Elect Dai Zhongchuan as Director For For Management
- 7 Authorize Board to Fix Remuneration of For For Management Directors
- 8 Approve KPMG as Auditor and Authorize For For Management Board to Fix Their Remuneration
- 9 Approve Issuance of Equity or For Against Management Equity-Linked Securities without Preemptive Rights
- 10 Authorize Repurchase of Issued Share For For Management Capital
- 11 Authorize Reissuance of Repurchased For Against Management Shares

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ANTHEM, INC.

Ticker: ANTM Security ID: 036752103 Meeting Date: DEC 03, 2015 Meeting Type: Special

Record Date: OCT 22, 2015

Proposal Mgt Rec Vote Cast Sponsor

1 Issue Shares in Connection with For For Management

Acquisition

2 Adjourn Meeting For For Management

APPLE INC.

Ticker: AAPL Security ID: 037833100 Meeting Date: FEB 26, 2016 Meeting Type: Annual

Record Date: DEC 28, 2015

| # | Proposal | Mgt Rec | Vo | te Cast | Sp | onsor |
|-----|-----------------------------|------------|-----|---------|--------------|------------|
| 1.1 | Elect Director James Bell | Fo | r | For | N | Management |
| 1.2 | Elect Director Tim Cook | Fo | r | For | | Management |
| 1.3 | Elect Director Al Gore | For | | For | M | lanagement |
| 1.4 | Elect Director Bob Iger | For | | For | \mathbf{N} | lanagement |
| 1.5 | Elect Director Andrea Jung | ; Fo | or | For | | Management |
| 1.6 | Elect Director Art Levinson | n Fe | or | For | | Management |
| 1.7 | Elect Director Ron Sugar | Fo | r | For | 1 | Management |
| 1.8 | Elect Director Sue Wagner | F | or | For | | Management |
| 2 | Ratify Ernst & Young LLP | as Auditor | s i | For | For | Management |

- 3 Advisory Vote to Ratify Named For For Management Executive Officers' Compensation
- 4 Amend Omnibus Stock Plan For For Management
- 5 Create Feasibility Plan for Net-Zero Against Against Shareholder GHG Emissions, Including for Major Suppliers
- 6 Adopt Policy to Increase Diversity of Against Against Shareholder Senior Management and Board of Directors
- 7 Report on Guidelines for Country Against Against Shareholder Selection
- 8 Adopt Proxy Access Right Against For Shareholder

APPLIED MATERIALS, INC.

Ticker: AMAT Security ID: 038222105 Meeting Date: MAR 10, 2016 Meeting Type: Annual

Record Date: JAN 14, 2016

Proposal

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For Elect Director Willem P. Roelandts For Management 1a Elect Director Xun (Eric) Chen For For Management 1c Elect Director Aart J. de Geus For Management For 1d Elect Director Gary E. Dickerson For Management For 1e Elect Director Stephen R. Forrest Management For For Elect Director Thomas J. Iannotti For For Management 1g Elect Director Susan M. James For Against Management 1h Elect Director Alexander A. Karsner For For Management 1i Elect Director Adrianna C. Ma For For Management 1j Elect Director Dennis D. Powell Management For For 1k Elect Director Robert H. Swan For For Management Advisory Vote to Ratify Named For For Management **Executive Officers' Compensation**

Mgt Rec Vote Cast Sponsor

For

Against For

For

Management

Shareholder

ARCELORMITTAL

Proxy Access

Ticker: MT Security ID: 03938L104 Meeting Date: MAY 04, 2016 Meeting Type: Annual

Record Date: APR 20, 2016

Ratify KPMG LLP as Auditors

Proposal Mgt Rec Vote Cast Sponsor

A Receive Board's and Auditor's Reports None None Management

1 Approve Consolidated Financial For For Management

Statements

- Approve Financial Statements
 Approve Allocation of Income
 Approve Remuneration of Directors
 For For Management
 Management
 Management
- 5 Approve Discharge of Directors For For Management
- 6 Reelect Vanisha Mittal Bhatia as For For Management Director
- 7 Reelect Suzanne Nimocks as Director For For Management
- 8 Reelect Jeannot Krecke as Director For For Management
- 9 Elect Karel de Gucht as Director For For Management
- 10 Appoint Deloitte as Auditor For For Management
- 11 Approve 2016 Performance Share Unit For For Management Plan

ASHTEAD GROUP PLC

Ticker: AHT Security ID: G05320109 Meeting Date: SEP 02, 2015 Meeting Type: Annual

Record Date: AUG 28, 2015

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Accept Financial Statements and For For Management Statutory Reports
- 2 Approve Remuneration Report For Against Management
- 3 Approve Final Dividend For For Management
- 4 Re-elect Chris Cole as Director For For Management
- 5 Re-elect Geoff Drabble as Director For For Management
- 6 Re-elect Brendan Horgan as Director For For Management
- 7 Re-elect Sat Dhaiwal as Director For For Management
- 8 Re-elect Suzanne Wood as Director For For Management
- 9 Re-elect Michael Burrow as Director For For Management
- 10 Re-elect Bruce Edwards as Director For For Management
- 11 Re-elect Ian Sutcliffe as Director For For Management
- 12 Re-elect Wayne Edmunds as Director For For Management
- 13 Reappoint Deloitte LLP as Auditors For For Management
- 14 Authorise Board to Fix Remuneration of For For Management Auditors
- 15 Authorise Issue of Equity with For For Management Pre-emptive Rights
- 16 Authorise Issue of Equity without For For Management Pre-emptive Rights
- 17 Authorise Market Purchase of Ordinary For For Management Shares
- 18 Adopt New Articles of Association For For Management
- 19 Authorise the Company to Call EGM with For For Management Two Weeks' Notice

ASTRAZENECA PLC

Ticker: AZN Security ID: 046353108 Meeting Date: APR 29, 2016 Meeting Type: Annual

Record Date: MAR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponso |
|---|-----------|----------|-----------|--------|
| π | 1 1000841 | Wigt NCC | voic Casi | Shons |

- 1 Accept Financial Statements and For For Management Statutory Reports
- 2 Approve Dividends For For Management
- 3 Reappoint KPMG LLP as Auditors For For Management
- 4 Authorise Board to Fix Remuneration of For For Management Auditors
- 5a Re-elect Leif Johansson as Director For For Management
- 5b Re-elect Pascal Soriot as Director For For Management
- 5c Re-elect Marc Dunoyer as Director For For Management
- 5d Re-elect Dr Cornelia Bargmann as For For Management Director
- 5e Re-elect Genevieve Berger as Director For For Management
- 5f Re-elect Bruce Burlington as Director For For Management
- 5g Re-elect Ann Cairns as Director For For Management
- 5h Re-elect Graham Chipchase as Director For For Management
- 5i Re-elect Jean-Philippe Courtois as For For Management Director
- 5j Re-elect Rudy Markham as Director For For Management
- 5k Re-elect Shriti Vadera as Director For For Management
- 51 Re-elect Marcus Wallenberg as Director For For Management
- 6 Approve Remuneration Report For For Management
- 7 Authorise EU Political Donations and For For Management
- Expenditure
- 8 Authorise Issue of Equity with For For Management

Pre-emptive Rights

9 Authorise Issue of Equity without For For Management

Pre-emptive Rights

10 Authorise Market Purchase of Ordinary For For Management

Shares

11 Authorise the Company to Call General For For Management Meeting with Two Weeks' Notice

AVAGO TECHNOLOGIES LIMITED

Ticker: AVGO Security ID: Y0486S104 Meeting Date: NOV 10, 2015 Meeting Type: Court

Record Date: SEP 25, 2015

Proposal Mgt Rec Vote Cast Sponsor

Approve Scheme of Arrangement
 Approve Allotment and Issuance of Ordinary Shares
 For For Management Management

BANCO BILBAO VIZCAYA ARGENTARIA, S.A.

Ticker: BBVA Security ID: E11805103 Meeting Date: MAR 10, 2016 Meeting Type: Annual

Record Date: MAR 06, 2016

| # | Proposal Mgt F | ec ' | Vote C | Cast Spo | onsor |
|-----|------------------------------------|-------|--------|----------|------------|
| 1.1 | Approve Consolidated and Standa | ılone | For | For | Management |
| | Financial Statements | | | | |
| 1.2 | Approve Allocation of Income and | d | For | For | Management |
| | Dividends | | | | |
| 1.3 | Approve Discharge of Board | F | or | For | Management |
| 2.1 | Reelect Francisco Gonzalez Rodri | iguez | For | For | Management |
| | as Director | | | | |
| 2.2 | Ratify Appointment of and Elect | Carlo | s For | For | Management |
| | Torres Vila as Director | | | | |
| 2.3 | Elect James Andrew Stott as Direct | ctor | For | For | Management |
| 2.4 | Elect Sunir Kumar Kapoor as Dire | ector | For | For | Management |
| 3.1 | Authorize Capitalization of Reser | ves | For | For | Management |
| | for Scrip Dividends | | | | |
| 3.2 | Authorize Capitalization of Reser | ves | For | For | Management |
| | for Scrip Dividends | | | | |
| 3.3 | Authorize Capitalization of Reser | ves | For | For | Management |
| | for Scrip Dividends | | | | |
| 3.4 | Authorize Capitalization of Reser | ves | For | For | Management |
| | for Scrip Dividends | | | | |
| 4 | Approve Deferred Share Bonus Pla | an | For | For | Management |
| 5 | Renew Appointment of Deloitte as | 3 | For | For | Management |
| | Auditor | | | | |
| 6 | Authorize Board to Ratify and Exe | cute | For | For | Management |
| | Approved Resolutions | | | | |
| 7 | Advisory Vote on Remuneration P | olicy | For | For | Management |
| | Report | | | | |
| | | | | | |

BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104 Meeting Date: SEP 22, 2015 Meeting Type: Special

Record Date: AUG 10, 2015

Proposal Mgt Rec Vote Cast Sponsor

1 Approve Bylaw Amendment to Permit For Against Management Board to Determine Leadership Structure

BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104 Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: MAR 02, 2016

| # | Proposal | Mgt Rec | Vote | Cast | Sponsor |
|----|-------------------------------|------------|------|---------|-------------------|
| 1a | Elect Director Sharon L. Al | len I | For | For | Management |
| 1b | Elect Director Susan S. Bie | s Fe | or | For | Management |
| 1c | Elect Director Jack O. Bove | ender, Jr. | For | For | Management |
| 1d | Elect Director Frank P. Bra | mble, Sr. | For | For | Management |
| 1e | Elect Director Pierre J.P. de | Weck | For | For | Management |
| 1f | Elect Director Arnold W. D | onald | For | For | Management |
| 1g | Elect Director Linda P. Hud | lson | For | For | Management |
| 1h | Elect Director Monica C. L | ozano | For | For | Management |
| 1i | Elect Director Thomas J. M | ay l | For | For | Management |
| 1j | Elect Director Brian T. Moy | nihan | For | For | Management |
| 1k | Elect Director Lionel L. No | well, III | For | For | Management |
| 11 | Elect Director Thomas D. W | /oods | For | For | Management |
| 1m | Elect Director R. David Yo | ost l | For | For | Management |
| 2 | Advisory Vote to Ratify Na | med | For | For | Management |
| | Executive Officers' Compens | sation | | | |
| 3 | Ratify PwC as Auditors | For | · F | For | Management |
| 4 | Clawback of Incentive Payr | nents | Agai | inst Ag | ainst Shareholder |
| | | | | | |

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BAYER AG

Ticker: BAYN Security ID: D0712D163 Meeting Date: APR 29, 2016 Meeting Type: Annual

Record Date:

- # Proposal Mgt Rec Vote Cast Sponsor
- 1 Receive Financial Statements and For For Management Statutory Reports; Approve Allocation of Income and Dividends of EUR 2.50 per Share for Fiscal 2015
- 2 Approve Discharge of Management Board For For Management for Fiscal 2015
- 3 Approve Discharge of Supervisory Board For For Management for Fiscal 2015
- 4.1 Elect Johanna Faber to the Supervisory For For Management Board

| 4.2 | Elect Wolfgang Plischke to the | For | For | Management |
|-----|-----------------------------------|-----|-----|------------|
| | Supervisory Board | | | |
| 5 | Approve Remuneration System for | For | For | Management |
| | Management Board Members | | | |
| 6 | Ratify PricewaterhouseCoopers as | For | For | Management |
| | Auditors for Fiscal 2016 | | | |
| 7 | Ratify Deloitte & Touche GmbH as | For | For | Management |
| | Auditors for the First Quarter of | | | |
| | Fiscal 2017 | | | |
| | | | | |

BP PLC

Ticker: BP. Security ID: 055622104 Meeting Date: APR 14, 2016 Meeting Type: Annual

Record Date: FEB 19, 2016

| Re | cord Date: FEB 19, 2016 | | | |
|----|---------------------------------------|---------|----------|--------------|
| # | Proposal Mgt Rec | Vote (| Cast Spo | onsor |
| 1 | Accept Financial Statements and | For | For | Management |
| | Statutory Reports | | | C |
| 2 | Approve Remuneration Report | For | Against | Management |
| 3 | Re-elect Bob Dudley as Director | For | For | Management |
| 4 | Re-elect Dr Brian Gilvary as Director | For | For | Management |
| 5 | Re-elect Paul Anderson as Director | For | For | Management |
| 6 | Re-elect Alan Boeckmann as Director | r For | For | Management |
| 7 | Re-elect Frank Bowman as Director | For | For | Management |
| 8 | Re-elect Cynthia Carroll as Director | For | For | Management |
| 9 | Re-elect Ian Davis as Director F | For F | For N | Management (|
| 10 | Re-elect Dame Ann Dowling as Dire | ctor Fo | or For | Management |
| 11 | Re-elect Brendan Nelson as Director | For | For | Management |
| 12 | Elect Paula Reynolds as Director | For | For | Management |
| 13 | Elect Sir John Sawers as Director | For | For | Management |
| 14 | Re-elect Andrew Shilston as Director | r For | For | Management |
| 15 | Re-elect Carl-Henric Svanberg as | For | For | Management |
| | Director | | | - |
| 16 | Reappoint Ernst & Young LLP as | For | For | Management |
| | Auditors and Authorise Their | | | |
| | Remuneration | | | |
| 17 | Authorise EU Political Donations and | d For | For | Management |
| | Expenditure | | | |
| 18 | Authorise Issue of Equity with | For | For | Management |
| | Pre-emptive Rights | | | |
| 19 | Authorise Issue of Equity without | For | For | Management |
| | Pre-emptive Rights | | | |
| 20 | Authorise Market Purchase of Ordina | ary Fo | r For | Management |
| | Shares | | | - |
| 21 | Authorise the Company to Call Gene | ral Fo | r For | Management |
| | Meeting with Two Weeks' Notice | | | - |

BROADCOM LIMITED

Ticker: AVGO Security ID: Y0982710