

CORNERSTONE PROGRESSIVE RETURN FUND  
Form N-PX  
August 10, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

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(Exact name of registrant as specified in charter)

48 Wall Street New York, NY                      10005  
(Address of principal executive offices)    (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22<sup>nd</sup> Floor New York, NY 10005

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2014 - June 30, 2015

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ITEM 1. PROXY VOTING RECORD.

| Company Name                        | Ticker | CUSIP     | Meeting Date | Proposal Number | Proposal Description  | Proponent | Mgmt Recomme |
|-------------------------------------|--------|-----------|--------------|-----------------|---|-----------|--------------|
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.1             | Elect Robert J. Alpern  | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.2             | Elect Roxanne S. Austin   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.3             | Elect Sally E. Blount   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.4             | Elect W. James Farrell  | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.5             | Elect Edward M. Liddy   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.6             | Elect Nancy McKinstry   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.7             | Elect Phebe N. Novakovic  | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.8             | Elect William A. Osborn   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.9             | Elect Samuel C. Scott III                                       | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.10            | Elect Glenn F. Tilton   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 1.11            | Elect Miles D. White  | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 2               | Ratification of Auditor   | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 3               | Advisory Vote on Executive Compensation                         | Mgmt      | For          |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 4               | Shareholder Proposal Regarding Genetically Modified Ingredients | ShrHoldr  | Against      |
| Abbott Laboratories                 | ABT    | 002824100 | 4/24/2015    | 5               | Shareholder Proposal Regarding Independent Board Chairman       | ShrHoldr  | Against      |
| AbbVie Inc.                         | ABBV   | 00287Y109 | 5/8/2015     | 1.1             | Elect Roxanne S. Austin   | Mgmt      | For          |
| AbbVie Inc.                         | ABBV   | 00287Y109 | 5/8/2015     | 1.2             | Elect Richard A. Gonzalez                                       | Mgmt      | For          |
| AbbVie Inc.                         | ABBV   | 00287Y109 | 5/8/2015     | 1.3             | Elect Glenn F. Tilton   | Mgmt      | For          |
| AbbVie Inc.                         | ABBV   | 00287Y109 | 5/8/2015     | 2               | Ratification of Auditor   | Mgmt      | For          |
| AbbVie Inc.                         | ABBV   | 00287Y109 | 5/8/2015     | 3               | Advisory Vote on Executive Compensation                         | Mgmt      | For          |
| Aberdeen Australia Equity Fund Inc. | IAF    | 003011103 | 3/26/2015    | 1.1             | Elect William J. Potter   | Mgmt      | For          |
| Aberdeen Australia Equity Fund Inc. | IAF    | 003011103 | 3/26/2015    | 1.2             | Elect John T. Sheehy  | Mgmt      | For          |

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|                                 |     |           |           |     |                               |      |     |
|---------------------------------|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Aberdeen Chile Fund Inc         | CH  | 00301W105 | 3/26/2015 | 1.1 | Elect Enrique R. Arzac        | Mgmt | For |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/18/2015 | 1.1 | Elect John A. Hawkins         | Mgmt | For |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/18/2015 | 1.2 | Elect Tak Lung Tsim           | Mgmt | For |
| Aberdeen Israel Fund Inc        | ISL | 00301T102 | 3/26/2015 | 1.1 | Elect Steven N. Rappaport     | Mgmt | For |
| Aberdeen Israel Fund Inc        | ISL | 00301T102 | 3/26/2015 | 1.2 | Elect Alexander Zagoreos      | Mgmt | For |
| Aberdeen Japan Equity Fund Inc  | JEQ | 00306J109 | 6/18/2015 | 1.1 | Elect Radhika Ajmera          | Mgmt | For |
| Aberdeen Japan Equity Fund Inc  | JEQ | 00306J109 | 6/18/2015 | 1.2 | Elect Martin J. Gruber        | Mgmt | For |
| ABERDEEN SINGAPORE FUND, INC.   | SGF | 003244100 | 6/18/2015 | 1.1 | Elect Moritz Sell             | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 3/10/2015 | 1   | Merger/Acquisition            | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 3/10/2015 | 2   | Right to Adjourn Meeting      | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 1   | Elect Paul M. Bisaro          | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 2   | Elect Nesli Basgoz            | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 3   | Elect James H. Bloem          | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 4   | Elect Christopher W. Bodine   | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 5   | Elect Christopher J. Coughlin | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 6   | Elect Michael R. Gallagher    | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 7   | Elect Catherine M. Klema      | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 8   | Elect Peter J. McDonnell      | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 9   | Elect Patrick J. O'Sullivan   | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 10  | Elect Brenton L. Saunders     | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 11  | Elect Ronald R. Taylor        | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 12  | Elect Fred G. Weiss           | Mgmt | For |
| Actavis plc                     | ACT | G0083B108 | 6/5/2015  | 14  | Ratification of Auditor       | Mgmt | For |

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|                                     |     |           |           |     |  |          |         |
|-------------------------------------|-----|-----------|-----------|-----|--|----------|---------|
| Actavis plc                         | ACT | G0083B108 | 6/5/2015  | 15  | Name Change to Allergan plc  | Mgmt     | For     |
| Actavis plc                         | ACT | G0083B108 | 6/5/2015  | 18  | Shareholder Proposal Regarding Retention of Shares Until Retirement              | ShrHoldr | Against |
| Actavis plc                         | ACT | G0083B108 | 6/5/2015  | 13  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Actavis plc                         | ACT | G0083B108 | 6/5/2015  | 16  | Approval of the Amended 2013 Incentive Award Plan                                | Mgmt     | For     |
| Actavis plc                         | ACT | G0083B108 | 6/5/2015  | 17  | Shareholder Proposal Regarding Sustainability Report                             | ShrHoldr | Against |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.1 | Elect Enrique R. Arzac   | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.2 | Elect Phyllis O. Bonanno   | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.3 | Elect Kenneth J. Dale  | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.4 | Elect Frederic A. Escherich  | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.5 | Elect Roger W. Gale  | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.6 | Elect Kathleen T. McGahran   | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.7 | Elect Craig R. Smith   | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.8 | Elect Mark E. Stoeckle   | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 2   | Ratification of Auditor  | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 3   | Amend Fundamental Investment Policy to Increase Limit on Commodities Investments | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 4   | Rendering of Investment Advisory Services to Others                              | Mgmt     | For     |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 5   | Shareholder Proposal to Authorize a Self-Tender Offer for All Outstanding        | ShrHoldr | Against |

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Shares

|   |     |           |           |     |   |          |         |
|---|-----|-----------|-----------|-----|---|----------|---------|
| Advent-Claymore<br>Enhanced Growth &<br>Income Fund | LCM | 00765E104 | 9/30/2014 | 1   | Elect Michael A. Smart  | Mgmt     | For     |
| Advent-Claymore<br>Enhanced Growth &<br>Income Fund | LCM | 00765E104 | 9/30/2014 | 2   | Elect Daniel L. Black   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 1   | Elect Fernando Aguirre  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 2   | Elect Mark T. Bertolini   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 3   | Elect Frank M. Clark, Jr.   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 4   | Elect Betsy Z. Cohen  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 6   | Elect Roger N. Farah  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 7   | Elect Barbara H. Franklin   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 8   | Elect Jeffrey E. Garten   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 9   | Elect Ellen M. Hancock  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 10  | Elect Richard J.<br>Harrington  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 11  | Elect Edward J. Ludwig  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 12  | Elect Joseph P.<br>Newhouse   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 13  | Elect Olympia J. Snowe  | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 14  | Ratification of Auditor   | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 15  | Advisory Vote on<br>Executive Compensation                                    | Mgmt     | For     |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 16  | Shareholder Proposal<br>Regarding Report on<br>Indirect Political<br>Spending | ShrHoldr | Against |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 17  | Shareholder Proposal<br>Regarding Retention of<br>Shares Until Retirement     | ShrHoldr | Against |
| Aetna Inc.  | AET | 00817Y108 | 5/15/2015 | 5   | Elect Molly J. Coye   | Mgmt     | For     |
| Allergan, Inc.                                      | AGN | 018490102 | 3/10/2015 | 1   | Merger/Acquisition  | Mgmt     | For     |
| Allergan, Inc.                                      | AGN | 018490102 | 3/10/2015 | 2   | Right to Adjourn Meeting  | Mgmt     | For     |
| Allergan, Inc.                                      | AGN | 018490102 | 3/10/2015 | 3   | Advisory Vote on Golden<br>Parachutes   | Mgmt     | For     |
|   | AWF | 01879R106 | 3/30/2015 | 1.1 |   | Mgmt     | For     |

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|   |     |           |           |     |  |                                  |      |     |
|---|-----|-----------|-----------|-----|--|----------------------------------|------|-----|
| AllianceBernstein<br>Global High Income<br>Fund Inc |     |           |           |     |  | Elect Marshall C. Turner,<br>Jr. |      |     |
| AllianceBernstein<br>Global High Income<br>Fund Inc | AWF | 01879R106 | 3/30/2015 | 1.2 |  | Elect Garry L. Moody             | Mgmt | For |
| AllianceBernstein<br>Global High Income<br>Fund Inc | AWF | 01879R106 | 3/30/2015 | 1.3 |  | Elect Earl D. Weiner             | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.1 |  | Elect James A. Jacobson          | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.2 |  | Elect F. Ford Drummond           | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.3 |  | Elect Davey S. Scoon             | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.4 |  | Elect James S. MacLeod           | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.5 |  | Elect Julian Sluyters            | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 6/2/2015  | 1.6 |  | Elect Susan M. King              | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.1 |  | Elect Hans W. Kertess            | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.2 |  | Elect William B. Ogden<br>IV     | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.3 |  | Elect F. Ford Drummond           | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.4 |  | Elect Davey S. Scoon             | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.5 |  | Elect James S. MacLeod           | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.6 |  | Elect Julian Sluyters            | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 01883A107 | 6/2/2015  | 1.7 |  | Elect Susan M. King              | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 7/31/2014 | 1.1 |  | Elect Alan Rappaport             | Mgmt | For |
| AllianzGI Convertible<br>& Income Fund II           | NCZ | 018829101 | 7/31/2014 | 1.2 |  | Elect Hans W. Kertess            | Mgmt | For |
|   | NCZ | 018829101 | 7/31/2014 | 1.3 |  |                                  | Mgmt | For |

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|--|-----|-----------|-----------|-----|--|---|----------|---------|
| AllianzGI Convertible & Income Fund II |     |           |           |     |  | Elect William B. Ogden IV   |          |         |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 1   |  | Elect Robert D. Beyer   | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 2   |  | Elect Kermit R. Crawford  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 3   |  | Elect Michael L. Eskew  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 4   |  | Elect Herbert L. Henkel   | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 5   |  | Elect Siddharth N. Mehta  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 6   |  | Elect Andrea Redmond  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 7   |  | Elect John W. Rowe  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 8   |  | Elect Judith A. Sprieser  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 9   |  | Elect Mary Alice Taylor   | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 10  |  | Elect Thomas J. Wilson  | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 11  |  | Advisory Vote on Executive Compensation                             | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 12  |  | Ratification of Auditor   | Mgmt     | For     |
| Allstate Corporation                   | ALL | 020002101 | 5/19/2015 | 13  |  | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Alpine Global Dynamic Dividend Fund    | AGD | 02082E205 | 5/20/2015 | 1.1 |  | Elect Samuel A. Lieber  | Mgmt     | For     |
| Alpine Global Dynamic Dividend Fund    | AGD | 02082E205 | 5/20/2015 | 2   |  | Transaction of Other Business                                       | Mgmt     | For     |
| Alpine Global Premier Properties Fund  | AWP | 02083A103 | 5/20/2015 | 1.1 |  | Elect Samuel A. Lieber  | Mgmt     | For     |
| Alpine Global Premier Properties Fund  | AWP | 02083A103 | 5/20/2015 | 2   |  | Transaction of Other Business                                       | Mgmt     | For     |
| Alpine Total Dynamic Dividend Fund     | AOD | 021060207 | 5/20/2015 | 1.1 |  | Elect Samuel A. Lieber  | Mgmt     | For     |
| Alpine Total Dynamic Dividend Fund     | AOD | 021060207 | 5/20/2015 | 2   |  | Transaction of Other Business                                       | Mgmt     | For     |
| American Express Company               | AXP | 025816109 | 5/11/2015 | 2   |  | Elect Ursula M. Burns   | Mgmt     | For     |
| American Express Company               | AXP | 025816109 | 5/11/2015 | 3   |  | Elect Kenneth I. Chenault   | Mgmt     | For     |
| American Express Company               | AXP | 025816109 | 5/11/2015 | 4   |  | Elect Peter Chernin   | Mgmt     | For     |
|  | AXP | 025816109 | 5/11/2015 | 5   |  | Elect Anne Lauvergeon   | Mgmt     | For     |

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|------------------------------------|-----|-----------|-----------|----|--|----------|---------|--|
| American Express Company           |     |           |           |    |  |          |         |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 6  | Elect Micheal O. Leavitt                                       | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 7  | Elect Theodore J. Leonsis                                      | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 8  | Elect Richard C. Levin   | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 9  | Elect Samuel J. Palmisano                                      | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 10 | Elect Daniel L. Vasella  | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 11 | Elect Robert D. Walter   | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 12 | Elect Ronald A. Williams                                       | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 13 | Ratification of Auditor  | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 14 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 15 | Shareholder Proposal Regarding Employment Diversity Report     | ShrHoldr | Against |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 16 | Shareholder Proposal Regarding Privacy and Data Security       | ShrHoldr | Against |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 18 | Shareholder Proposal Regarding Lobbying Report                 | ShrHoldr | Against |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 1  | Elect Charlene Barshefsky                                      | Mgmt     | For     |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 17 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |  |
| American Express Company           | AXP | 025816109 | 5/11/2015 | 19 | Shareholder Proposal Regarding Independent Board Chairman      | ShrHoldr | Against |  |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 1  | Elect W. Don Cornwell  | Mgmt     | For     |  |
|                                    | AIG | 026874784 | 5/13/2015 | 2  | Elect Peter R. Fisher  | Mgmt     | For     |  |



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|                                    |      |           |           |    |   |      |     |  |
|------------------------------------|------|-----------|-----------|----|---|------|-----|--|
| American International Group, Inc. |      |           |           |    |   |      |     |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 3  | Elect John Fitzpatrick                  | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 4  | Elect Peter D. Hancock                  | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 5  | Elect William G. Jurgensen              | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 6  | Elect Christopher S. Lynch              | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 8  | Elect Henry S. Miller                   | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 9  | Elect Robert S. Miller                  | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 10 | Elect Suzanne Nora Johnson              | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 11 | Elect Ronald A. Rittenmeyer             | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 12 | Elect Douglas M. Steenland              | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 13 | Elect Theresa M. Stone                  | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 15 | Ratification of Auditor                 | Mgmt | For |  |
| American International Group, Inc. | AIG  | 026874784 | 5/13/2015 | 7  | Elect George L. Miles, Jr.              | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 1  | Elect David Baltimore                   | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 2  | Elect Frank J. Biondi, Jr.              | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 3  | Elect Robert A. Bradway                 | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 4  | Elect Francois de Carbonnel             | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 5  | Elect Vance D. Coffman                  | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 6  | Elect Robert A. Eckert                  | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 7  | Elect Greg C. Garland                   | Mgmt | For |  |
| AMGEN Inc.                         | AMGN | 031162100 | 5/14/2015 | 8  | Elect Rebecca M. Henderson              | Mgmt | For |  |

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|              |      |           |           |    |  |          |         |
|--------------|------|-----------|-----------|----|--|----------|---------|
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 9  | Elect Frank C. Herringer                                     | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 10 | Elect Tyler Jacks  | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 11 | Elect Judith C. Pelham                                       | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 12 | Elect Ronald D. Sugar  | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 13 | Elect R. Sanders Williams                                    | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 14 | Ratification of Auditor                                      | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 15 | Advisory Vote on Executive Compensation                      | Mgmt     | For     |
| AMGEN Inc.   | AMGN | 031162100 | 5/14/2015 | 16 | Shareholder Proposal Regarding Counting Abstentions          | ShrHoldr | Against |
| Anthem Inc   | WLP  | 94973V107 | 11/5/2014 | 1  | Company Name Change  | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 6  | Shareholder Proposal Regarding Declassification of the Board | ShrHoldr | N/A     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 1  | Elect Julie A. Hill  | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 2  | Elect Ramiro G. Peru   | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 3  | Elect John H. Short  | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 4  | Ratification of Auditor                                      | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 5  | Advisory Vote on Executive Compensation                      | Mgmt     | For     |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 7  | Shareholder Proposal Regarding Proxy Access                  | ShrHoldr | Against |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 1  | Elect Lester B. Knight                                       | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 2  | Elect Gregory C. Case  | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 3  | Elect Fulvio Conti   | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 4  | Elect Cheryl A. Francis                                      | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 5  | Elect James W. Leng  | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 6  | Elect J. Michael Losh  | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 7  | Elect Robert S. Morrison                                     | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 8  | Elect Richard B. Myers                                       | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 9  | Elect Richard C. Notebaert                                   | Mgmt     | For     |
| Aon plc      | AON  | G0408V102 | 6/17/2015 | 10 | Elect Gloria Santona   | Mgmt     | For     |

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|            |      |           |           |    |  |          |         |
|------------|------|-----------|-----------|----|--|----------|---------|
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 11 | Elect Carolyn Y. Woo   | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 12 | Accounts and Reports   | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 13 | Ratification of Auditor  | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 14 | Ratification of Statutory Auditor                                    | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 15 | Authority to Set Auditor's Fees                                      | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 16 | Advisory Vote on Executive Compensation                              | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 17 | Remuneration Report (Advisory)                                       | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 18 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 19 | Authority to Issue Shares w/ Preemptive Rights                       | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 20 | Authority to Issue Shares w/o Preemptive Rights                      | Mgmt     | For     |
| Aon plc    | AON  | G0408V102 | 6/17/2015 | 21 | Authorization of Political Donations and Expenditures                | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 1  | Elect Timothy D. Cook  | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 2  | Elect Albert A. Gore, Jr.  | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 3  | Elect Robert A. Iger   | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 4  | Elect Andrea Jung  | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 5  | Elect Arthur D. Levinson   | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 6  | Elect Ronald D. Sugar  | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 7  | Elect Sue Wagner   | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 8  | Ratification of Auditor  | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 9  | Advisory Vote on Executive Compensation                              | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 10 | Amendment to the Employee Stock Purchase Plan                        | Mgmt     | For     |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 11 | Shareholder Proposal Regarding Renewable Energy and Climate          | ShrHoldr | Against |

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Change Policy Risk

|                                |      |           |           |    |   |          |         |
|--------------------------------|------|-----------|-----------|----|---|----------|---------|
| Apple Inc.                     | AAPL | 037833100 | 3/10/2015 | 12 | Shareholder Proposal Regarding Proxy Access               | ShrHoldr | Against |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 1  | Elect Alan L. Boeckmann                                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 2  | Elect Mollie Hale Carter                                  | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 3  | Elect Terrell K. Crews                                    | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 4  | Elect Pierre Dufour                                       | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 5  | Elect Donald E. Felsing                                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 6  | Elect Juan Richardo Luciano                               | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 7  | Elect Antonio dos Santos Maciel Neto                      | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 8  | Elect Patrick J. Moore                                    | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 9  | Elect Thomas F. O'Neill                                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 10 | Elect Francisco Sanchez                                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 11 | Elect Daniel Shih   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 12 | Elect Kelvin R. Westbrook                                 | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 13 | Elect Patricia A. Woertz                                  | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 14 | Ratification of Auditor                                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 15 | Advisory Vote on Executive Compensation                   | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 16 | Reapproval of the 2009 Incentive Compensation Plan        | Mgmt     | For     |
| Archer-Daniels-Midland Company | ADM  | 039483102 | 5/7/2015  | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |

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|                                     |     |           |           |    |   |      |     |
|-------------------------------------|-----|-----------|-----------|----|---|------|-----|
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 1  | Elect Nicholas M. Donofrio              | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 2  | Elect Joseph J. Echevarria              | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 3  | Elect Edward P. Garden                  | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 4  | Elect Jeffrey A. Goldstein              | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 5  | Elect Gerald L. Hassell                 | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 6  | Elect John M. Hinshaw                   | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 7  | Elect Edmund F. Kelly                   | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 8  | Elect Richard J. Kogan                  | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 9  | Elect John A. Luke, Jr.                 | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 10 | Elect Mark A. Nordenberg                | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 11 | Elect Catherine A. Rein                 | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 12 | Elect William C. Richardson             | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 13 | Elect Samuel C. Scott III               | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 14 | Elect Wesley W. von Schack              | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Bank of New York Mellon Corporation | BK  | 064058100 | 4/14/2015 | 16 | Ratification of Auditor                 | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 1  | Elect Uma Chowdhry                      | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 2  | Elect James R. Gavin III                | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 3  | Elect Peter S. Hellman                  | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 4  | Elect Kees J. Storm                     | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 5  | Ratification of Auditor                 | Mgmt | For |
| Baxter International Inc.           | BAX | 071813109 | 5/5/2015  | 7  | 2015 Incentive Plan                     | Mgmt | For |

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|   |     |           |           |      |   |          |         |
|---|-----|-----------|-----------|------|---|----------|---------|
| Baxter International Inc.                     | BAX | 071813109 | 5/5/2015  | 8    | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Baxter International Inc.                     | BAX | 071813109 | 5/5/2015  | 6    | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| Baxter International Inc.                     | BAX | 071813109 | 5/5/2015  | 9    | Shareholder Proposal Regarding Independent Board Chairman                       | ShrHoldr | Against |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.1  | Elect Paul L. Audet   | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.2  | Elect Michael J. Castellano   | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.3  | Elect Richard E. Cavanagh   | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.4  | Elect Frank J. Fabozzi  | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.5  | Elect Kathleen F. Feldstein   | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.6  | Elect James T. Flynn  | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.7  | Elect Henry Gabbay  | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.8  | Elect Jerrold B. Harris   | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.9  | Elect R. Glenn Hubbard  | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.10 | Elect W. Carl Kester  | Mgmt     | For     |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.11 | Elect Karen P. Robards  | Mgmt     | For     |
| BlackRock Debt Strategies Fund, Inc.          | DSU | 09255R103 | 7/30/2014 | 1.1  | Elect Paul L. Audet   | Mgmt     | For     |
| BlackRock Debt Strategies Fund, Inc.          | DSU | 09255R103 | 7/30/2014 | 1.2  | Elect Michael J. Castellano   | Mgmt     | For     |
| BlackRock Debt Strategies Fund, Inc.          | DSU | 09255R103 | 7/30/2014 | 1.3  | Elect Richard E. Cavanagh   | Mgmt     | For     |
| BlackRock Debt Strategies Fund, Inc.          | DSU | 09255R103 | 7/30/2014 | 1.4  | Elect Frank J. Fabozzi  | Mgmt     | For     |
|   | DSU | 09255R103 | 7/30/2014 | 1.5  |   | Mgmt     | For     |

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|  |     |           |            |      |  |   |      |     |
|--|-----|-----------|------------|------|--|---|------|-----|
| BlackRock Debt Strategies Fund, Inc.               |     |           |            |      |  | Elect Kathleen F. Feldstein                                     |      |     |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.6  |  | Elect James T. Flynn  | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.7  |  | Elect Henry Gabbay  | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.8  |  | Elect Jerrold B. Harris   | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.9  |  | Elect R. Glenn Hubbard  | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.10 |  | Elect W. Carl Kester  | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc.               | DSU | 09255R103 | 7/30/2014  | 1.11 |  | Elect Karen P. Robards  | Mgmt | For |
| Blackrock Ecosolutions Investment Trust            | BQR | 092546100 | 7/30/2014  | 1.1  |  | Elect Paul L. Audet   | Mgmt | For |
| Blackrock Ecosolutions Investment Trust            | BQR | 092546100 | 7/30/2014  | 1.2  |  | Elect Michael J. Castellano                                     | Mgmt | For |
| Blackrock Ecosolutions Investment Trust            | BQR | 092546100 | 7/30/2014  | 1.3  |  | Elect R. Glenn Hubbard  | Mgmt | For |
| Blackrock Ecosolutions Investment Trust            | BQR | 092546100 | 7/30/2014  | 1.4  |  | Elect W. Carl Kester  | Mgmt | For |
| Blackrock Ecosolutions Investment Trust            | BQR | 092546100 | 11/10/2014 | 1    |  | Reorganization into the BlackRock Resources & Commodities Trust | Mgmt | For |
| Blackrock Energy & Resources Trust                 | BGR | 09250U101 | 7/30/2014  | 1.1  |  | Elect Paul L. Audet   | Mgmt | For |
| Blackrock Energy & Resources Trust                 | BGR | 09250U101 | 7/30/2014  | 1.2  |  | Elect Michael J. Castellano                                     | Mgmt | For |
| Blackrock Energy & Resources Trust                 | BGR | 09250U101 | 7/30/2014  | 1.3  |  | Elect R. Glenn Hubbard  | Mgmt | For |
| Blackrock Energy & Resources Trust                 | BGR | 09250U101 | 7/30/2014  | 1.4  |  | Elect W. Carl Kester  | Mgmt | For |
| Blackrock Floating Rate Income Strategies Fund Inc | FRA | 09255X100 | 7/30/2014  | 1.1  |  | Elect Paul L. Audet   | Mgmt | For |
| Blackrock Floating Rate Income Strategies Fund Inc | FRA | 09255X100 | 7/30/2014  | 1.2  |  | Elect Michael J. Castellano                                     | Mgmt | For |
|  | FRA | 09255X100 | 7/30/2014  | 1.3  |  |   | Mgmt | For |

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|  |     |           |           |      |  |                                |      |     |
|--|-----|-----------|-----------|------|--|--------------------------------|------|-----|
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc |     |           |           |      |  | Elect Richard E.<br>Cavanagh   |      |     |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.4  |  | Elect Frank J. Fabozzi         | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.5  |  | Elect Kathleen F.<br>Feldstein | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.6  |  | Elect James T. Flynn           | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.7  |  | Elect Henry Gabbay             | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.8  |  | Elect Jerrold B. Harris        | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.9  |  | Elect R. Glenn Hubbard         | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.10 |  | Elect W. Carl Kester           | Mgmt | For |
| Blackrock Floating Rate<br>Income Strategies Fund<br>Inc | FRA | 09255X100 | 7/30/2014 | 1.11 |  | Elect Karen P. Robards         | Mgmt | For |
| BlackRock Floating<br>Rate Income Trust                  | BGT | 091941104 | 7/30/2014 | 1.1  |  | Elect Paul L. Audet            | Mgmt | For |
| BlackRock Floating<br>Rate Income Trust                  | BGT | 091941104 | 7/30/2014 | 1.2  |  | Elect Michael J.<br>Castellano | Mgmt | For |
| BlackRock Floating<br>Rate Income Trust                  | BGT | 091941104 | 7/30/2014 | 1.3  |  | Elect R. Glenn Hubbard         | Mgmt | For |
| BlackRock Floating<br>Rate Income Trust                  | BGT | 091941104 | 7/30/2014 | 1.4  |  | Elect W. Carl Kester           | Mgmt | For |
| BlackRock Global<br>Opportunities Equity<br>Trust        | BOE | 092501105 | 7/30/2014 | 1.1  |  | Elect Paul L. Audet            | Mgmt | For |
| BlackRock Global<br>Opportunities Equity<br>Trust        | BOE | 092501105 | 7/30/2014 | 1.2  |  | Elect Michael J.<br>Castellano | Mgmt | For |



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|   |     |           |            |     |  |      |     |
|---|-----|-----------|------------|-----|--|------|-----|
| BlackRock Global Opportunities Equity Trust     | BOE | 092501105 | 7/30/2014  | 1.3 | Elect R. Glenn Hubbard   | Mgmt | For |
| BlackRock Global Opportunities Equity Trust     | BOE | 092501105 | 7/30/2014  | 1.4 | Elect W. Carl Kester   | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2014  | 1.1 | Elect Paul L. Audet  | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2014  | 1.2 | Elect Michael J. Castellano  | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2014  | 1.3 | Elect R. Glenn Hubbard   | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2014  | 1.4 | Elect W. Carl Kester   | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 7/30/2014  | 1.1 | Elect Paul L. Audet  | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 7/30/2014  | 1.2 | Elect Michael J. Castellano  | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 7/30/2014  | 1.3 | Elect R. Glenn Hubbard   | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 7/30/2014  | 1.4 | Elect W. Carl Kester   | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 11/10/2014 | 1   | Reorganization of the BlackRock Real Asset Equity Trust            | Mgmt | For |
| BlackRock Municipal 2020 Term Trust             | BCX | 09257A108 | 11/10/2014 | 2   | Reorganization of the BlackRock Real Ecosolutions Investment Trust | Mgmt | For |
| BlackRock MuniHoldings Quality Fund II Inc      | MUE | 09254C107 | 7/30/2014  | 1.1 | Elect Paul L. Audet  | Mgmt | For |
| BlackRock MuniHoldings Quality Fund II Inc      | MUE | 09254C107 | 7/30/2014  | 1.2 | Elect Michael J. Castellano  | Mgmt | For |
| BlackRock MuniHoldings Quality Fund II Inc      | MUE | 09254C107 | 7/30/2014  | 1.3 | Elect Richard E. Cavanagh  | Mgmt | For |

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|  |     |           |            |     |   |      |     |
|--|-----|-----------|------------|-----|---|------|-----|
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.4 | Elect Kathleen F.<br>Feldstein  | Mgmt | For |
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.5 | Elect James T. Flynn  | Mgmt | For |
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.6 | Elect Henry Gabbay  | Mgmt | For |
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.7 | Elect Jerrold B. Harris   | Mgmt | For |
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.8 | Elect R. Glenn Hubbard  | Mgmt | For |
| BlackRock<br>MuniHoldings Quality<br>Fund II Inc | MUE | 09254C107 | 7/30/2014  | 1.9 | Elect Karen P. Robards  | Mgmt | For |
| BlackRock Real Asset<br>Equity Trust             | BCF | 09254B109 | 7/30/2014  | 1.1 | Elect Paul L. Audet   | Mgmt | For |
| BlackRock Real Asset<br>Equity Trust             | BCF | 09254B109 | 7/30/2014  | 1.2 | Elect Michael J.<br>Castellano  | Mgmt | For |
| BlackRock Real Asset<br>Equity Trust             | BCF | 09254B109 | 7/30/2014  | 1.3 | Elect R. Glenn Hubbard  | Mgmt | For |
| BlackRock Real Asset<br>Equity Trust             | BCF | 09254B109 | 7/30/2014  | 1.4 | Elect W. Carl Kester  | Mgmt | For |
| BlackRock Real Asset<br>Equity Trust             | BCF | 09254B109 | 11/10/2014 | 1   | Reorganization into the<br>BlackRock Resources &<br>Commodities Trust | Mgmt | For |
| BlackRock Utility and<br>Infrastructure Trust    | BUI | 09248D104 | 7/30/2014  | 1.1 | Elect Paul L. Audet   | Mgmt | For |
| BlackRock Utility and<br>Infrastructure Trust    | BUI | 09248D104 | 7/30/2014  | 1.2 | Elect Michael J.<br>Castellano  | Mgmt | For |
| BlackRock Utility and<br>Infrastructure Trust    | BUI | 09248D104 | 7/30/2014  | 1.3 | Elect R. Glenn Hubbard  | Mgmt | For |
| BlackRock Utility and<br>Infrastructure Trust    | BUI | 09248D104 | 7/30/2014  | 1.4 | Elect W. Carl Kester  | Mgmt | For |
| BlackRock, Inc.                                  | BLK | 09247X101 | 5/28/2015  | 1   | Elect Abdlatif Yousef<br>Al-Hamad                                     | Mgmt | For |
| BlackRock, Inc.                                  | BLK | 09247X101 | 5/28/2015  | 2   | Elect Mathis<br>Cabiallavetta   | Mgmt | For |

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|  |     |           |           |     |  |          |         |
|--|-----|-----------|-----------|-----|--|----------|---------|
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 3   | Elect Pamela Daley                                     | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 4   | Elect William S. Demchak                               | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 5   | Elect Jessica P. Einhorn                               | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 6   | Elect Laurence D. Fink                                 | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 7   | Elect Fabrizio Freda                                   | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 8   | Elect Murry S. Gerber                                  | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 9   | Elect James Grosfeld                                   | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 10  | Elect Robert S. Kapito                                 | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 11  | Elect David H. Komansky                                | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 12  | Elect Sir Deryck C. Maughan                            | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 13  | Elect Cheryl D. Mills                                  | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 14  | Elect Thomas H. O'Brien                                | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 15  | Elect Ivan G. Seidenberg                               | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 16  | Elect Marco Antonio Slim Domit                         | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 17  | Elect John S. Varley                                   | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 18  | Elect Susan L. Wagner                                  | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 19  | Amendment to the 1999 Stock Award and Incentive Plan   | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 20  | Advisory Vote on Executive Compensation                | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 21  | Ratification of Auditor                                | Mgmt     | For     |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 22  | Shareholder Proposal Regarding Genocide-Free Investing | ShrHoldr | Against |
| BlackRock, Inc.                              | BLK | 09247X101 | 5/28/2015 | 23  | Shareholder Proposal Regarding Lobbying Report         | ShrHoldr | Against |
| Blackstone/gso Long-short Credit Income Fund | BSL | 09256U105 | 4/22/2015 | 1.1 | Elect Thomas W. Jasper                                 | Mgmt     | For     |
| Blackstone/gso Long-short Credit             | BSL | 09256U105 | 4/22/2015 | 1.2 | Elect Gary S. Schpero                                  | Mgmt     | For     |

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Income Fund

|                               |     |           |           |    |  |          |         |
|-------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 1  | Elect David L. Calhoun   | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 2  | Elect Arthur D. Collins, Jr.                                   | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 3  | Elect Kenneth M. Duberstein                                    | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 4  | Elect Edmund P. Giambastiani, Jr.                              | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 5  | Elect Lawrence W. Kellner                                      | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 6  | Elect Edward M. Liddy  | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 7  | Elect W. James Mc Nerney, Jr.                                  | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 8  | Elect Susan C. Schwab  | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 9  | Elect Ronald A. Williams                                       | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 10 | Elect Mike S. Zafirovski                                       | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 11 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 12 | Ratification of Auditor  | Mgmt     | For     |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 13 | Shareholder Proposal Regarding Recoupment of Unearned Bonuses  | ShrHoldr | Against |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 16 | Shareholder Proposal Regarding Lobbying Report                 | ShrHoldr | Against |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 14 | Shareholder Proposal Regarding Independent Board Chairman      | ShrHoldr | Against |
| Boeing Company                | BA  | 097023105 | 4/27/2015 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 1  | Elect Nelda J. Connors   | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 2  | Elect Charles J. Dockendorff                                   | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 3  | Elect Kristina M. Johnson                                      | Mgmt     | For     |
| Boston Scientific             | BSX | 101137107 | 5/5/2015  | 4  | Elect Edward J. Ludwig   | Mgmt     | For     |

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Corporation

|                               |     |           |           |    |   |          |         |
|-------------------------------|-----|-----------|-----------|----|---|----------|---------|
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 5  | Elect Stephen P. MacMillan                    | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 6  | Elect Michael F. Mahoney                      | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 9  | Elect Pete M. Nicholas                        | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 10 | Elect David J. Roux                           | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 11 | Elect John E. Sununu                          | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 12 | Advisory Vote on Executive Compensation       | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 13 | Ratification of Auditor                       | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 14 | Shareholder Proposal Regarding Animal Welfare | ShrHoldr | Against |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 7  | Elect Ernest Mario                            | Mgmt     | For     |
| Boston Scientific Corporation | BSX | 101137107 | 5/5/2015  | 8  | Elect N.J. Nicholas, Jr.                      | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 1  | Elect David J. Anderson                       | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 2  | Elect Colleen F. Arnold                       | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 3  | Elect George S. Barrett                       | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 4  | Elect Carrie S. Cox                           | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 5  | Elect Calvin Darden                           | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 6  | Elect Bruce L. Downey                         | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 7  | Elect Patricia A. Hemingway Hall              | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 8  | Elect Clayton M. Jones                        | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 9  | Elect Gregory B. Kenny                        | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 10 | Elect David P. King                           | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 11 | Elect Richard C. Notebaert                    | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 12 | Ratification of Auditor                       | Mgmt     | For     |
| Cardinal Health, Inc.         | CAH | 14149Y108 | 11/5/2014 | 13 |   | Mgmt     | For     |

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|                       |     |           |           |    |   |          |         |
|-----------------------|-----|-----------|-----------|----|---|----------|---------|
|                       |     |           |           |    | Advisory Vote on Executive Compensation                                 |          |         |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/5/2014 | 14 | Approval of the Material Terms of the Management Incentive Plan         | Mgmt     | For     |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/5/2014 | 15 | Shareholder Proposal Regarding Political Contributions and Expenditures | ShrHoldr | Against |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 1  | Elect David L. Calhoun  | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 2  | Elect Daniel M. Dickinson   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 3  | Elect Juan Gallardo   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 4  | Elect Jesse J. Greene, Jr.  | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 5  | Elect Jon M. Huntsman, Jr.  | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 6  | Elect Dennis A. Muilenburg  | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 7  | Elect Douglas R. Oberhelman   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 8  | Elect William A. Osborn   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 9  | Elect Debra L. Reed   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 10 | Elect Edward B. Rust, Jr.   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 11 | Elect Susan C. Schwab   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 12 | Elect Miles D. White  | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 13 | Ratification of Auditor   | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 17 | Shareholder Proposal Regarding Review of Human Rights Policies          | ShrHoldr | Against |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 18 | Shareholder Proposal Regarding Review of Human Rights Policies (2)      | ShrHoldr | Against |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 14 | Advisory Vote on Executive Compensation                                 | Mgmt     | For     |
| Caterpillar Inc.      | CAT | 149123101 | 6/10/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman               | ShrHoldr | Against |

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|   |     |           |            |     |  |          |         |
|---|-----|-----------|------------|-----|--|----------|---------|
| Caterpillar Inc.                            | CAT | 149123101 | 6/10/2015  | 16  | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 11/10/2014 | 1.2 | Elect Frederick S. Hammer                                      | Mgmt     | For     |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 11/10/2014 | 1.1 | Elect T. Ritson Ferguson                                       | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 1   | Elect Alexander B. Cummings, Jr.                               | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 2   | Elect Linnet F. Deily  | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 3   | Elect Robert E. Denham   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 4   | Elect Alice P. Gast  | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 5   | Elect Enrique Hernandez, Jr.                                   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 6   | Elect Jon M. Huntsman, Jr.                                     | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 7   | Elect Charles W. Moorman, IV                                   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 8   | Elect John G. Stumpf   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 9   | Elect Ronald D. Sugar  | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 10  | Elect Inge G. Thulin   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 11  | Elect Carl Ware  | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 12  | Elect John S. Watson   | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 13  | Ratification of Auditor  | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 14  | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 15  | Shareholder Proposal Regarding Charitable Contributions        | ShrHoldr | Against |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 17  | Shareholder Proposal Regarding Prohibiting Political Spending  | ShrHoldr | Against |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 18  | Shareholder Proposal Regarding Dividend Policy                 | ShrHoldr | Against |
| Chevron Corporation                         | CVX | 166764100 | 5/27/2015  | 19  | Shareholder Proposal   | ShrHoldr | Against |

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|                     |      |           |            |    |   |   |         |  |
|---------------------|------|-----------|------------|----|---|---|---------|--|
|                     |      |           |            |    |   | Regarding Reporting and Reducing Greenhouse Gas Emissions |         |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 20 | Shareholder Proposal Regarding Hydraulic Fracturing             | ShrHoldr  | Against |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 23 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHoldr  | Against |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 24 | Shareholder Proposal Regarding Right to Call a Special Meeting  | ShrHoldr  | Against |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 16 | Shareholder Proposal Regarding Lobbying Report                  | ShrHoldr  | Against |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 21 | Shareholder Proposal Regarding Proxy Access                     | ShrHoldr  | Against |  |
| Chevron Corporation | CVX  | 166764100 | 5/27/2015  | 22 | Shareholder Proposal Regarding Independent Board Chairman       | ShrHoldr  | Against |  |
| CIGNA Corporation   | CI   | 125509109 | 4/22/2015  | 1  | Elect John M. Partridge   | Mgmt  | For     |  |
| CIGNA Corporation   | CI   | 125509109 | 4/22/2015  | 2  | Elect James E. Rogers   | Mgmt  | For     |  |
| CIGNA Corporation   | CI   | 125509109 | 4/22/2015  | 3  | Elect Eric C. Wiseman   | Mgmt  | For     |  |
| CIGNA Corporation   | CI   | 125509109 | 4/22/2015  | 4  | Advisory Vote on Executive Compensation                         | Mgmt  | For     |  |
| CIGNA Corporation   | CI   | 125509109 | 4/22/2015  | 5  | Ratification of Auditor   | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 1  | Elect Carol A. Bartz  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 2  | Elect M. Michele Burns  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 3  | Elect Michael D. Capellas                                       | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 4  | Elect John T. Chambers  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 5  | Elect Brian L. Halla  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 7  | Elect Kristina M. Johnson                                       | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 8  | Elect Roderick C. McGeary                                       | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 9  | Elect Arun Sarin  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 10 | Elect Steven M. West  | Mgmt  | For     |  |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 11 | Amendment to the Employee Stock Purchase                        | Mgmt  | For     |  |



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|  |      |           |            |     | Plan   |          |         |
|--|------|-----------|------------|-----|--|----------|---------|
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 12  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 13  | Ratification of Auditor  | Mgmt     | For     |
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 14  | Shareholder Proposal Regarding Formation of a Public Policy Committee of the Board                 | ShrHoldr | Against |
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 15  | Shareholder Proposal Regarding Proxy Access  | ShrHoldr | Against |
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 6   | Elect John L. Hennessy   | Mgmt     | For     |
| Cisco Systems, Inc.                          | CSCO | 17275R102 | 11/20/2014 | 16  | Shareholder Proposal Regarding Political Contributions and Expenditures Report                     | ShrHoldr | Against |
| ClearBridge Energy MLP Total Return Fund Inc | CTR  | 18469Q108 | 3/20/2015  | 1.1 | Elect Robert D. Agdern   | Mgmt     | For     |
| ClearBridge Energy MLP Total Return Fund Inc | CTR  | 18469Q108 | 3/20/2015  | 1.2 | Elect Eileen A. Kamerick   | Mgmt     | For     |
| ClearBridge Energy MLP Total Return Fund Inc | CTR  | 18469Q108 | 3/20/2015  | 1.3 | Elect Riordan Roett  | Mgmt     | For     |
| Clough Global Allocation Fund                | GLV  | 18913Y103 | 7/15/2014  | 1.1 | Elect Adam D. Crescenzi  | Mgmt     | For     |
| Clough Global Allocation Fund                | GLV  | 18913Y103 | 7/15/2014  | 1.2 | Elect Jerry G. Rutledge  | Mgmt     | For     |
| Clough Global Allocation Fund                | GLV  | 18913Y103 | 7/15/2014  | 1.3 | Elect Vincent W. Versaci   | Mgmt     | For     |
| Cohen & Steers Infrastructure Fund Inc       | UTF  | 19248A109 | 4/23/2015  | 1.1 | Elect George Grossman  | Mgmt     | For     |
| Cohen & Steers Infrastructure Fund Inc       | UTF  | 19248A109 | 4/23/2015  | 1.2 | Elect Robert H. Steers   | Mgmt     | For     |
| Cohen & Steers Infrastructure Fund Inc       | UTF  | 19248A109 | 4/23/2015  | 1.3 | Elect C. Edward Ward, Jr.  | Mgmt     | For     |
| Cohen & Steers Infrastructure Fund Inc       | UTF  | 19248A109 | 4/23/2015  | 2   | For Cohen & Steers Infrastructure Fund, Revise Fundamental Investment Restriction Policy Regarding | Mgmt     | For     |

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|   |       |           |           |      |                               | Concentrating<br>Investments in an<br>Industry |     |  |
|---|-------|-----------|-----------|------|-------------------------------|--|-----|--|
| Cohen & Steers Quality<br>Income Realty Fund,<br>Inc.   | RQI   | 19247L106 | 4/23/2015 | 1.1  | Elect George Grossman         | Mgmt   | For |  |
| Cohen & Steers Quality<br>Income Realty Fund,<br>Inc.   | RQI   | 19247L106 | 4/23/2015 | 1.2  | Elect Robert H. Steers        | Mgmt   | For |  |
| Cohen & Steers Quality<br>Income Realty Fund,<br>Inc.   | RQI   | 19247L106 | 4/23/2015 | 1.3  | Elect C. Edward Ward,<br>Jr.  | Mgmt   | For |  |
| Cohen & Steers REIT &<br>Preferred Income Fund,<br>Inc. | RNP   | 19247X100 | 4/23/2015 | 1.1  | Elect George Grossman         | Mgmt   | For |  |
| Cohen & Steers REIT &<br>Preferred Income Fund,<br>Inc. | RNP   | 19247X100 | 4/23/2015 | 1.2  | Elect Robert H. Steers        | Mgmt   | For |  |
| Cohen & Steers REIT &<br>Preferred Income Fund,<br>Inc. | RNP   | 19247X100 | 4/23/2015 | 1.3  | Elect C. Edward Ward,<br>Jr.  | Mgmt   | For |  |
| Cohen & Steers Total<br>Return Realty Fund Inc          | RFI   | 19247R103 | 4/23/2015 | 1.1  | Elect George Grossman         | Mgmt   | For |  |
| Cohen & Steers Total<br>Return Realty Fund Inc          | RFI   | 19247R103 | 4/23/2015 | 1.2  | Elect Robert H. Steers        | Mgmt   | For |  |
| Cohen & Steers Total<br>Return Realty Fund Inc          | RFI   | 19247R103 | 4/23/2015 | 1.3  | Elect C. Edward Ward,<br>Jr.  | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.1  | Elect Kenneth J. Bacon        | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.2  | Elect Sheldon M.<br>Bonovitz  | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.3  | Elect Edward D. Breen         | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.5  | Elect J. Michael Cook         | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.7  | Elect Jeffrey A.<br>Honickman | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.8  | Elect Eduardo G. Mestre       | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.9  | Elect Brian L. Roberts        | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.10 | Elect Ralph J. Roberts        | Mgmt   | For |  |
| Comcast Corporation                                     | CMCSA | 20030N101 | 5/21/2015 | 1.11 | Elect Johnathan A.<br>Rodgers | Mgmt   | For |  |

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|                     |       |           |           |      |   |          |         |
|---------------------|-------|-----------|-----------|------|---|----------|---------|
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 2    | Ratification of Auditor   | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 3    | Amendment to the 2006 Cash Bonus Plan   | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 4    | Shareholder Proposal Regarding Lobbying Report                                  | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 10/8/2014 | 1    | Merger  | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 10/8/2014 | 2    | Right to Adjourn Meeting  | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.4  | Elect Joseph J. Collins   | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.6  | Elect Gerald L. Hassell   | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.12 | Elect Judith Rodin  | Mgmt     | For     |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 5    | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 6    | Shareholder Proposal Regarding Recapitalization                                 | ShrHoldr | Against |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 1    | Elect Richard L. Armitage   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 2    | Elect Richard H. Auchinleck   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 3    | Elect Charles E. Bunch  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 4    | Elect James E. Copeland, Jr.  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 5    | Elect John V. Faraci  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 6    | Elect Jody L Freeman  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 7    | Elect Gay Huey Evans  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 8    | Elect Ryan M. Lance   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 9    | Elect Arjun N. Murti  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 10   | Elect Robert A. Niblock   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 11   | Elect Harald J. Norvik  | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 12   | Ratification of Auditor   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 13   | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| ConocoPhillips      | COP   | 20825C104 | 5/12/2015 | 14   | Shareholder Proposal Regarding Lobbying   | ShrHoldr | Against |

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|  |     |           |           |     | Report  |          |         |
|--|-----|-----------|-----------|-----|---|----------|---------|
| ConocoPhillips                                   | COP | 20825C104 | 5/12/2015 | 15  | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| ConocoPhillips                                   | COP | 20825C104 | 5/12/2015 | 16  | Shareholder Proposal Regarding Reserves Metrics in Executive Compensation       | ShrHoldr | Against |
| ConocoPhillips                                   | COP | 20825C104 | 5/12/2015 | 17  | Shareholder Proposal Regarding Proxy Access                                     | ShrHoldr | Against |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 1   | Elect Donald W. Blair   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 2   | Elect Stephanie A. Burns  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 3   | Elect John A. Canning Jr.   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 4   | Elect Richard T. Clark  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 7   | Elect Deborah A. Henretta   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 8   | Elect Daniel P. Huttenlocher  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 9   | Elect Kurt M. Landgraf  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 10  | Elect Kevin J. Martin   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 11  | Elect Deborah D. Rieman   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 12  | Elect Hansel E. Tookes II   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 13  | Elect Wendell P. Weeks  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 14  | Elect Mark S. Wrighton  | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 15  | Ratification of Auditor   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 16  | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 17  | Shareholder Proposal Regarding Holy Land Principles                             | ShrHoldr | Against |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 5   | Elect Robert F. Cummings, Jr.   | Mgmt     | For     |
| Corning Incorporated                             | GLW | 219350105 | 4/30/2015 | 6   | Elect James B. Flaws  | Mgmt     | For     |
| Credit Suisse Asset Management Income Fund, Inc. | CIK | 224916106 | 4/21/2015 | 1.1 | Elect Lawrence J. Fox   | Mgmt     | For     |

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|  |     |           |            |     |   |      |     |
|--|-----|-----------|------------|-----|---|------|-----|
| Credit Suisse Asset Management Income Fund, Inc. | CIK | 224916106 | 4/21/2015  | 1.2 | Elect John G. Popp                              | Mgmt | For |
| Cutwater Select Income Fund                      | CSI | 232229104 | 6/17/2015  | 1.1 | Elect W. Thacher Brown                          | Mgmt | For |
| Cutwater Select Income Fund                      | CSI | 232229104 | 6/17/2015  | 1.2 | Elect Ellen D. Harvey                           | Mgmt | For |
| Cutwater Select Income Fund                      | CSI | 232229104 | 6/17/2015  | 1.3 | Elect Thomas E. Spock                           | Mgmt | For |
| Cutwater Select Income Fund                      | CSI | 232229104 | 6/17/2015  | 1.4 | Elect Suzanne P. Welsh                          | Mgmt | For |
| Cutwater Select Income Fund                      | CSI | 232229104 | 12/10/2014 | 1   | Approval of a New Investment Advisory Agreement | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 1   | Elect Richard M. Bracken                        | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 2   | Elect C. David Brown II                         | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 3   | Elect Alecia A. DeCoudreaux                     | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 4   | Elect Nancy-Ann M. Deparle                      | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 5   | Elect David W. Dorman                           | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 6   | Elect Anne M. Finucane                          | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 7   | Elect Larry J. Merlo                            | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 8   | Elect Jean-Pierre Millon                        | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 9   | Elect Richard J. Swift                          | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 10  | Elect William C. Weldon                         | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 11  | Elect Tony L. White                             | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 12  | Ratification of Auditor                         | Mgmt | For |
| CVS Health Corporation                           | CVS | 126650100 | 5/7/2015   | 13  | Advisory Vote on Executive Compensation         | Mgmt | For |

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|   |     |           |           |     |  |          |         |
|---|-----|-----------|-----------|-----|--|----------|---------|
| CVS Health Corporation                          | CVS | 126650100 | 5/7/2015  | 14  | Approval of the Performance Criteria in the 2010 Incentive Compensation Plan | Mgmt     | For     |
| CVS Health Corporation                          | CVS | 126650100 | 5/7/2015  | 15  | Shareholder Proposal Regarding Incorporation of Values in Political Spending | ShrHoldr | Against |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 1   | Elect Samuel R. Allen  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 2   | Elect Crandall C. Bowles   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 3   | Elect Vance D. Coffman   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 4   | Elect Charles O. Holliday, Jr.   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 5   | Elect Dipak C. Jain  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 6   | Elect Michael O. Johanns   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 7   | Elect Clayton M. Jones   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 8   | Elect Joachim Milberg  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 9   | Elect Richard B. Myers   | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 10  | Elect Gregory R. Page  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 11  | Elect Thomas H. Patrick  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 12  | Elect Sherry M. Smith  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 13  | Right to Call a Special Meeting  | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 14  | ADVISORY VOTE ON EXECUTIVE COMPENSATION                                      | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 15  | Amendment to the Omnibus Equity and Incentive Plan                           | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 16  | Re-Approval of the Short-Term Incentive Bonus Plan                           | Mgmt     | For     |
| Deere & Company                                 | DE  | 244199105 | 2/25/2015 | 17  | Ratification of Auditor  | Mgmt     | For     |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.1 | Elect Thomas L. Bennett  | Mgmt     | For     |
| Delaware Enhanced Global Dividend &             | DEX | 246060107 | 8/20/2014 | 1.2 | Elect Joseph W. Chow   | Mgmt     | For     |

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Income Fund

|   |     |           |           |     |                                 |      |     |
|---|-----|-----------|-----------|-----|---------------------------------|------|-----|
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.3 | Elect Patrick P. Coyne          | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.4 | Elect John A. Fry               | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.5 | Elect Lucinda S. Landreth       | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.6 | Elect Frances A. Sevilla-Sacasa | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.7 | Elect Thomas K. Whitford        | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.8 | Elect Janet L. Yeomans          | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.9 | Elect J. Richard Zecher         | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 1   | Elect Richard H. Anderson       | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 2   | Elect Edward H. Bastian         | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 3   | Elect Francis S. Blake          | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 4   | Elect Roy J. Bostock            | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 5   | Elect John S. Brinzo            | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 6   | Elect Daniel A. Carp            | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 7   | Elect David G. DeWalt           | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 8   | Elect Thomas E. Donilon         | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 9   | Elect William H. Easter III     | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 10  | Elect Mickey P. Foret           | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 11  | Elect Shirley C. Franklin       | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 12  | Elect David R. Goode            | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 13  | Elect George N. Mattson         | Mgmt | For |
| Delta Air Lines, Inc.                           | DAL | 247361702 | 6/25/2015 | 14  | Elect Douglas R Ralph           | Mgmt | For |

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| Delta Air Lines, Inc.                          | DAL | 247361702 | 6/25/2015 | 15  | Elect Sergio Agapito<br>Lires Rial  | Mgmt     | For     |
| Delta Air Lines, Inc.                          | DAL | 247361702 | 6/25/2015 | 16  | Elect Kenneth B.<br>Woodrow   | Mgmt     | For     |
| Delta Air Lines, Inc.                          | DAL | 247361702 | 6/25/2015 | 17  | Advisory Vote on<br>Executive Compensation                                | Mgmt     | For     |
| Delta Air Lines, Inc.                          | DAL | 247361702 | 6/25/2015 | 18  | Ratification of Auditor   | Mgmt     | For     |
| Delta Air Lines, Inc.                          | DAL | 247361702 | 6/25/2015 | 19  | Shareholder Proposal<br>Regarding Retention of<br>Shares Until Retirement | ShrHoldr | Against |
| Deutsche High Income<br>Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014  | 1.1 | Elect John W. Ballantine  | Mgmt     | For     |
| Deutsche High Income<br>Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014  | 1.2 | Elect Dawn-Marie<br>Driscoll  | Mgmt     | For     |
| Deutsche High Income<br>Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014  | 1.3 | Elect Kenneth C.<br>Froewiss  | Mgmt     | For     |
| Deutsche High Income<br>Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014  | 1.4 | Elect Rebecca W. Rimel  | Mgmt     | For     |
| Deutsche High Income<br>Trust                  | KHI | 23337C208 | 9/3/2014  | 1.1 | Elect John W. Ballantine  | Mgmt     | For     |
| Deutsche High Income<br>Trust                  | KHI | 23337C208 | 9/3/2014  | 1.2 | Elect Dawn-Marie<br>Driscoll  | Mgmt     | For     |
| Deutsche High Income<br>Trust                  | KHI | 23337C208 | 9/3/2014  | 1.3 | Elect Kenneth C.<br>Froewiss  | Mgmt     | For     |
| Deutsche High Income<br>Trust                  | KHI | 23337C208 | 9/3/2014  | 1.4 | Elect Rebecca W. Rimel  | Mgmt     | For     |
| Deutsche Multi-Market<br>Income Trust          | KMM | 23338L108 | 9/3/2014  | 1.1 | Elect John W. Ballantine  | Mgmt     | For     |
| Deutsche Multi-Market<br>Income Trust          | KMM | 23338L108 | 9/3/2014  | 1.2 | Elect Dawn-Marie<br>Driscoll  | Mgmt     | For     |
| Deutsche Multi-Market<br>Income Trust          | KMM | 23338L108 | 9/3/2014  | 1.3 | Elect Kenneth C.<br>Froewiss  | Mgmt     | For     |
| Deutsche Multi-Market<br>Income Trust          | KMM | 23338L108 | 9/3/2014  | 1.4 | Elect Rebecca W. Rimel  | Mgmt     | For     |
| Devon Energy<br>Corporation                    | DVN | 25179M103 | 6/3/2015  | 1.1 | Elect Barbara M.<br>Baumann   | Mgmt     | For     |
| Devon Energy<br>Corporation                    | DVN | 25179M103 | 6/3/2015  | 1.2 | Elect John E. Bethancourt   | Mgmt     | For     |
|  | DVN | 25179M103 | 6/3/2015  | 1.5 |   | Mgmt     | For     |



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| Devon Energy Corporation    |     |           |           |     |  | Elect Robert A. Mosbacher, Jr.   |          |         |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.6 |  | Elect J. Larry Nichols   | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.7 |  | Elect Duane Radtke   | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.8 |  | Elect Mary P. Ricciardello   | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.9 |  | Elect John Richels   | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 2   |  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 3   |  | Ratification of Auditor  | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 4   |  | 2015 Long-Term Incentive Plan  | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 6   |  | Shareholder Proposal Regarding Report on Lobbying Activities Related to Energy Policy and Climate Change | ShrHoldr | Against |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 8   |  | Shareholder Proposal Regarding Carbon Asset Risk   | ShrHoldr | Against |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.3 |  | Elect Robert H. Henry  | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 1.4 |  | Elect Michael M. Kanovsky  | Mgmt     | For     |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 5   |  | Shareholder Proposal Regarding Proxy Access  | ShrHoldr | Against |
| Devon Energy Corporation    | DVN | 25179M103 | 6/3/2015  | 7   |  | Shareholder Proposal Regarding Lobbying Report   | ShrHoldr | Against |
| DIRECTV                     | DTV | 25490A309 | 9/25/2014 | 1   |  | Merger/Acquisition   | Mgmt     | For     |
| DIRECTV                     | DTV | 25490A309 | 9/25/2014 | 2   |  | Advisory Vote on Golden Parachutes   | Mgmt     | For     |
| DIRECTV                     | DTV | 25490A309 | 9/25/2014 | 3   |  | Right to Adjourn Meeting   | Mgmt     | For     |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 1   |  | Elect Jeffrey S. Aronin  | Mgmt     | For     |
|                             | DFS | 254709108 | 4/29/2015 | 2   |  | Elect Mary K. Bush   | Mgmt     | For     |

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| Discover Financial Services |     |           |           |    |   |      |     |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 3  | Elect Gregory C. Case                   | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 4  | Elect Candace H. Duncan                 | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 5  | Elect Cynthia A. Glassman               | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 6  | Elect Richard H. Lenny                  | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 7  | Elect Thomas G. Maheras                 | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 8  | Elect Michael H. Moskow                 | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 9  | Elect David W. Nelms                    | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 10 | Elect Mark A. Thierer                   | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 11 | Elect Lawrence A. Weinbach              | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |  |
| Discover Financial Services | DFS | 254709108 | 4/29/2015 | 13 | Ratification of Auditor                 | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 1  | Elect Ajay Banga                        | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 2  | Elect Jacqueline K. Barton              | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 3  | Elect James A. Bell                     | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 4  | Elect Richard K. Davis                  | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 5  | Elect Jeff M. Fettig                    | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 6  | Elect Andrew N. Liveris                 | Mgmt | For |  |
| Dow Chemical Company        | DOW | 260543103 | 5/14/2015 | 7  | Elect Mark Loughridge                   | Mgmt | For |  |
|                             | DOW | 260543103 | 5/14/2015 | 8  |   | Mgmt | For |  |

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| Dow Chemical Company                         |     |           |           |     |  | Elect Raymond J. Milchovich   |          |         |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 9   |  | Elect Robert S. Miller  | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 10  |  | Elect Paul Polman   | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 11  |  | Elect Dennis H. Reilley   | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 12  |  | Elect James M. Ringler  | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 13  |  | Elect Ruth G. Shaw  | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 14  |  | Ratification of Auditor   | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 15  |  | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| Dow Chemical Company                         | DOW | 260543103 | 5/14/2015 | 16  |  | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/18/2015 | 1.1 |  | Elect Donald C. Burke   | Mgmt     | For     |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/18/2015 | 1.2 |  | Elect Stewart E. Conner   | Mgmt     | For     |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/18/2015 | 1.3 |  | Elect Eileen A. Moran   | Mgmt     | For     |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/18/2015 | 1.4 |  | Elect David J. Vitale   | Mgmt     | For     |
| Eaton Vance Senior Floating-Rate Fund        | EFR | 27828Q105 | 8/21/2014 | 1.1 |  | Elect Thomas E. Faust Jr.   | Mgmt     | For     |
| Eaton Vance Senior Floating-Rate Fund        | EFR | 27828Q105 | 8/21/2014 | 1.2 |  | Elect William H. Park   | Mgmt     | For     |
| Eaton Vance Senior Income Trust              | EFT | 278279104 | 3/26/2015 | 1.1 |  | Elect George J. Gorman  | Mgmt     | For     |
| Eaton Vance Senior Income Trust              | EFT | 278279104 | 3/26/2015 | 1.2 |  | Elect William H. Park   | Mgmt     | For     |
| Eaton Vance Senior Income Trust              | EFT | 278279104 | 3/26/2015 | 1.3 |  | Elect Ronald A. Pearlman  | Mgmt     | For     |
| Eaton Vance Senior Income Trust              | EFT | 278279104 | 3/26/2015 | 1.4 |  | Elect Harriett Tee Taggart  | Mgmt     | For     |

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|                                 |     |           |            |     |   |          |         |
|---------------------------------|-----|-----------|------------|-----|---|----------|---------|
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.1 | Elect Thomas E. Faust Jr.                                 | Mgmt     | For     |
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.2 | Elect Cynthia E. Frost                                    | Mgmt     | For     |
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.3 | Elect Valerie A. Mosley                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 1   | Elect Douglas M. Baker, Jr.                               | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 2   | Elect Barbara J. Beck                                     | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 3   | Elect Leslie S. Biller                                    | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 4   | Elect Carl M. Casale                                      | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 5   | Elect Stephen I. Chazen                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 6   | Elect Jeffrey M. Ettinger                                 | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 7   | Elect Jerry A. Grundhofer                                 | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 8   | Elect Arthur J. Higgins                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 9   | Elect Joel W. Johnson                                     | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 10  | Elect Michael Larson                                      | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 11  | Elect Jerry W. Levin                                      | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 12  | Elect Robert L. Lumpkins                                  | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 13  | Elect Tracy B. McKibben                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 14  | Elect Victoria J. Reich                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 15  | Elect Suzanne M. Vautrinot                                | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 16  | Elect John J. Zillmer                                     | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 17  | Ratification of Auditor                                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 18  | Advisory Vote on Executive Compensation                   | Mgmt     | For     |
| Ecolab Inc.                     | ECL | 278865100 | 5/7/2015   | 19  | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| EOG Resources, Inc.             | EOG | 26875P101 | 4/30/2015  | 1   | Elect Janet F. Clark                                      | Mgmt     | For     |
| EOG Resources, Inc.             | EOG | 26875P101 | 4/30/2015  | 2   | Elect Charles R. Crisp                                    | Mgmt     | For     |
| EOG Resources, Inc.             | EOG | 26875P101 | 4/30/2015  | 3   | Elect James C. Day  | Mgmt     | For     |
| EOG Resources, Inc.             | EOG | 26875P101 | 4/30/2015  | 4   | Elect H. Leighton Steward                                 | Mgmt     | For     |

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|                     |     |           |           |    |   |          |         |
|---------------------|-----|-----------|-----------|----|---|----------|---------|
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 5  | Elect Donald F. Textor  | Mgmt     | For     |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 6  | Elect William R. Thomas   | Mgmt     | For     |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 7  | Elect Frank G. Wisner   | Mgmt     | For     |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 8  | Ratification of Auditor   | Mgmt     | For     |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 9  | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 11 | Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Target | ShrHoldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 10 | Shareholder Proposal Regarding Proxy Access                                     | ShrHoldr | Against |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 1  | Elect Anthony K. Anderson   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 2  | Elect Ann C. Berzin   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 4  | Elect Christopher M. Crane  | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 6  | Elect Nicholas DeBenedictis   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 7  | Elect Paul L. Joskow  | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 9  | Elect Richard W. Mies   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 11 | Elect John W. Rogers, Jr.   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 12 | Elect Mayo A. Shattuck III  | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 13 | Elect Stephen D. Steinour   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 14 | Ratification of Auditor   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 16 | Reapproval of Performance Goals Under 2011 Long-Term Incentive Plan             | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 3  | Elect John A. Canning Jr.   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 5  | Elect Yves C. de Balmann  | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 8  | Elect Robert J. Lawless   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 10 | Elect William C. Richardson   | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 15 |   | Mgmt     | For     |

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|   |     |           |           |     | Advisory Vote on<br>Executive Compensation     |          |         |
|---|-----|-----------|-----------|-----|--|----------|---------|
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 17  | Management Proposal<br>Regarding Proxy Access  | Mgmt     | For     |
| Exelon Corporation  | EXC | 30161N101 | 4/28/2015 | 18  | Shareholder Proposal<br>Regarding Proxy Access | ShrHoldr | Against |
| First Trust - Four<br>Corners Senior Floating<br>Rate Income Fund | FCT | 33733U108 | 9/15/2014 | 1.1 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust - Four<br>Corners Senior Floating<br>Rate Income Fund | FCT | 33733U108 | 9/15/2014 | 1.2 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FEO | 33731K102 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FEO | 33731K102 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FEN | 33738G104 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FEN | 33738G104 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FIF | 33738C103 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust Energy<br>Income and Growth<br>Fund                   | FIF | 33738C103 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust High Income<br>Long Short Fund                        | FSD | 33738E109 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust High Income<br>Long Short Fund                        | FSD | 33738E109 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust Intermediate<br>Duration Preferred &<br>Income Fund   | FPF | 33739B104 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |
| First Trust Intermediate<br>Duration Preferred &<br>Income Fund   | FPF | 33739B104 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec                         | Mgmt     | For     |
| First Trust Mortgage  | FMY | 33734E103 | 4/20/2015 | 1.1 | Elect Richard E. Erickson                      | Mgmt     | For     |

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Income Fund

|                                  |     |           |           |     |  |          |         |
|----------------------------------|-----|-----------|-----------|-----|--|----------|---------|
| First Trust Mortgage Income Fund | FMY | 33734E103 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 1   | Elect Stephen G. Butler  | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 2   | Elect Kimberly A. Casiano                                      | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 3   | Elect Anthony F. Earley, Jr.                                   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 4   | Elect Mark Fields  | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 6   | Elect William Clay Ford, Jr.                                   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 7   | Elect James P. Hackett   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 8   | Elect James H. Hance, Jr.                                      | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 9   | Elect William W. Helman IV                                     | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 10  | Elect Jon M. Huntsman, Jr.                                     | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 11  | Elect William E. Kennard                                       | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 12  | Elect John C. Lechleiter                                       | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 13  | Elect Ellen R. Marram  | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 15  | Elect John L. Thornton   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 16  | Ratification of Auditor  | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 17  | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 5   | Elect Edsel B. Ford II   | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 14  | Elect Gerald L. Shaheen  | Mgmt     | For     |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 18  | Shareholder Proposal Regarding Recapitalization                | ShrHoldr | Against |
| Ford Motor Company               | F   | 345370860 | 5/14/2015 | 19  | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Franklin Resources, Inc.         | BEN | 354613101 | 3/11/2015 | 1   | Elect Peter K. Barker  | Mgmt     | For     |
| Franklin Resources, Inc.         | BEN | 354613101 | 3/11/2015 | 2   | Elect Mariann Byerwalter                                       | Mgmt     | For     |
| Franklin Resources, Inc.         | BEN | 354613101 | 3/11/2015 | 3   | Elect Charles E. Johnson                                       | Mgmt     | For     |
| Franklin Resources, Inc.         | BEN | 354613101 | 3/11/2015 | 4   | Elect Gregory E. Johnson                                       | Mgmt     | For     |

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|  |     |           |           |      |  |      |     |
|--|-----|-----------|-----------|------|--|------|-----|
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 5    | Elect Rupert H. Johnson, Jr.   | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 6    | Elect Mark C. Pigott   | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 7    | Elect Chutta Ratnathicam   | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 8    | Elect Laura Stein  | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 9    | Elect Seth H. Waugh  | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 10   | Elect Geoffrey Y. Yang   | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 11   | Ratification of Auditor  | Mgmt | For |
| Franklin Resources, Inc.               | BEN | 354613101 | 3/11/2015 | 12   | Re-Approval of the Material Terms of the 2002 Universal Stock Incentive Plan | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.1  | Elect Harris J. Ashton   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.2  | Elect Mary C. Choksi   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.3  | Elect Sam Ginn   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.4  | Elect Edith E. Holiday   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.5  | Elect Gregory E. Johnson   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.6  | Elect Rupert H. Johnson, Jr.   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.7  | Elect J. Michael Luttig  | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.8  | Elect Frank A. Olson   | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.9  | Elect Larry D. Thompson  | Mgmt | For |
| Franklin Universal Trust               | FT  | 355145103 | 3/20/2015 | 1.10 | Elect John B. Wilson   | Mgmt | For |
| Gabelli Equity Trust Inc.              | GAB | 362397101 | 5/11/2015 | 1.1  | Elect Anthony R. Pustorino   | Mgmt | For |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/11/2015 | 1.1  | Elect Salvatore M. Salibello   | Mgmt | For |
| Gabelli Healthcare & WellnessRx Trust  | GRX | 36246K103 | 5/11/2015 | 1.1  | Elect Robert C. Kolodny  | Mgmt | For |



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|  |     |           |           |     |  |          |         |
|--|-----|-----------|-----------|-----|--|----------|---------|
| Gabelli Healthcare & WellnessRx Trust        | GRX | 36246K103 | 5/11/2015 | 1.2 | Elect Salvatore J. Zizza                         | Mgmt     | For     |
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/11/2015 | 1.1 | Elect Anthony J. Colavita                        | Mgmt     | For     |
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/11/2015 | 1.2 | Elect Frank J. Fahrenkopf, Jr.                   | Mgmt     | For     |
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/11/2015 | 1.3 | Elect William F. Heitmann                        | Mgmt     | For     |
| GAMCO Natural Resources, Gold & Income Trust | GNT | 36465E101 | 5/11/2015 | 1.4 | Elect Salvatore J. Zizza                         | Mgmt     | For     |
| GDL Fund                                     | GDL | 361570104 | 5/11/2015 | 1.1 | Elect Mario J. Gabelli                           | Mgmt     | For     |
| GDL Fund                                     | GDL | 361570104 | 5/11/2015 | 1.2 | Elect Mario d'Urso                               | Mgmt     | For     |
| GDL Fund                                     | GDL | 361570104 | 5/11/2015 | 1.3 | Elect Michael J. Melarkey                        | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.2 | Elect Lewis B. Cullman                           | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.3 | Elect Spencer Davidson                           | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.4 | Elect John D. Gordan, III                        | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.5 | Elect Betsy F. Gotbaum                           | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.6 | Elect Sidney R. Knafel                           | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.7 | Elect Daniel M. Neidich                          | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 1.8 | Elect Jeffrey W. Priest                          | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 2   | Ratification of Auditor                          | Mgmt     | For     |
| General American Investors Company, Inc.     | GAM | 368802104 | 4/15/2015 | 3   | Shareholder Proposal to Self-Tender or Liquidate | ShrHoldr | Against |
|  | GAM | 368802104 | 4/15/2015 | 1.1 | Elect Rodney B. Berens                           | Mgmt     | For     |

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General American  
Investors Company, Inc.

|                              |    |           |           |    |   |          |         |
|------------------------------|----|-----------|-----------|----|---|----------|---------|
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 1  | Elect Mary T. Barra                                       | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 2  | Elect Nicholas D. Chabraja                                | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 3  | Elect James S. Crown                                      | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 4  | Elect Rudy F. deLeon                                      | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 5  | Elect William P. Fricks                                   | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 6  | Elect John M. Keane                                       | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 7  | Elect Lester L. Lyles                                     | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 8  | Elect James N. Mattis                                     | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 9  | Elect Phebe N. Novakovic                                  | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 10 | Elect William A. Osborn                                   | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 11 | Elect Laura J. Schumacher                                 | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 12 | Ratification of Auditor                                   | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 13 | Advisory Vote on Executive Compensation                   | Mgmt     | For     |
| General Dynamics Corporation | GD | 369550108 | 5/6/2015  | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| General Electric Company     | GE | 369604103 | 4/22/2015 | 1  | Elect W. Geoffrey Beattie                                 | Mgmt     | For     |
| General Electric Company     | GE | 369604103 | 4/22/2015 | 2  | Elect John J. Brennan                                     | Mgmt     | For     |
| General Electric Company     | GE | 369604103 | 4/22/2015 | 3  | Elect James I. Cash, Jr.                                  | Mgmt     | For     |
| General Electric Company     | GE | 369604103 | 4/22/2015 | 4  | Elect Francisco D'Souza                                   | Mgmt     | For     |

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|                          |    |           |           |    |  |          |         |
|--------------------------|----|-----------|-----------|----|--|----------|---------|
| General Electric Company | GE | 369604103 | 4/22/2015 | 5  | Elect Marijn E. Dekkers  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 6  | Elect Susan Hockfield  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 7  | Elect Jeffrey R. Immelt  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 8  | Elect Andrea Jung  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 9  | Elect Robert W. Lane   | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 10 | Elect Rochelle B. Lazarus                                      | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 11 | Elect James J. Mulva   | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 12 | Elect James E. Rohr  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 13 | Elect Mary L. Schapiro   | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 14 | Elect Robert J. Swieringa                                      | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 15 | Elect James S. Tisch   | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 16 | Elect Douglas A. Warner III                                    | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 17 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 18 | Ratification of Auditor  | Mgmt     | For     |
| General Electric Company | GE | 369604103 | 4/22/2015 | 19 | Shareholder Proposal Regarding Cumulative Voting               | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 20 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 21 | Shareholder Proposal Regarding Nomination of Retiree Directors | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 22 | Shareholder Proposal Regarding Holy Land Principles            | ShrHoldr | Against |

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|                             |      |           |           |     |   |          |         |
|-----------------------------|------|-----------|-----------|-----|---|----------|---------|
| General Electric Company    | GE   | 369604103 | 4/22/2015 | 23  | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 1   | Elect John F. Cogan   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 2   | Elect Etienne F. Davignon   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 3   | Elect Carla A. Hills  | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 4   | Elect Kevin E. Lofton   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 5   | Elect John W. Madigan   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 6   | Elect John C. Martin  | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 7   | Elect Nicholas G. Moore   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 8   | Elect Richard J. Whitley  | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 9   | Elect Gayle E. Wilson   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 10  | Elect Per Wold-Olsen  | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 11  | Ratification of Auditor   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 12  | Amendment to the Employee Stock Purchase Plans                                  | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 13  | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 16  | Shareholder Proposal Regarding Sustainability Report                            | ShrHoldr | Against |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 17  | Shareholder Proposal Regarding Drug Pricing Report                              | ShrHoldr | Against |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 14  | Shareholder Proposal Regarding Right to Act by Written Consent                  | ShrHoldr | Against |
| Gilead Sciences, Inc.       | GILD | 375558103 | 5/6/2015  | 15  | Shareholder Proposal Regarding Independent Board Chairman                       | ShrHoldr | Against |
| Global High Income Fund Inc | GHI  | 37933G108 | 2/19/2015 | 1.1 | Elect Richard Q. Armstrong  | Mgmt     | For     |
| Global High Income Fund Inc | GHI  | 37933G108 | 2/19/2015 | 1.2 | Elect Alan S. Bernikow  | Mgmt     | For     |
| Global High Income          | GHI  | 37933G108 | 2/19/2015 | 1.3 | Elect David Malpass   | Mgmt     | For     |

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Fund Inc

|   |     |           |            |    |   |      |     |
|---|-----|-----------|------------|----|---|------|-----|
| Guggenheim Enhanced Equity Strategy Fund            | GGE | 40167K100 | 4/29/2015  | 1  | Elect Dr. Jerry B. Farley                       | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund            | GGE | 40167K100 | 4/29/2015  | 2  | Elect Roman Friedrich III                       | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund            | GGE | 40167K100 | 4/29/2015  | 3  | Elect Ronald A. Nyberg                          | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167M106 | 4/29/2015  | 1  | Elect Dr. Jerry B. Farley                       | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167M106 | 4/29/2015  | 2  | Elect Roman Friedrich III                       | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GOF | 40167M106 | 4/29/2015  | 3  | Elect Ronald A. Nyberg                          | Mgmt | For |
| Guggenheim Funds Investment Advisors LLC            | MZF | 56165R103 | 12/10/2014 | 1  | Approval of a New Investment Advisory Agreement | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 3/27/2015  | 1  | Merger/Acquisition                              | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 3/27/2015  | 2  | Right to Adjourn Meeting                        | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 1  | Elect Abdulaziz F. Al Khayyal                   | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 2  | Elect Alan M. Bennett                           | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 3  | Elect James R. Boyd                             | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 5  | Elect Nance K. Dicciani                         | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 6  | Elect Murry S. Gerber                           | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 7  | Elect Jose C. Grubisich                         | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 8  | Elect David J. Lesar                            | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 9  | Elect Robert A. Malone                          | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 10 | Elect J. Landis Martin                          | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 11 | Elect Jeffrey A. Miller                         | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 12 | Elect Debra L. Reed                             | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 13 | Ratification of Auditor                         | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 14 | Advisory Vote on Executive Compensation         | Mgmt | For |
| Halliburton Company                                 | HAL | 406216101 | 5/20/2015  | 15 |   | Mgmt | For |

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|                                   |      |           |            |     |  |   |      |     |
|-----------------------------------|------|-----------|------------|-----|--|---|------|-----|
|                                   |      |           |            |     |  | Amendment to the Stock and Incentive Plan     |      |     |
| Halliburton Company               | HAL  | 406216101 | 5/20/2015  | 16  |  | Amendment to the Employee Stock Purchase Plan | Mgmt | For |
| Halliburton Company               | HAL  | 406216101 | 5/20/2015  | 4   |  | Elect Milton Carroll                          | Mgmt | For |
| Herzfeld Caribbean Basin Fund Inc | CUBA | 42804T106 | 11/10/2014 | 2   |  | Amendment to Investment Policy                | Mgmt | For |
| Herzfeld Caribbean Basin Fund Inc | CUBA | 42804T106 | 11/10/2014 | 1.1 |  | Elect Thomas J. Herzfeld                      | Mgmt | For |
| Herzfeld Caribbean Basin Fund Inc | CUBA | 42804T106 | 11/10/2014 | 3   |  | Amendment to Investment Advisory Agreement    | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 1   |  | Elect Marc L. Andreessen                      | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 2   |  | Elect Shumeet Banerji                         | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 3   |  | Elect Robert R. Bennett                       | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 4   |  | Elect Rajiv L. Gupta                          | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 5   |  | Elect Klaus Kleinfeld                         | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 6   |  | Elect Raymond J. Lane                         | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 7   |  | Elect Ann M. Livermore                        | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 8   |  | Elect Raymond E. Ozzie                        | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 9   |  | Elect Gary M. Reiner                          | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 10  |  | Elect Patricia F. Russo                       | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 11  |  | Elect James A. Skinner                        | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 12  |  | Elect Margaret C. Whitman                     | Mgmt | For |
| Hewlett-Packard Company           | HPQ  | 428236103 | 3/18/2015  | 13  |  | Ratification of Auditor                       | Mgmt | For |

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|                              |     |           |           |    |  |          |         |
|------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Hewlett-Packard Company      | HPQ | 428236103 | 3/18/2015 | 14 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Hewlett-Packard Company      | HPQ | 428236103 | 3/18/2015 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 1  | Elect William S. Ayer  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 2  | Elect Gordon M. Bethune  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 3  | Elect Kevin Burke  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 4  | Elect Jaime Chico Pardo  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 5  | Elect David M. Cote  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 6  | Elect D. Scott Davis   | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 7  | Elect Linnet F. Deily  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 8  | Elect Judd Gregg   | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 9  | Elect Clive Hollick  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 10 | Elect Grace D. Lieblein  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 11 | Elect George Paz   | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 12 | Elect Bradley T. Sheares                                       | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 13 | Elect Robin L. Washington                                      | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 14 | Ratification of Auditor  | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 15 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 16 | Shareholder Proposal Regarding Independent Board Chairman      | ShrHoldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 17 | Shareholder Proposal Regarding Right to Act                    | ShrHoldr | Against |

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|                              |      |           |           |    |   |                    |         |  |
|------------------------------|------|-----------|-----------|----|---|--------------------|---------|--|
|                              |      |           |           |    |   | by Written Consent |         |  |
| Honeywell International Inc. | HON  | 438516106 | 4/27/2015 | 18 | Shareholder Proposal Regarding Lobbying Report            | ShrHoldr           | Against |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 1  | Elect Charlene Barshefsky                                 | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 2  | Elect Aneel Bhusri  | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 3  | Elect Andy D. Bryant                                      | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 4  | Elect Susan L. Decker                                     | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 6  | Elect Reed E. Hundt                                       | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 7  | Elect Brian M. Krzanich                                   | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 8  | Elect James D. Plummer                                    | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 9  | Elect David S. Pottruck                                   | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 10 | Elect Frank D. Yeary                                      | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 11 | Elect David B. Yoffie                                     | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 12 | Ratification of Auditor                                   | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 13 | Advisory Vote on Executive Compensation                   | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 14 | Amendment to the 2006 Equity Incentive Plan               | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 15 | Amendment to the 2006 Stock Purchase Plan                 | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 16 | Stockholder Proposal Regarding Holy Land Principles       | ShrHoldr           | Against |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 18 | Shareholder Proposal Regarding Counting Abstentions       | ShrHoldr           | Against |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 5  | Elect John J. Donahoe                                     | Mgmt               | For     |  |
| Intel Corporation            | INTC | 458140100 | 5/21/2015 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr           | Against |  |
| Invesco High Income Trust II | VLT  | 46131H107 | 8/29/2014 | 1  | Elect Albert R. Dowden                                    | Mgmt               | For     |  |
| Invesco High Income Trust II | VLT  | 46131H107 | 8/29/2014 | 2  | Elect Prema Mathai-Davis                                  | Mgmt               | For     |  |
|                              | VLT  | 46131H107 | 8/29/2014 | 3  |   | Mgmt               | For     |  |



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|                              |     |           |           |    |  |                            |      |     |
|------------------------------|-----|-----------|-----------|----|--|----------------------------|------|-----|
| Invesco High Income Trust II |     |           |           |    |  | Elect Raymond Stickel, Jr. |      |     |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 4  |  | Elect David C. Arch        | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 5  |  | Elect Larry Soll           | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 6  |  | Elect Philip A. Taylor     | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 7  |  | Elect Suzanne Woolsey      | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 8  |  | Elect James T. Bunch       | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 9  |  | Elect Bruce L. Crockett    | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 10 |  | Elect Rodney F. Dammeyer   | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 11 |  | Elect Jack M. Fields, Jr.  | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 12 |  | Elect Martin L. Flanagan   | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 1  |  | Elect Albert R. Dowden     | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 2  |  | Elect Prema Mathai-Davis   | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 3  |  | Elect Hugo F. Sonnenschein | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 4  |  | Elect Raymond Stickel, Jr. | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 5  |  | Elect David C. Arch        | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 6  |  | Elect Frank S. Bayley      | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 7  |  | Elect Larry Soll           | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131F101 | 8/29/2014 | 8  |  | Elect Philip A. Taylor     | Mgmt | For |
|                              | VLT | 46131F101 | 8/29/2014 | 9  |  | Elect Suzanne Woolsey      | Mgmt | For |

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|                                     |     |           |           |    |                            |      |     |  |
|-------------------------------------|-----|-----------|-----------|----|----------------------------|------|-----|--|
| Invesco High Income Trust II        |     |           |           |    |                            |      |     |  |
| Invesco High Income Trust II        | VLT | 46131F101 | 8/29/2014 | 10 | Elect James T. Bunch       | Mgmt | For |  |
| Invesco High Income Trust II        | VLT | 46131F101 | 8/29/2014 | 11 | Elect Bruce L. Crockett    | Mgmt | For |  |
| Invesco High Income Trust II        | VLT | 46131F101 | 8/29/2014 | 12 | Elect Rodney F. Dammeyer   | Mgmt | For |  |
| Invesco High Income Trust II        | VLT | 46131F101 | 8/29/2014 | 13 | Elect Jack M. Fields, Jr.  | Mgmt | For |  |
| Invesco High Income Trust II        | VLT | 46131F101 | 8/29/2014 | 14 | Elect Martin L. Flanagan   | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 1  | Elect Albert R. Dowden     | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 2  | Elect Prema Mathai-Davis   | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 3  | Elect Raymond Stickel, Jr. | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 4  | Elect David C. Arch        | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 5  | Elect Larry Soll           | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 6  | Elect Philip A. Taylor     | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 7  | Elect Suzanne Woolsey      | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 8  | Elect James T. Bunch       | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 9  | Elect Bruce L. Crockett    | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 10 | Elect Rodney F. Dammeyer   | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 11 | Elect Jack M. Fields, Jr.  | Mgmt | For |  |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 12 | Elect Martin L. Flanagan   | Mgmt | For |  |
| Invesco Municipal Trust             | VKQ | 46131J103 | 8/29/2014 | 1  | Elect Albert R. Dowden     | Mgmt | For |  |
| Invesco Municipal Trust             | VKQ | 46131J103 | 8/29/2014 | 2  |                            | Mgmt | For |  |

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Elect Prema  
Mathai-Davis

|   |     |           |           |     |                               |      |     |
|---|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 3   | Elect Raymond Stickel,<br>Jr. | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 4   | Elect David C. Arch           | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 5   | Elect Larry Soll              | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 6   | Elect Philip A. Taylor        | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 7   | Elect Suzanne Woolsey         | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 8   | Elect James T. Bunch          | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 9   | Elect Bruce L. Crockett       | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 10  | Elect Rodney F.<br>Dammeyer   | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 11  | Elect Jack M. Fields, Jr.     | Mgmt | For |
| Invesco Municipal Trust                             | VKQ | 46131J103 | 8/29/2014 | 12  | Elect Martin L. Flanagan      | Mgmt | For |
| Invesco Quality<br>Municipal Income Trust           | GVT | 61745P874 | 7/1/2014  | 1.1 | Elect Frank L. Bowman         | Mgmt | For |
| Invesco Quality<br>Municipal Income Trust           | GVT | 61745P874 | 7/1/2014  | 1.2 | Elect Michael Bozic           | Mgmt | For |
| Invesco Quality<br>Municipal Income Trust           | GVT | 61745P874 | 7/1/2014  | 1.3 | Elect James F. Higgins        | Mgmt | For |
| Invesco Trust for<br>Investment Grade<br>Municipals | VGM | 46131M106 | 8/29/2014 | 1   | Elect Albert R. Dowden        | Mgmt | For |
| Invesco Trust for<br>Investment Grade<br>Municipals | VGM | 46131M106 | 8/29/2014 | 2   | Elect Prema<br>Mathai-Davis   | Mgmt | For |
| Invesco Trust for<br>Investment Grade<br>Municipals | VGM | 46131M106 | 8/29/2014 | 3   | Elect Raymond Stickel,<br>Jr. | Mgmt | For |
| Invesco Trust for<br>Investment Grade<br>Municipals | VGM | 46131M106 | 8/29/2014 | 4   | Elect David C. Arch           | Mgmt | For |

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|  |     |           |           |    |                            |      |     |
|--|-----|-----------|-----------|----|----------------------------|------|-----|
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 5  | Elect Larry Soll           | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 6  | Elect Philip A. Taylor     | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 7  | Elect Suzanne Woolsey      | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 8  | Elect James T. Bunch       | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 9  | Elect Bruce L. Crockett    | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 10 | Elect Rodney F. Dammeyer   | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 11 | Elect Jack M. Fields, Jr.  | Mgmt | For |
| Invesco Trust for Investment Grade Municipals          | VGM | 46131M106 | 8/29/2014 | 12 | Elect Martin L. Flanagan   | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 1  | Elect Albert R. Dowden     | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 2  | Elect Prema Mathai-Davis   | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 3  | Elect Raymond Stickel, Jr. | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 4  | Elect David C. Arch        | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 5  | Elect Larry Soll           | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 6  | Elect Philip A. Taylor     | Mgmt | For |

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|  |     |           |           |     |                           |      |     |
|--|-----|-----------|-----------|-----|---------------------------|------|-----|
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 7   | Elect Suzanne Woolsey     | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 8   | Elect James T. Bunch      | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 9   | Elect Bruce L. Crockett   | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 10  | Elect Rodney F. Dammeyer  | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 11  | Elect Jack M. Fields, Jr. | Mgmt | For |
| Invesco Trust For Investment Grade New York Municipals | VPV | 46132E103 | 8/29/2014 | 12  | Elect Martin L. Flanagan  | Mgmt | For |
| John Hancock Financial Opportunities Fund              | BTO | 409735206 | 1/26/2015 | 1.1 | Elect Charles L. Bardelis | Mgmt | For |
| John Hancock Financial Opportunities Fund              | BTO | 409735206 | 1/26/2015 | 1.2 | Elect Peter S. Burgess    | Mgmt | For |
| John Hancock Financial Opportunities Fund              | BTO | 409735206 | 1/26/2015 | 1.3 | Elect Theron S. Hoffman   | Mgmt | For |
| John Hancock Financial Opportunities Fund              | BTO | 409735206 | 1/26/2015 | 1.4 | Elect Warren A. Thomson   | Mgmt | For |
| John Hancock Premium Dividend Fund                     | PDT | 41013T105 | 1/26/2015 | 1.1 | Elect Charles L. Bardelis | Mgmt | For |
| John Hancock Premium Dividend Fund                     | PDT | 41013T105 | 1/26/2015 | 1.2 | Elect Peter S. Burgess    | Mgmt | For |
| John Hancock Premium Dividend Fund                     | PDT | 41013T105 | 1/26/2015 | 1.3 | Elect Theron S. Hoffman   | Mgmt | For |
| John Hancock Premium Dividend Fund                     | PDT | 41013T105 | 1/26/2015 | 1.4 | Elect Warren A. Thomson   | Mgmt | For |
| Johnson Controls, Inc.                                 | JCI | 478366107 | 1/28/2015 | 1.1 | Elect Natalie A. Black    | Mgmt | For |
| Johnson Controls, Inc.                                 | JCI | 478366107 | 1/28/2015 | 1.2 | Elect Raymond L. Conner   | Mgmt | For |
| Johnson Controls, Inc.                                 | JCI | 478366107 | 1/28/2015 | 1.3 | Elect Richard Goodman     | Mgmt | For |
| Johnson Controls, Inc.                                 | JCI | 478366107 | 1/28/2015 | 1.4 | Elect William H. Lacy     | Mgmt | For |
| Johnson Controls, Inc.                                 | JCI | 478366107 | 1/28/2015 | 1.5 | Elect Alex A. Molinaroli  | Mgmt | For |

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|                        |     |           |           |     |  |          |         |
|------------------------|-----|-----------|-----------|-----|--|----------|---------|
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.6 | Elect Mark P. Vergnano   | Mgmt     | For     |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 2   | Ratification of Auditor  | Mgmt     | For     |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 3   | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 1   | Elect Linda B. Bammann   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 2   | Elect James A. Bell  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 3   | Elect Crandall C. Bowles   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 4   | Elect Stephen B. Burke   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 5   | Elect James S. Crown   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 6   | Elect James Dimon  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 7   | Elect Timothy P. Flynn   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 8   | Elect Laban P. Jackson, Jr.  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 9   | Elect Michael A. Neal  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 10  | Elect Lee R. Raymond   | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 11  | Elect William C. Weldon  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 13  | Ratification of Auditor  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 14  | Amendment to the Long-Term Incentive Plan  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 16  | Shareholder Proposal Regarding Lobbying Report   | ShrHoldr | Against |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 17  | Shareholder Proposal Regarding Right to Call a Special Meeting   | ShrHoldr | Against |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 18  | Shareholder Proposal Regarding Counting Abstentions  | ShrHoldr | Against |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 19  | Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service | ShrHoldr | Against |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 12  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| JPMorgan Chase & Co.   | JPM | 46625H100 | 5/19/2015 | 15  | Shareholder Proposal Regarding Independent   | ShrHoldr | Against |

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Board Chairman

| Company                        | Symbol | Proposal ID | Effective Date | Class | Proposal Description                                 | Response | Vote    |
|--------------------------------|--------|-------------|----------------|-------|--|----------|---------|
| JPMorgan Chase & Co.           | JPM    | 46625H100   | 5/19/2015      | 20    | Shareholder Proposal Regarding Recoupment Report     | ShrHoldr | Against |
| JPMorgan China Region Fund Inc | JFC    | 48126T104   | 5/14/2015      | 1.1   | Elect The Earl of Cromer                             | Mgmt     | For     |
| JPMorgan China Region Fund Inc | JFC    | 48126T104   | 5/14/2015      | 1.2   | Elect Simon J. Crinage                               | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.1   | Elect Richard D. Kinder                              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.2   | Elect Steven J. Kean                                 | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.3   | Elect Ted A. Gardner                                 | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.4   | Elect Anthony W. Hall, Jr.                           | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.5   | Elect Gary L. Hultquist                              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.6   | Elect Ronald L. Kuehn, Jr.                           | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.7   | Elect Deborah A. Macdonald                           | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.9   | Elect Michael C. Morgan                              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.10  | Elect Arthur C. Reichstetter                         | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.11  | Elect Fayez S. Sarofim                               | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.12  | Elect C. Park Shaper                                 | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.13  | Elect William A. Smith                               | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.14  | Elect Joel V. Staff                                  | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.15  | Elect Robert F. Vagt                                 | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 1.16  | Elect Perry M. Waughtal                              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 2     | Approval of the 2015 Stock Incentive Plan            | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 3     | Approval of the 2015 Annual Incentive Plan           | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 4     | Advisory Vote on Executive Compensation              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 5     | Ratification of Auditor                              | Mgmt     | For     |
| Kinder Morgan, Inc.            | KMI    | 49456B101   | 5/7/2015       | 6     | Amendments to Certificate of Incorporation to Comply | Mgmt     | For     |

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|                     |     |           |            |     | with Jones Act   |          |         |
| Kinder Morgan, Inc. | KMI | 49456B101 | 5/7/2015   | 7   | Shareholder Proposal Regarding Report on Response to Climate Change Policy       | ShrHoldr | Against |
| Kinder Morgan, Inc. | KMI | 49456B101 | 5/7/2015   | 8   | Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Targets | ShrHoldr | Against |
| Kinder Morgan, Inc. | KMI | 49456B101 | 11/20/2014 | 1   | Increase of Authorized Common Stock  | Mgmt     | For     |
| Kinder Morgan, Inc. | KMI | 49456B101 | 11/20/2014 | 2   | Merger/Acquisition   | Mgmt     | For     |
| Kinder Morgan, Inc. | KMI | 49456B101 | 11/20/2014 | 3   | Right to Adjourn Meeting   | Mgmt     | For     |
| Kinder Morgan, Inc. | KMI | 49456B101 | 5/7/2015   | 1.8 | Elect Michael Miller   | Mgmt     | For     |
| Kinder Morgan, Inc. | KMI | 49456B101 | 5/7/2015   | 9   | Shareholder Proposal Regarding Sustainability Report                             | ShrHoldr | Against |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 1   | Elect Nora. A. Aufreiter   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 2   | Elect Robert D. Beyer  | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 3   | Elect Susan J. Kropf   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 4   | Elect David B. Lewis   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 5   | Elect W. Rodney McMullen   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 6   | Elect Jorge P. Montoya   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 7   | Elect Clyde R. Moore   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 8   | Elect Susan M. Phillips  | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 9   | Elect James A. Runde   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 10  | Elect Ronald L. Sargent  | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 11  | Elect Bobby S. Shackouls   | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 12  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 13  | Ratification of Auditor  | Mgmt     | For     |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 14  | Shareholder Proposal Regarding Human Rights Report                               | ShrHoldr | Against |
| Kroger Co.          | KR  | 501044101 | 6/25/2015  | 15  | Shareholder Proposal Regarding Reporting on                                      | ShrHoldr | Against |



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|--|-----|-----------|-----------|-----|--|----------|---------|
| Kroger Co.   | KR  | 501044101 | 6/25/2015 | 16  | the Use of<br>Non-Recyclable<br>Packaging<br><br>Shareholder Proposal<br>Regarding Use of<br>Antibiotics | ShrHoldr | Against |
| Lazard Global Total<br>Return and Income<br>Fund Inc     | LGI | 52106W103 | 4/24/2015 | 1.1 | Elect Franci J. Blassberg  | Mgmt     | For     |
| Lazard Global Total<br>Return and Income<br>Fund Inc     | LGI | 52106W103 | 4/24/2015 | 1.2 | Elect Trevor W. Morrison   | Mgmt     | For     |
| Lazard Global Total<br>Return and Income<br>Fund Inc     | LGI | 52106W103 | 4/24/2015 | 1.3 | Elect Robert M. Solmson  | Mgmt     | For     |
| Lazard Global Total<br>Return and Income<br>Fund Inc     | LGI | 52106W103 | 4/24/2015 | 1.4 | Elect Charles L. Carroll   | Mgmt     | For     |
| Lazard World Dividend<br>& Income Fund Inc               | LOR | 521076109 | 4/24/2015 | 1.2 | Elect Franci J. Blassberg  | Mgmt     | For     |
| Lazard World Dividend<br>& Income Fund Inc               | LOR | 521076109 | 4/24/2015 | 1.4 | Elect Trevor W. Morrison   | Mgmt     | For     |
| Lazard World Dividend<br>& Income Fund Inc               | LOR | 521076109 | 4/24/2015 | 1.1 | Elect Ashish Bhutani   | Mgmt     | For     |
| Lazard World Dividend<br>& Income Fund Inc               | LOR | 521076109 | 4/24/2015 | 1.3 | Elect Richard Reiss, Jr.   | Mgmt     | For     |
| Legg Mason BW Global<br>Income Opportunities<br>Fund Inc | BWG | 52469B100 | 2/27/2015 | 1.1 | Elect Robert D. Agdern   | Mgmt     | For     |
| Legg Mason BW Global<br>Income Opportunities<br>Fund Inc | BWG | 52469B100 | 2/27/2015 | 1.2 | Elect Eileen A. Kamerick   | Mgmt     | For     |
| Legg Mason BW Global<br>Income Opportunities<br>Fund Inc | BWG | 52469B100 | 2/27/2015 | 1.3 | Elect Riordan Roett  | Mgmt     | For     |
| LMP Capital and<br>Income Fund Inc                       | SCD | 50208A102 | 3/20/2015 | 1.1 | Elect Robert D. Agdern   | Mgmt     | For     |
| LMP Capital and<br>Income Fund Inc                       | SCD | 50208A102 | 3/20/2015 | 1.2 | Elect Carol L. Colman  | Mgmt     | For     |
| LMP Capital and<br>Income Fund Inc                       | SCD | 50208A102 | 3/20/2015 | 1.3 | Elect Daniel P. Cronin   | Mgmt     | For     |

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|                                  |     |           |           |     |  |          |     |
|----------------------------------|-----|-----------|-----------|-----|--|----------|-----|
| LMP Capital and Income Fund Inc  | SCD | 50208A102 | 3/20/2015 | 1.4 | Elect Paolo M. Cucchi  | Mgmt     | For |
| LMP Corporate Loan Fund Inc      | TLI | 50208B100 | 1/30/2015 | 1.1 | Elect Rober Agdern   | Mgmt     | For |
| LMP Corporate Loan Fund Inc      | TLI | 50208B100 | 1/30/2015 | 1.2 | Elect William R. Hutchinson  | Mgmt     | For |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.1 | Elect Gerald Hellerman   | Mgmt     | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.2 | Elect Andrew Dakos   | Mgmt     | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.3 | Elect Richard Cohen  | Mgmt     | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 2   | Shareholder Proposal to Authorize a Self-Tender for the Fund's Common Shares | ShrHoldr | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.1 | Elect Robert Agdern  | Mgmt     | For |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.2 | Elect William R. Hutchinson  | Mgmt     | For |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.3 | Elect Eileen A. Kamerick   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 1   | Elect Daniel F. Akerson  | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 2   | Elect Nolan D. Archibald   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 3   | Elect Rosalind G. Brewer   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 4   | Elect David B. Burritt   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 5   | Elect James O. Ellis, Jr.  | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 6   | Elect Thomas J. Falk   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 7   | Elect Marillyn A. Hewson   | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 8   | Elect Gwendolyn S. King  | Mgmt     | For |
| Lockheed Martin Corporation      | LMT | 539830109 | 4/23/2015 | 9   | Elect James M. Loy   | Mgmt     | For |

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|                                |     |           |           |    |  |          |         |
|--------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 10 | Elect Joseph W. Ralston  | Mgmt     | For     |
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 11 | Elect Anne L. Stevens  | Mgmt     | For     |
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 12 | Ratification of Auditor  | Mgmt     | For     |
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 13 | Advisory Vote on Executive Compensation                        | Mgmt     | For     |
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 15 | Shareholder Proposal Regarding Lobbying Report                 | ShrHoldr | Against |
| Lockheed Martin Corporation    | LMT | 539830109 | 4/23/2015 | 14 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 1  | Elect Robin Buchanan   | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 2  | Elect Stephen F. Cooper  | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 3  | Elect Isabella D. Goren  | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 4  | Elect Robert G. Gwin   | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 5  | Elect Kevin W. Brown   | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 6  | Elect Jeffrey A. Kaplan  | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 7  | Adoption of Dutch Statutory Annual Accounts for 2014           | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 8  | DISCHARGE FROM LIABILITY OF MEMBERS OF THE MANAGEMENT BOARD    | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 9  | DISCHARGE FROM LIABILITY OF MEMBERS OF THE SUPERVISORY BOARD   | Mgmt     | For     |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015  | 10 | Appointment of Auditor   | Mgmt     | For     |

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|---|-----|-----------|-----------|----|---|------|-----|
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 11 | Appointment of PriceWaterhouseCoopers Accountants N.V. as the Auditor for the Dutch Annual Accounts | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 12 | Allocation of Dividends   | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 13 | Advisory Vote Approving Executive Compensation  | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 14 | Authority to Issue Shares   | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 15 | Suppression of Preemptive Rights  | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 16 | Amendment to the 2012 Global Employee Stock Purchase Plan   | Mgmt | For |
| LyondellBasell Industries N.V.                        | LYB | N53745100 | 5/6/2015  | 17 | Authority of Management Board to Repurchase Shares  | Mgmt | For |
| Macquarie Global Infrastructure Total Return Fund Inc | MGU | 55608D101 | 6/17/2015 | 1  | Elect Gordon A. Baird   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 1  | Elect Stephen F. Bollenbach   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 2  | Elect John A. Bryant  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 3  | Elect Deirdre P. Connelly   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 4  | Elect Meyer Feldberg  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 5  | Elect Leslie D. Hale  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 6  | Elect Sara L. Levinson  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 7  | Elect Terry J. Lundgren   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 8  | Elect Joseph Neubauer   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 9  | Elect Joyce M. Roche  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 10 | Elect Paul C. Varga   | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 11 | Elect Craig E. Weatherup  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 12 | Elect Marna C. Whittington  | Mgmt | For |
| Macy's Inc.   | M   | 55616P104 | 5/15/2015 | 13 | Elect Annie A. Young-Scrivner   | Mgmt | For |

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| Macy's Inc.                                       | M   | 55616P104 | 5/15/2015 | 14  | Ratification of Auditor  | Mgmt     | For     |
| Macy's Inc.                                       | M   | 55616P104 | 5/15/2015 | 15  | Advisory Vote on Executive Compensation                                    | Mgmt     | For     |
| MainStay DefinedTerm Municipal Opportunities Fund | MMD | 56064K100 | 9/23/2014 | 1.1 | Elect Alan R. Latshaw  | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 1   | Elect Gregory H. Boyce   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 2   | Elect Pierre R. Brondeau   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 4   | Elect Marcela E. Donadio   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 5   | Elect Philip Lader   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 6   | Elect Michael E.J. Phelps  | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 7   | Elect Dennis H. Reilley  | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 8   | Elect Lee M. Tillman   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 9   | Ratification of Auditor  | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 10  | Advisory Vote on Executive Compensation                                    | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 12  | Shareholder Proposal Regarding Report on Response to Climate Change Policy | ShrHoldr | Against |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 3   | Elect Chadwick C. Deaton   | Mgmt     | For     |
| Marathon Oil Corporation                          | MRO | 565849106 | 4/29/2015 | 11  | Shareholder Proposal Regarding Proxy Access                                | ShrHoldr | Against |
| Marsh & McLennan Companies, Inc.                  | MMC | 571748102 | 5/21/2015 | 1   | Elect Oscar Fanjul Martin  | Mgmt     | For     |
| Marsh & McLennan Companies, Inc.                  | MMC | 571748102 | 5/21/2015 | 2   | Elect Daniel S. Glaser   | Mgmt     | For     |
| Marsh & McLennan Companies, Inc.                  | MMC | 571748102 | 5/21/2015 | 3   | Elect H. Edward Hanway   | Mgmt     | For     |
| Marsh & McLennan Companies, Inc.                  | MMC | 571748102 | 5/21/2015 | 4   | Elect Ian Lang   | Mgmt     | For     |

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|                                  |     |           |           |    |   |      |     |
|----------------------------------|-----|-----------|-----------|----|---|------|-----|
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 5  | Elect Elaine La Roche                   | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 6  | Elect Maria Silvia Bastos Marques       | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 7  | Elect Steven A. Mills                   | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 8  | Elect Bruce P. Nolop                    | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 9  | Elect Marc D. Oken                      | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 10 | Elect Morton O. Schapiro                | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 11 | Elect Lloyd M. Yates                    | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 12 | Elect R. David Yost                     | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/21/2015 | 14 | Ratification of Auditor                 | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 1  | Elect Cheryl W. Grise                   | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 2  | Elect Carlos M. Gutierrez               | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 3  | Elect R. Glenn Hubbard                  | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 4  | Elect Steven A. Kandarian               | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 5  | Elect Alfred F. Kelly, Jr.              | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 6  | Elect Edward J. Kelly, III              | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 7  | Elect William E. Kennard                | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 8  | Elect James M. Kilts                    | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 9  | Elect Catherine R. Kinney               | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 10 | Elect Denise M. Morrison                | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 11 | Elect Kenton J. Sicchitano              | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 12 | Elect Lulu C. Wang                      | Mgmt | For |
| MetLife, Inc.                    | MET | 59156R108 | 4/28/2015 | 13 | Elimination of Supermajority            | Mgmt | For |

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|                                   |     |           |           |     | Requirement                              |      |     |
|-----------------------------------|-----|-----------|-----------|-----|--|------|-----|
| MetLife, Inc.                     | MET | 59156R108 | 4/28/2015 | 14  | Elimination of Supermajority Requirement | Mgmt | For |
| MetLife, Inc.                     | MET | 59156R108 | 4/28/2015 | 15  | Ratification of Auditor                  | Mgmt | For |
| MetLife, Inc.                     | MET | 59156R108 | 4/28/2015 | 16  | Advisory Vote on Executive Compensation  | Mgmt | For |
| MFS Charter Income Trust          | MCR | 552727109 | 10/2/2014 | 1.1 | Elect Steven E. Buller                   | Mgmt | For |
| MFS Charter Income Trust          | MCR | 552727109 | 10/2/2014 | 1.2 | Elect William R. Gutow                   | Mgmt | For |
| MFS Charter Income Trust          | MCR | 552727109 | 10/2/2014 | 1.3 | Elect Michael Hegarty                    | Mgmt | For |
| MFS Charter Income Trust          | MCR | 552727109 | 10/2/2014 | 1.4 | Elect John P. Kavanaugh                  | Mgmt | For |
| MFS Charter Income Trust          | MCR | 552727109 | 10/2/2014 | 1.5 | Elect Robert W. Uek                      | Mgmt | For |
| MFS High Income Municipal Trust   | CXE | 59318D104 | 10/2/2014 | 1.1 | Elect Steven E. Buller                   | Mgmt | For |
| MFS High Income Municipal Trust   | CXE | 59318D104 | 10/2/2014 | 1.2 | Elect William R. Gutow                   | Mgmt | For |
| MFS High Income Municipal Trust   | CXE | 59318D104 | 10/2/2014 | 1.3 | Elect Michael Hegarty                    | Mgmt | For |
| MFS High Income Municipal Trust   | CXE | 59318D104 | 10/2/2014 | 1.4 | Elect Robert W. Uek                      | Mgmt | For |
| MFS High Yield Municipal Trust    | CMU | 59318E102 | 10/2/2014 | 1.1 | Elect Steven E. Buller                   | Mgmt | For |
| MFS High Yield Municipal Trust    | CMU | 59318E102 | 10/2/2014 | 1.2 | Elect William R. Gutow                   | Mgmt | For |
| MFS High Yield Municipal Trust    | CMU | 59318E102 | 10/2/2014 | 1.3 | Elect Michael Hegarty                    | Mgmt | For |
| MFS High Yield Municipal Trust    | CMU | 59318E102 | 10/2/2014 | 1.4 | Elect Robert W. Uek                      | Mgmt | For |
| MFS Intermediate High Income Fund | CIF | 59318T109 | 10/2/2014 | 1.1 | Elect Steven E. Buller                   | Mgmt | For |
| MFS Intermediate High Income Fund | CIF | 59318T109 | 10/2/2014 | 1.2 | Elect William R. Gutow                   | Mgmt | For |
| MFS Intermediate High Income Fund | CIF | 59318T109 | 10/2/2014 | 1.3 | Elect Michael Hegarty                    | Mgmt | For |

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|                                      |      |           |           |     |                            |      |     |
|--------------------------------------|------|-----------|-----------|-----|----------------------------|------|-----|
| MFS Intermediate High Income Fund    | CIF  | 59318T109 | 10/2/2014 | 1.4 | Elect John P. Kavanaugh    | Mgmt | For |
| MFS Intermediate High Income Fund    | CIF  | 59318T109 | 10/2/2014 | 1.5 | Elect Robert W. Uek        | Mgmt | For |
| MFS Investment Grade Municipal Trust | CXH  | 59318B108 | 10/2/2014 | 1.1 | Elect Steven E. Buller     | Mgmt | For |
| MFS Investment Grade Municipal Trust | CXH  | 59318B108 | 10/2/2014 | 1.2 | Elect William R. Gutow     | Mgmt | For |
| MFS Investment Grade Municipal Trust | CXH  | 59318B108 | 10/2/2014 | 1.3 | Elect Michael Hegarty      | Mgmt | For |
| MFS Investment Grade Municipal Trust | CXH  | 59318B108 | 10/2/2014 | 1.4 | Elect Robert W. Uek        | Mgmt | For |
| MFS Multimarket Income Trust         | MMT  | 552737108 | 10/2/2014 | 1.1 | Elect Steven E. Buller     | Mgmt | For |
| MFS Multimarket Income Trust         | MMT  | 552737108 | 10/2/2014 | 1.2 | Elect William R. Gutow     | Mgmt | For |
| MFS Multimarket Income Trust         | MMT  | 552737108 | 10/2/2014 | 1.3 | Elect Michael Hegarty      | Mgmt | For |
| MFS Multimarket Income Trust         | MMT  | 552737108 | 10/2/2014 | 1.4 | Elect John P. Kavanaugh    | Mgmt | For |
| MFS Multimarket Income Trust         | MMT  | 552737108 | 10/2/2014 | 1.5 | Elect Robert W. Uek        | Mgmt | For |
| MFS Municipal Income Trust           | MFM  | 552738106 | 10/2/2014 | 1.1 | Elect Steven E. Buller     | Mgmt | For |
| MFS Municipal Income Trust           | MFM  | 552738106 | 10/2/2014 | 1.2 | Elect William R. Gutow     | Mgmt | For |
| MFS Municipal Income Trust           | MFM  | 552738106 | 10/2/2014 | 1.3 | Elect Michael Hegarty      | Mgmt | For |
| MFS Municipal Income Trust           | MFM  | 552738106 | 10/2/2014 | 1.4 | Elect Robert W. Uek        | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 1   | Elect William H. Gates III | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 2   | Elect Maria Klawe          | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 3   | Elect Teri L. List-Stoll   | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 4   | Elect G. Mason Morfit      | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 5   | Elect Satya Nadella        | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 6   | Elect Charles H. Noski     | Mgmt | For |
| Microsoft Corporation                | MSFT | 594918104 | 12/3/2014 | 7   |                            | Mgmt | For |



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|                             |      |           |           |    |  |   |          |         |
|-----------------------------|------|-----------|-----------|----|--|---|----------|---------|
|                             |      |           |           |    |  | Elect Helmut G. W. Panke                    |          |         |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 8  |  | Elect Charles W. Scharf                     | Mgmt     | For     |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 9  |  | Elect John W. Stanton                       | Mgmt     | For     |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 11 |  | ADVISORY VOTE ON EXECUTIVE COMPENSATION     | Mgmt     | For     |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 12 |  | Ratification of Auditor                     | Mgmt     | For     |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 13 |  | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Microsoft Corporation       | MSFT | 594918104 | 12/3/2014 | 10 |  | Elect John W. Thompson                      | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 1  |  | Elect Stephen F. Bollenbach                 | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 2  |  | Elect Lewis W.K. Booth                      | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 3  |  | Elect Lois D. Juliber                       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 4  |  | Elect Mark D. Ketchum                       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 5  |  | Elect Jorge S. Mesquita                     | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 6  |  | Elect Joseph Neubauer                       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 7  |  | Elect Nelson Peltz                          | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 8  |  | Elect Fredric G. Reynolds                   | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 9  |  | Elect Irene B. Rosenfeld                    | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 10 |  | Elect Patrick Siewert                       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 11 |  | Elect Ruth J. Simmons                       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 12 |  | Elect Jean-Francois M. L. van Boxmeer       | Mgmt     | For     |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 13 |  | Advisory Vote on Executive Compensation     | Mgmt     | For     |
| Mondelez International      | MDLZ | 609207105 | 5/20/2015 | 14 |  | Ratification of Auditor                     | Mgmt     | For     |

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| Inc.                        |      |           |           |    | Shareholder Proposal   |          |         |
|-----------------------------|------|-----------|-----------|----|--|----------|---------|
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 15 | Regarding Reporting on the Use of Non-Recyclable Packaging   | ShrHoldr | Against |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 1  | Elect Erskine B. Bowles  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 2  | Elect Thomas H. Glocer   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 3  | Elect James P. Gorman  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 4  | Elect Robert H. Herz   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 5  | Elect Klaus Kleinfeld  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 6  | Elect Jami Miscik  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 7  | Elect Donald T. Nicolaisen   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 8  | Elect Hutham S. Olayan   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 9  | Elect James W. Owens   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 10 | Elect Ryosuke Tamakoshi  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 11 | Elect Masaaki Tanaka   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 12 | Elect Perry M. Traquina  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 13 | Elect Laura D'Andrea Tyson   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 14 | Elect Rayford Wilkins, Jr.   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 15 | Ratification of Auditor  | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 17 | Amendment to the 2007 Incentive Plan   | Mgmt     | For     |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 18 | Shareholder Proposal Regarding Lobbying Report   | ShrHoldr | Against |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 19 | Shareholder Proposal Regarding Counting Abstentions  | ShrHoldr | Against |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 20 | Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service | ShrHoldr | Against |
| Morgan Stanley              | MS   | 617446448 | 5/19/2015 | 16 | Advisory Vote on Executive Compensation  | Mgmt     | For     |

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|   |     |           |           |     |   |      |     |
|---|-----|-----------|-----------|-----|---|------|-----|
| Morgan Stanley China A Share Fund Inc                   | CAF | 617468103 | 6/30/2015 | 1.1 | Elect Michael Bozic                         | Mgmt | For |
| Morgan Stanley China A Share Fund Inc                   | CAF | 617468103 | 6/30/2015 | 1.2 | Elect Nancy C. Everett                      | Mgmt | For |
| Morgan Stanley China A Share Fund Inc                   | CAF | 617468103 | 6/30/2015 | 1.3 | Elect Michael F. Klein                      | Mgmt | For |
| Morgan Stanley China A Share Fund Inc                   | CAF | 617468103 | 6/30/2015 | 1.4 | Elect W. Allen Reed                         | Mgmt | For |
| Morgan Stanley European Fund Inc                        | RNE | 616988101 | 7/1/2014  | 1.1 | Elect Kathleen A. Dennis                    | Mgmt | For |
| Morgan Stanley European Fund Inc                        | RNE | 616988101 | 7/1/2014  | 1.2 | Elect Joseph J. Kearns                      | Mgmt | For |
| Morgan Stanley European Fund Inc                        | RNE | 616988101 | 7/1/2014  | 1.3 | Elect Michael E. Nugent                     | Mgmt | For |
| Morgan Stanley European Fund Inc                        | RNE | 616988101 | 7/1/2014  | 1.4 | Elect Fergus Reid                           | Mgmt | For |
| Morgan Stanley India Investment Fund Inc                | IIF | 61745C105 | 6/30/2015 | 1.1 | Elect M.J. Marcel Vivian Descroizilles      | Mgmt | For |
| Morgan Stanley India Investment Fund Inc                | IIF | 61745C105 | 6/30/2015 | 1.2 | Elect Joseph J. Kearns                      | Mgmt | For |
| Morgan Stanley India Investment Fund Inc                | IIF | 61745C105 | 7/1/2014  | 1.1 | Elect Ravindranath Santosh Kumar Hazareeing | Mgmt | For |
| Morgan Stanley India Investment Fund Inc                | IIF | 61745C105 | 7/1/2014  | 1.2 | Elect Mamode Izam Nathadkhan                | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund             | NHS | 64128C106 | 9/17/2014 | 1.1 | Elect Candace L. Straight                   | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund             | NHS | 64128C106 | 9/17/2014 | 1.2 | Elect Martha C. Goss                        | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund             | NHS | 64128C106 | 9/17/2014 | 1.3 | Elect Joseph V. Amato                       | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund             | NHS | 64128C106 | 9/17/2014 | 1.4 | Elect Faith Colish                          | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/17/2014 | 1.1 | Elect Candace L. Straight                   | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/17/2014 | 1.2 | Elect Martha C. Goss                        | Mgmt | For |
|   | NRO | 64190A103 | 9/17/2014 | 1.3 | Elect Joseph V. Amato                       | Mgmt | For |

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Neuberger Berman Real  
Estate Securities  
Income Fund Inc

Neuberger Berman Real  
Estate Securities  
Income Fund Inc

New Ireland Fund Inc

Northrop Grumman  
Corporation

Northrop Grumman  
Corporation

Northrop Grumman  
Corporation

Northrop Grumman  
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|-----|-----------|-----------|-----|--|----------|---------|
| NRO | 64190A103 | 9/17/2014 | 1.4 | Elect Faith Colish                                   | Mgmt     | For     |
| IRL | 645673104 | 6/9/2015  | 1.1 | Elect Peter J. Hooper                                | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 1   | Elect Wesley G. Bush                                 | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 2   | Elect Marianne C. Brown                              | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 3   | Elect Victor H. Fazio                                | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 4   | Elect Donald E. Felsing                              | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 5   | Elect Bruce S. Gordon                                | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 6   | Elect William H. Hernandez                           | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 7   | Elect Madeleine A. Kleiner                           | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 8   | Elect Karl J. Krapek                                 | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 9   | Elect Richard B. Myers                               | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 10  | Elect Gary Roughead                                  | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 11  | Elect Thomas M. Schoewe                              | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 12  | Elect James S. Turley                                | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 13  | Advisory Vote on Executive Compensation              | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 14  | Amendment to the 2011 Long-Term Incentive Stock Plan | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 15  | Ratification of Auditor                              | Mgmt     | For     |
| NOC | 666807102 | 5/20/2015 | 16  |  | ShrHoldr | Against |

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|   |     |           |           |     |  |   |      |     |
|---|-----|-----------|-----------|-----|--|---|------|-----|
| Northrop Grumman Corporation                |     |           |           |     |  | Shareholder Regarding Independent Board Chairman    |      |     |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 3/26/2015 | 1.1 |  | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 3/26/2015 | 1.2 |  | Elect William J. Schneider                          | Mgmt | For |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 3/26/2015 | 1.3 |  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 11/7/2014 | 1   |  | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 11/7/2014 | 2   |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Credit Strategies Income Fund        | JQC | 67073D102 | 11/7/2014 | 3.1 |  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 3/26/2015 | 1.1 |  | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 3/26/2015 | 1.2 |  | Elect William J. Schneider                          | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 3/26/2015 | 1.3 |  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014  | 1   |  | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014  | 2   |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014  | 3   |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014  | 4   |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014  | 5   |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |

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|   |     |           |          |     |   |      |     |
|---|-----|-----------|----------|-----|---|------|-----|
| Nuveen Diversified Dividend and Income Fund     | JDD | 6706EP105 | 8/5/2014 | 6.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund     | JDD | 6706EP105 | 8/5/2014 | 6.2 | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund     | JDD | 6706EP105 | 8/5/2014 | 6.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund     | JDD | 6706EP105 | 8/5/2014 | 6.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 1   | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 3.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 3.2 | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 3.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund        | NZF | 67070X101 | 8/5/2014 | 3.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 1   | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.2 | Elect David J. Kundert                              | Mgmt | For |

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|   |     |           |            |     |   |      |     |
|---|-----|-----------|------------|-----|---|------|-----|
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014   | 3.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014   | 3.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 3/26/2015  | 1.1 | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 3/26/2015  | 1.2 | Elect William J. Schneider                          | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 3/26/2015  | 1.3 | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 1   | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 3.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 3.2 | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 3.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Global Equity Income Fund                | JGV | 6706EH103 | 8/5/2014   | 3.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 1.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 1.2 | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 1.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 1.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 2   | Reorganization                                      | Mgmt | For |
| Nuveen Global Income Opportunities Fund         | JGG | 67073C104 | 10/31/2014 | 3   | Approval of a New Management Agreement              | Mgmt | For |
|   | JGG | 67073C104 | 10/31/2014 | 4   |   | Mgmt | For |

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|   |     |           |           |      |  |   |      |     |
|---|-----|-----------|-----------|------|--|---|------|-----|
| Nuveen Global Income Opportunities Fund |     |           |           |      |  | Approval of New Subadvisory Agreement               |      |     |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 1    |  | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 2    |  | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.1  |  | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.2  |  | Elect Robert P. Bremner                             | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.3  |  | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.4  |  | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.5  |  | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.6  |  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.7  |  | Elect Judith M. Stockdale                           | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.8  |  | Elect Carole E. Stone                               | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.9  |  | Elect Virginia L. Stringer                          | Mgmt | For |
| Nuveen Quality Municipal Fund Inc       | NQI | 67062N103 | 8/5/2014  | 3.10 |  | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3  | JHP | 67072W101 | 3/26/2015 | 1.1  |  | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3  | JHP | 67072W101 | 3/26/2015 | 1.2  |  | Elect William J. Schneider                          | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3  | JHP | 67072W101 | 3/26/2015 | 1.3  |  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3  | JHP | 67072W101 | 11/7/2014 | 1    |  | Approval of a New Investment Management Agreements  | Mgmt | For |



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|  |     |           |           |     |   |      |     |
|--|-----|-----------|-----------|-----|---|------|-----|
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 11/7/2014 | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 11/7/2014 | 3.1 | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 3/26/2015 | 1.1 | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 3/26/2015 | 1.2 | Elect William J. Schneider                          | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 1   | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 3.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 3.2 | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 3.3 | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Real Estate Income Fund.        | JRS | 67071B108 | 8/5/2014  | 3.4 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 1   | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 2   | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 3.1 | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 3.2 | Elect Robert P. Bremner                             | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 3.3 | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Select Quality Municipal Fund   | NQS | 670973106 | 8/5/2014  | 3.4 | Elect David J. Kundert                              | Mgmt | For |

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|  |     |           |           |      |   |      |     |
|--|-----|-----------|-----------|------|---|------|-----|
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.5  | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.6  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.7  | Elect Judith M. Stockdale                           | Mgmt | For |
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.8  | Elect Carole E. Stone                               | Mgmt | For |
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.9  | Elect Virginia L. Stringer                          | Mgmt | For |
| Nuveen Select Quality Municipal Fund       | NQS | 670973106 | 8/5/2014  | 3.10 | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.1  | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.2  | Elect David J. Kundert                              | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.3  | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.4  | Elect Terence J. Toth                               | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.5  | Elect Jack B. Evans                                 | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 3/26/2015 | 1.6  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 11/7/2014 | 1    | Approval of a New Investment Management Agreements  | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 11/7/2014 | 2    | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 11/7/2014 | 3.1  | Elect William Adams, IV                             | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 11/7/2014 | 3.2  | Elect John K. Nelson                                | Mgmt | For |
| Nuveen Senior Income Fund                  | NSL | 67067Y104 | 11/7/2014 | 3.3  | Elect Thomas S. Schreier, Jr.                       | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JFR | 67072T108 | 3/26/2015 | 1.1  | Elect William Adams, IV                             | Mgmt | For |
|  | JFR | 67072T108 | 3/26/2015 | 1.2  | Elect David J. Kundert                              | Mgmt | For |

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Nuveen  
Tax-Advantaged  
Dividend Growth Fund

|  |     |           |           |     |                      |      |     |
|--|-----|-----------|-----------|-----|----------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 3/26/2015 | 1.3 | Elect John K. Nelson | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------|------|-----|

|  |     |           |           |     |                       |      |     |
|--|-----|-----------|-----------|-----|-----------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 3/26/2015 | 1.4 | Elect Terence J. Toth | Mgmt | For |
|--|-----|-----------|-----------|-----|-----------------------|------|-----|

|  |     |           |           |     |                     |      |     |
|--|-----|-----------|-----------|-----|---------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 3/26/2015 | 1.5 | Elect Jack B. Evans | Mgmt | For |
|--|-----|-----------|-----------|-----|---------------------|------|-----|

|  |     |           |           |     |                                  |      |     |
|--|-----|-----------|-----------|-----|----------------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 3/26/2015 | 1.6 | Elect Thomas S. Schreier,<br>Jr. | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------------------|------|-----|

|  |     |           |           |     |                     |      |     |
|--|-----|-----------|-----------|-----|---------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF | 67074U103 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
|--|-----|-----------|-----------|-----|---------------------|------|-----|

|  |     |           |           |     |                               |      |     |
|--|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF | 67074U103 | 3/26/2015 | 1.2 | Elect William J.<br>Schneider | Mgmt | For |
|--|-----|-----------|-----------|-----|-------------------------------|------|-----|

|  |     |           |           |     |                                  |      |     |
|--|-----|-----------|-----------|-----|----------------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF | 67074U103 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier,<br>Jr. | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------------------|------|-----|

|  |     |           |           |     |                         |      |     |
|--|-----|-----------|-----------|-----|-------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.1 | Elect William Adams, IV | Mgmt | For |
|--|-----|-----------|-----------|-----|-------------------------|------|-----|

|  |     |           |           |     |                        |      |     |
|--|-----|-----------|-----------|-----|------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.2 | Elect David J. Kundert | Mgmt | For |
|--|-----|-----------|-----------|-----|------------------------|------|-----|

|  |     |           |           |     |                      |      |     |
|--|-----|-----------|-----------|-----|----------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.3 | Elect John K. Nelson | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------|------|-----|

|  |     |           |           |     |                       |      |     |
|--|-----|-----------|-----------|-----|-----------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.4 | Elect Terence J. Toth | Mgmt | For |
|--|-----|-----------|-----------|-----|-----------------------|------|-----|

|  |     |           |           |     |                     |      |     |
|--|-----|-----------|-----------|-----|---------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.5 | Elect Jack B. Evans | Mgmt | For |
|--|-----|-----------|-----------|-----|---------------------|------|-----|

|  |     |           |           |     |                               |      |     |
|--|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.6 | Elect William J.<br>Schneider | Mgmt | For |
|--|-----|-----------|-----------|-----|-------------------------------|------|-----|

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|  |     |           |           |     |   |      |     |
|--|-----|-----------|-----------|-----|---|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 3/26/2015 | 1.7 | Elect Thomas S. Schreier,<br>Jr.                          | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 3/26/2015 | 1.1 | Elect Jack B. Evans                                       | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 3/26/2015 | 1.2 | Elect William J.<br>Schneider                             | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier,<br>Jr.                          | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 8/5/2014  | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 8/5/2014  | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 8/5/2014  | 3.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 8/5/2014  | 3.2 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JFR | 67072T108 | 8/5/2014  | 3.3 | Elect Thomas S. Schreier,<br>Jr.                          | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 8/5/2014  | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 8/5/2014  | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 8/5/2014  | 3.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 8/5/2014  | 3.2 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JSD | 67074X107 | 8/5/2014  | 3.3 | Elect Thomas S. Schreier,<br>Jr.                          | Mgmt | For |

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|  |     |           |          |     |   |      |     |
|--|-----|-----------|----------|-----|---|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 3   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 4.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 4.2 | Elect David J. Kundert                                    | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 4.3 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 670735109 | 8/5/2014 | 4.4 | Elect Terence J. Toth                                     | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 3.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 3.2 | Elect David J. Kundert                                    | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 3.3 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JLS | 67074R100 | 8/5/2014 | 3.4 | Elect Terence J. Toth                                     | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |

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|  |      |           |           |     |   |      |     |
|--|------|-----------|-----------|-----|---|------|-----|
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF  | 67074U103 | 8/5/2014  | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF  | 67074U103 | 8/5/2014  | 3.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF  | 67074U103 | 8/5/2014  | 3.2 | Elect David J. Kundert                                    | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF  | 67074U103 | 8/5/2014  | 3.3 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JMF  | 67074U103 | 8/5/2014  | 3.4 | Elect Terence J. Toth                                     | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 1   | Approval of a New<br>Investment Management<br>Agreements  | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 2   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 3   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 4   | Approval of a New<br>Investment Subadvisory<br>Agreements | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 5.1 | Elect William Adams, IV                                   | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 5.2 | Elect David J. Kundert                                    | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 5.3 | Elect John K. Nelson                                      | Mgmt | For |
| Nuveen<br>Tax-Advantaged<br>Dividend Growth Fund | JTD  | 67073G105 | 8/5/2014  | 5.4 | Elect Terence J. Toth                                     | Mgmt | For |
| Oracle Corporation                               | ORCL | 68389X105 | 11/5/2014 | 1.1 | Elect Jeffrey S. Berg                                     | Mgmt | For |
| Oracle Corporation                               | ORCL | 68389X105 | 11/5/2014 | 1.3 | Elect Michael J. Boskin                                   | Mgmt | For |

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|                    |      |           |           |      |  |          |         |
|--------------------|------|-----------|-----------|------|--|----------|---------|
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.4  | Elect Safra A. Catz  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.6  | Elect George H. Conrades   | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.7  | Elect Lawrence J. Ellison  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.8  | Elect Hector Garcia-Molina   | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.10 | Elect Mark V. Hurd   | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.11 | Elect Naomi O. Seligman  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 3    | Ratification of Auditor  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 4    | Shareholder Proposal Regarding Counting Abstentions  | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 5    | Shareholder Proposal Regarding the Use of Metrics for Executive Performance Measures                             | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 6    | Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.2  | Elect H. Raymond Bingham   | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.5  | Elect Bruce R. Chizen  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.9  | Elect Jeffrey O. Henley  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 2    | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 7    | Shareholder Proposal Regarding Proxy Access  | ShrHoldr | Against |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 1    | Elect Shona L. Brown   | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 2    | Elect George W. Buckley  | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 3    | Elect Ian M. Cook  | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 4    | Elect Dina Dublon  | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 5    | Elect Rona A. Fairhead   | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 6    | Elect Richard W. Fisher  | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 7    | Elect Alberto Ibarguen   | Mgmt     | For     |
| PepsiCo, Inc.      | PEP  | 713448108 | 5/6/2015  | 8    | Elect William R. Johnson   | Mgmt     | For     |

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|                  |     |           |          |    |   |          |         |
|------------------|-----|-----------|----------|----|---|----------|---------|
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 9  | Elect Indra K. Nooyi  | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 10 | Elect David C. Page   | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 11 | Elect Robert C. Pohlad  | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 12 | Elect Lloyd G. Trotter  | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 13 | Elect Daniel Vasella  | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 14 | Elect Alberto Weisser   | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 15 | Ratification of Auditor   | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 16 | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 17 | Shareholder Proposal Regarding Formation of Sustainability Committee            | ShrHoldr | Against |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 18 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| PepsiCo, Inc.    | PEP | 713448108 | 5/6/2015 | 19 | Shareholder Proposal Regarding Neonicotinoids                                   | ShrHoldr | Against |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 1  | Elect Lewis Chew  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 2  | Elect Anthony F. Earley, Jr.  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 3  | Elect Fred J. Fowler  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 4  | Elect Maryellen C. Herringer  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 5  | Elect Richard C. Kelly  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 6  | Elect Roger H. Kimmel   | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 7  | Elect Richard A. Meserve  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 8  | Elect Forrest E. Miller   | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 9  | Elect Rosendo G. Parra  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 10 | Elect Barbara L. Rambo  | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 11 | Elect Anne Shen Smith   | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 12 | Elect Barry Lawson Williams   | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 13 | Ratification of Auditor   | Mgmt     | For     |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 14 |   | Mgmt     | For     |



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|                               |     |           |           |     | Advisory Vote on<br>Executive Compensation  |          |         |
|-------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| PG&E Corporation              | PCG | 69331C108 | 5/4/2015  | 15  | Shareholder Proposal<br>Regarding Independent<br>Board Chairman                         | ShrHoldr | Against |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 1   | Elect J. Brian Ferguson   | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 2   | Elect Harold W. McGraw<br>III   | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 3   | Elect Victoria J.<br>Tschinkel  | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 4   | Ratification of Auditor   | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 5   | Advisory Vote on<br>Executive Compensation  | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 6   | Repeal of Classified<br>Board   | Mgmt     | For     |
| Phillips 66                   | PSX | 718546104 | 5/6/2015  | 7   | Shareholder Proposal<br>Regarding Reporting and<br>Reducing Greenhouse<br>Gas Emissions | ShrHoldr | Against |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 1.1 | Elect Charles E. Bunch  | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 1.2 | Elect Michael W. Lamach   | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 1.3 | Elect Martin H.<br>Richenhagen  | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 1.4 | Elect Thomas J. Usher   | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 2   | Advisory Vote on<br>Executive Compensation  | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 3   | Elimination of<br>Supermajority<br>Requirement  | Mgmt     | For     |
| PPG Industries, Inc.          | PPG | 693506107 | 4/16/2015 | 4   | Ratification of Auditor   | Mgmt     | For     |
| Prudential Financial,<br>Inc. | PRU | 744320102 | 5/12/2015 | 1   | Elect Thomas J.<br>Baltimore, Jr.   | Mgmt     | For     |
| Prudential Financial,<br>Inc. | PRU | 744320102 | 5/12/2015 | 2   | Elect Gordon M. Bethune   | Mgmt     | For     |
| Prudential Financial,<br>Inc. | PRU | 744320102 | 5/12/2015 | 3   | Elect Gilbert F. Casellas   | Mgmt     | For     |
| Prudential Financial,<br>Inc. | PRU | 744320102 | 5/12/2015 | 4   | Elect James G. Cullen   | Mgmt     | For     |

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|                            |      |           |           |    |   |      |     |
|----------------------------|------|-----------|-----------|----|---|------|-----|
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 5  | Elect Mark B. Grier                     | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 6  | Elect Constance J. Horner               | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 7  | Elect Martina Hund-Mejean               | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 8  | Elect Karl J. Krapek                    | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 9  | Elect Christine A. Poon                 | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 10 | Elect Douglas A. Scovanner              | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 11 | Elect John R. Strangfeld                | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 12 | Ratification of Auditor                 | Mgmt | For |
| Prudential Financial, Inc. | PRU  | 744320102 | 5/12/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 1  | Elect Barbara T. Alexander              | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 2  | Elect Donald G. Cruickshank             | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 3  | Elect Raymond V. Dittamore              | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 4  | Elect Susan Hockfield                   | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 5  | Elect Thomas W. Horton                  | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 6  | Elect Paul E. Jacobs                    | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 7  | Elect Sherry Lansing                    | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 8  | Elect Harish M. Manwani                 | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 9  | Elect Steven M. Mollenkopf              | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 10 | Elect Duane A. Nelles                   | Mgmt | For |
| QUALCOMM Incorporated      | QCOM | 747525103 | 3/9/2015  | 11 | Elect Clark T. Randt, Jr.               | Mgmt | For |

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|  |      |           |           |     |  |      |     |  |
|--|------|-----------|-----------|-----|--|------|-----|--|
| QUALCOMM Incorporated                    |      |           |           |     |  |      |     |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 12  | Elect Francisco Ros                                | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 13  | Elect Jonathan J. Rubinstein                       | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 14  | Elect Brent Scowcroft                              | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 15  | Elect Marc I. Stern                                | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 16  | Ratification of Auditor                            | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 17  | Amendment to the 2001 Employee Stock Purchase Plan | Mgmt | For |  |
| QUALCOMM Incorporated                    | QCOM | 747525103 | 3/9/2015  | 18  | Advisory Vote on Executive Compensation            | Mgmt | For |  |
| Reaves Utility Income Fund               | UTG  | 756158101 | 5/1/2015  | 1.1 | Elect E. Wayne Nordberg                            | Mgmt | For |  |
| Reaves Utility Income Fund               | UTG  | 756158101 | 5/1/2015  | 1.2 | Elect Larry W. Papasan                             | Mgmt | For |  |
| RMR Real Estate Income Fund.             | RIF  | 76970B101 | 4/15/2015 | 1   | Elect Jeffrey P. Somers                            | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 1   | Elect Peter L.S. Currie                            | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 2   | Elect K. Vaman Kamath                              | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 3   | Elect V. Maureen Kempston Darkes                   | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 4   | Elect Paal Kibsgaard                               | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 5   | Elect Nikolay Kudryavtsev                          | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 6   | Elect Michael E. Marks                             | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 7   | Elect Indra K. Nooyi                               | Mgmt | For |  |
| Schlumberger Ltd. (Netherlands Antilles) | SLB  | 806857108 | 4/8/2015  | 8   | Elect Lubna S. Olayan                              | Mgmt | For |  |

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|   |     |           |           |     |   |      |     |
|---|-----|-----------|-----------|-----|---|------|-----|
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 9   | Elect Leo Rafael Reif                         | Mgmt | For |
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 10  | Elect Tore I. Sandvold                        | Mgmt | For |
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 11  | Elect Henri Seydoux                           | Mgmt | For |
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 12  | Advisory Vote on<br>Executive Compensation    | Mgmt | For |
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 13  | Approval of Financial<br>Statements/Dividends | Mgmt | For |
| Schlumberger Ltd.<br>(Netherlands Antilles) | SLB | 806857108 | 4/8/2015  | 14  | Ratification of Auditor                       | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.1 | Elect Eric S. Ende                            | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.2 | Elect Thomas P. Merrick                       | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.3 | Elect Alfred E. Osborne,<br>Jr.               | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.4 | Elect A. Robert Pisano                        | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.5 | Elect Patrick B. Purcell                      | Mgmt | For |
| Source Capital, Inc.                        | SOR | 836144105 | 5/11/2015 | 1.6 | Elect Allan M. Rudnick                        | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 1   | Elect Juanita Powell<br>Baranco               | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 2   | Elect Jon A. Boscia                           | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 3   | Elect Henry A. Clark III                      | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 4   | Elect Thomas A. Fanning                       | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 5   | Elect David J. Grain                          | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 6   | Elect Veronica M. Hagen                       | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 7   | Elect Warren A. Hood, Jr.                     | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 8   | Elect Linda P. Hudson                         | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 9   | Elect Donald M. James                         | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 10  | Elect John D. Johns                           | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 11  | Elect Dale E. Klein                           | Mgmt | For |
| Southern Company                            | SO  | 842587107 | 5/27/2015 | 12  |   | Mgmt | For |

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|                         |     |           |           |     |  |  |          |         |
|-------------------------|-----|-----------|-----------|-----|--|--|----------|---------|
|                         |     |           |           |     |  | Elect William G. Smith, Jr.  |          |         |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 13  |  | Elect Steven R. Specker  | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 14  |  | Elect Larry D. Thompson  | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 15  |  | Elect E. Jenner Wood III   | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 16  |  | Approval of the Outside Directors Stock Plan                                   | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 17  |  | Amendment to Allow Action by Written Consent of Shareholders                   | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 18  |  | Advisory Vote on Executive Compensation  | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 19  |  | Ratification of Auditor  | Mgmt     | For     |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 21  |  | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| Southern Company        | SO  | 842587107 | 5/27/2015 | 20  |  | Shareholder Proposal Regarding Proxy Access                                    | ShrHoldr | Against |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 1.1 |  | Elect Brian A. Berris  | Mgmt     | For     |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 1.2 |  | Elect David R. Bock  | Mgmt     | For     |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 2   |  | Ratification of Auditor  | Mgmt     | For     |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 3   |  | Shareholder Proposal Regarding Declassification of the Board                   | ShrHoldr | Against |
| Taiwan Fund Inc         | TWN | 874036106 | 4/21/2015 | 1.1 |  | Elect Joe O. Rogers  | Mgmt     | For     |
| Taiwan Fund Inc         | TWN | 874036106 | 4/21/2015 | 1.2 |  | Elect M. Christopher Canavan, Jr.  | Mgmt     | For     |
| Taiwan Fund Inc         | TWN | 874036106 | 4/21/2015 | 1.4 |  | Elect William C. Kirby   | Mgmt     | For     |
| Taiwan Fund Inc         | TWN | 874036106 | 4/21/2015 | 1.5 |  | Elect Anthony LO Kai Yiu   | Mgmt     | For     |
| Taiwan Fund Inc         | TWN | 874036106 | 4/21/2015 | 1.6 |  | Elect Lawrence A. Kudlow   | Mgmt     | For     |

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|---|-----|-----------|-----------|-----|--|------|-----|
| Taiwan Fund Inc                             | TWN | 874036106 | 4/21/2015 | 1.7 | Elect Chih T. Cheung   | Mgmt | For |
| Taiwan Fund Inc                             | TWN | 874036106 | 4/21/2015 | 2   | Amendment to Investment Restriction Regarding Taiwan Traded Securities | Mgmt | For |
| Taiwan Fund Inc                             | TWN | 874036106 | 9/22/2014 | 1   | Approval of a New Investment Advisory Agreement                        | Mgmt | For |
| Taiwan Fund Inc                             | TWN | 874036106 | 4/21/2015 | 1.3 | Elect Michael F. Holland   | Mgmt | For |
| Templeton Dragon Fund, Inc.                 | TDF | 88018T101 | 5/28/2015 | 1.1 | Elect Ann Torre Bates  | Mgmt | For |
| Templeton Dragon Fund, Inc.                 | TDF | 88018T101 | 5/28/2015 | 1.2 | Elect David W. Niemiec   | Mgmt | For |
| Templeton Dragon Fund, Inc.                 | TDF | 88018T101 | 5/28/2015 | 1.3 | Elect Frank A. Olson   | Mgmt | For |
| Templeton Dragon Fund, Inc.                 | TDF | 88018T101 | 5/28/2015 | 1.4 | Elect Robert E. Wade   | Mgmt | For |
| Templeton Dragon Fund, Inc.                 | TDF | 88018T101 | 5/28/2015 | 2   | Ratification of Auditor  | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.1 | Elect Harris J. Ashton   | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.2 | Elect J. Michael Luttig  | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.3 | Elect Larry D. Thompson  | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.4 | Elect Constantine D. Tseretopoulos                                     | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 2   | Ratification of Auditor  | Mgmt | For |
| Thai Fund Inc                               | TTF | 882904105 | 6/30/2015 | 1.1 | Elect Michael Bozic  | Mgmt | For |
| Thai Fund Inc                               | TTF | 882904105 | 6/30/2015 | 1.2 | Elect Nancy C. Everett   | Mgmt | For |
| Thai Fund Inc                               | TTF | 882904105 | 6/30/2015 | 1.3 | Elect Michael F. Klein   | Mgmt | For |
| Thai Fund Inc                               | TTF | 882904105 | 6/30/2015 | 1.4 | Elect W. Allen Reed  | Mgmt | For |

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|                               |     |           |           |     |   |      |     |
|-------------------------------|-----|-----------|-----------|-----|---|------|-----|
| The China Fund, Inc.          | CHN | 169373107 | 3/19/2015 | 1.1 | Elect Joe O. Rogers                     | Mgmt | For |
| The China Fund, Inc.          | CHN | 169373107 | 3/19/2015 | 1.2 | Elect Richard Store                     | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 1   | Elect Marc N. Casper                    | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 2   | Elect Nelson J. Chai                    | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 3   | Elect C. Martin Harris                  | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 4   | Elect Tyler Jacks                       | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 5   | Elect Judy C. Lewent                    | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 6   | Elect Thomas J. Lynch                   | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 7   | Elect Jim P. Manzi                      | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 8   | Elect William G. Parrett                | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 9   | Elect Lars R. Sorenson                  | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 10  | Elect Scott M. Sperling                 | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 11  | Elect Elaine S. Ullian                  | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 12  | Advisory Vote on Executive Compensation | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 13  | Ratification of Auditor                 | Mgmt | For |
| Time Warner Cable, Inc.       | TWC | 88732J207 | 10/9/2014 | 1   | Merger                                  | Mgmt | For |
| Time Warner Cable, Inc.       | TWC | 88732J207 | 10/9/2014 | 2   | Advisory Vote on Golden Parachutes      | Mgmt | For |
| Time Warner Inc.              | TWX | 887317303 | 6/19/2015 | 1   | Elect James L. Barksdale                | Mgmt | For |
| Time Warner Inc.              | TWX | 887317303 | 6/19/2015 | 2   | Elect William P. Barr                   | Mgmt | For |
| Time Warner Inc.              | TWX | 887317303 | 6/19/2015 | 3   | Elect Jeffrey L. Bewkes                 | Mgmt | For |
| Time Warner Inc.              | TWX | 887317303 | 6/19/2015 | 4   | Elect Stephen F.                        | Mgmt | For |

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Bollenbach

|                             |     |           |           |     |   |          |         |
|-----------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 5   | Elect Robert C. Clark   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 6   | Elect Mathias Dopfner   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 7   | Elect Jessica P. Einhorn  | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 8   | Elect Carlos M. Gutierrez   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 9   | Elect Fred Hassan   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 10  | Elect Kenneth J. Novack   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 11  | Elect Paul D. Wachter   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 12  | Elect Deborah C. Wright   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 13  | Ratification of Auditor   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 14  | Advisory Vote on Executive Compensation   | Mgmt     | For     |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 16  | Shareholder Proposal Regarding Oversight of Young People's Well Being and Family Values | ShrHoldr | Against |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 17  | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions          | ShrHoldr | Against |
| Time Warner Inc.            | TWX | 887317303 | 6/19/2015 | 15  | Shareholder Proposal Regarding Right to Act by Written Consent                          | ShrHoldr | Against |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 1.1 | Elect Kathleen Blatz  | Mgmt     | For     |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 1.2 | Elect Pamela G. Carlton   | Mgmt     | For     |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 1.3 | Elect Alison Taunton-Rigby  | Mgmt     | For     |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 1.4 | Elect William A. Hawkins  | Mgmt     | For     |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 2   | Ratification of Auditor   | Mgmt     | For     |
| Tri-Continental Corporation | TY  | 895436103 | 4/13/2015 | 3   | Shareholder Proposal Regarding Self-Tender Offer or Liquidation                         | ShrHoldr | Against |
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.1 | Elect Michael Bozic   | Mgmt     | For     |



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|                             |     |           |           |     |   |          |         |
|-----------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.2 | Elect Nancy C. Everett  | Mgmt     | For     |
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.3 | Elect Michael F. Klein  | Mgmt     | For     |
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.4 | Elect W. Allen Reed   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 1   | Elect Andrew H. Card, Jr.   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 2   | Elect Erroll B. Davis, Jr.  | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 3   | Elect David B. Dillon   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 4   | Elect Lance M. Fritz  | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 5   | Elect Judith Richards Hope  | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 6   | Elect John J. Koraleski   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 7   | Elect Charles C. Krulak   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 8   | Elect Michael R. McCarthy   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 9   | Elect Michael W. McConnell  | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 10  | Elect Thomas F. McLarty III   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 11  | Elect Steven R. Rogel   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 12  | Elect Jose H. Villarreal  | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 13  | Ratification of Auditor   | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 14  | Advisory Vote on Executive Compensation                             | Mgmt     | For     |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 15  | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Union Pacific Corporation   | UNP | 907818108 | 5/14/2015 | 16  | Shareholder Proposal regarding Independent Chairman                 | ShrHoldr | Against |

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|                             |    |           |          |    |   |          |         |
|-----------------------------|----|-----------|----------|----|---|----------|---------|
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 1  | Elect Shellye L. Archambeau   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 2  | Elect Mark T. Bertolini   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 3  | Elect Richard L. Carrion  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 4  | Elect Melanie L. Healey   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 5  | Elect M. Frances Keeth  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 6  | Elect Lowell C. McAdam  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 7  | Elect Donald T. Nicolaisen  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 8  | Elect Clarence Otis, Jr.  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 9  | Elect Rodney E. Slater  | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 10 | Elect Kathryn A. Tesija   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 11 | Elect Gregory D. Wasson   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 12 | Ratification of Auditor   | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 13 | Advisory Vote on Executive Compensation                             | Mgmt     | For     |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 14 | Shareholder Proposal Regarding Network Neutrality                   | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 16 | Shareholder Proposal Regarding Approval of Severance Agreements     | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 17 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 15 | Shareholder Proposal Regarding Lobbying Report                      | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 18 | Shareholder Proposal Regarding Right to Act                         | ShrHoldr | Against |

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by Written Consent

|  |     |           |           |     |  |      |     |
|--|-----|-----------|-----------|-----|--|------|-----|
| Virtus Investment Partners Inc                           | DCA | 92829A103 | 6/4/2015  | 1.1 | Elect Philip R. McLoughlin   | Mgmt | For |
| Virtus Investment Partners Inc                           | DCA | 92829A103 | 6/4/2015  | 1.2 | Elect George R. Aylward  | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund       | IAE | 44983J107 | 7/2/2014  | 1.1 | Elect J. Michael Earley  | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund       | IAE | 44983J107 | 7/2/2014  | 1.2 | Elect Patricia W. Kenny  | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund       | IAE | 44983J107 | 7/2/2014  | 1.3 | Elect Roger B. Vincent   | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund       | IAE | 44983J107 | 7/2/2014  | 1.4 | Elect Shaun P. Mathews   | Mgmt | For |
| Voya Financial Inc                                       | IAE | 92912J102 | 2/10/2015 | 1   | Approval of a New Sub-Advisory Agreement Between Voya Investments and IIMA | Mgmt | For |
| Voya Global Advantage and Premium Opportunity Fund       | IGA | 44982N109 | 7/2/2014  | 1.1 | Elect J. Michael Earley  | Mgmt | For |
| Voya Global Advantage and Premium Opportunity Fund       | IGA | 44982N109 | 7/2/2014  | 1.2 | Elect Patricia W. Kenny  | Mgmt | For |
| Voya Global Advantage and Premium Opportunity Fund       | IGA | 44982N109 | 7/2/2014  | 1.3 | Elect Roger B. Vincent   | Mgmt | For |
| Voya Global Advantage and Premium Opportunity Fund       | IGA | 44982N109 | 7/2/2014  | 1.4 | Elect Shaun P. Mathews   | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014  | 1.1 | Elect J. Michael Earley  | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014  | 1.2 | Elect Patricia W. Kenny  | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014  | 1.3 | Elect Roger B. Vincent   | Mgmt | For |

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|  |     |           |          |      |                               |      |     |
|--|-----|-----------|----------|------|-------------------------------|------|-----|
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014 | 1.4  | Elect Shaun P. Mathews        | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund      | IDE | 45685U100 | 7/2/2014 | 1.1  | Elect J. Michael Earley       | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund      | IDE | 45685U100 | 7/2/2014 | 1.2  | Elect Patricia W. Kenny       | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund      | IDE | 45685U100 | 7/2/2014 | 1.3  | Elect Roger B. Vincent        | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund      | IDE | 45685U100 | 7/2/2014 | 1.4  | Elect Shaun P. Mathews        | Mgmt | For |
| Voya Natural Resources Equity Income Fund                | IRR | 449810100 | 7/2/2014 | 1.1  | Elect J. Michael Earley       | Mgmt | For |
| Voya Natural Resources Equity Income Fund                | IRR | 449810100 | 7/2/2014 | 1.2  | Elect Patricia W. Kenny       | Mgmt | For |
| Voya Natural Resources Equity Income Fund                | IRR | 449810100 | 7/2/2014 | 1.3  | Elect Roger B. Vincent        | Mgmt | For |
| Voya Natural Resources Equity Income Fund                | IRR | 449810100 | 7/2/2014 | 1.4  | Elect Shaun P. Mathews        | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.1  | Elect Colleen D. Baldwin      | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.2  | Elect John V. Boyer           | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.3  | Elect Patricia W. Chadwick    | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.4  | Elect Albert E. DePrince, Jr. | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.6  | Elect J. Michael Earley       | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.7  | Elect Russell H. Jones        | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.8  | Elect Patricia W. Kenny       | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.9  | Elect Joseph E. Obermeyer     | Mgmt | For |
| Voya Prime Rate Trust                                    | PPR | 44977W106 | 7/2/2014 | 1.10 | Elect Sheryl K. Pressler      | Mgmt | For |

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|                       |     |           |          |      |   |          |         |
|-----------------------|-----|-----------|----------|------|---|----------|---------|
| Voya Prime Rate Trust | PPR | 44977W106 | 7/2/2014 | 1.11 | Elect Roger B. Vincent  | Mgmt     | For     |
| Voya Prime Rate Trust | PPR | 44977W106 | 7/2/2014 | 1.12 | Elect Shaun P. Mathews  | Mgmt     | For     |
| Voya Prime Rate Trust | PPR | 44977W106 | 7/2/2014 | 1.5  | Elect Peter S. Drotch   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 3    | Elect Roger C. Corbett  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 4    | Elect Pamela J. Craig   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 6    | Elect Timothy P. Flynn  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 7    | Elect Thomas W. Horton  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 8    | Elect Marissa A. Mayer  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 9    | Elect C. Douglas<br>McMillon  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 10   | Elect Gregory B. Penner   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 11   | Elect Steven S.<br>Reinemund  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 12   | Elect Kevin Y. Systrom  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 13   | Elect Jim C. Walton   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 14   | Elect S. Robson Walton  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 15   | Elect Linda S. Wolf   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 16   | Ratification of Auditor   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 17   | Advisory Vote on<br>Executive Compensation  | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 18   | 2015 Equity Incentive<br>Plan   | Mgmt     | For     |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 20   | Shareholder Proposal<br>Regarding Proxy Access  | ShrHoldr | Against |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 21   | Shareholder Proposal<br>Regarding Reporting and<br>Reducing Greenhouse<br>Gas Emissions in<br>Maritime Shipping<br>Supply Chain | ShrHoldr | Against |
| Wal-Mart Stores Inc.  | WMT | 931142103 | 6/5/2015 | 22   | Shareholder Proposal<br>Regarding an Incentive<br>Compensation Report   | ShrHoldr | Against |

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|--------------------------|-----|-----------|-----------|----|--|----------|---------|
| Wal-Mart Stores Inc.     | WMT | 931142103 | 6/5/2015  | 1  | Elect Aida M. Alvarez  | Mgmt     | For     |
| Wal-Mart Stores Inc.     | WMT | 931142103 | 6/5/2015  | 2  | Elect James I. Cash, Jr.   | Mgmt     | For     |
| Wal-Mart Stores Inc.     | WMT | 931142103 | 6/5/2015  | 5  | Elect Michael T. Duke  | Mgmt     | For     |
| Wal-Mart Stores Inc.     | WMT | 931142103 | 6/5/2015  | 19 | Shareholder Proposal<br>Regarding Recoupment<br>Report                                   | ShrHoldr | Against |
| Wal-Mart Stores Inc.     | WMT | 931142103 | 6/5/2015  | 23 | Shareholder Proposal<br>Regarding Independent<br>Board Chairman                          | ShrHoldr | Against |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 1  | Elect Susan E. Arnold  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 2  | Elect John S. Chen   | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 3  | Elect Jack Dorsey  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 4  | Elect Robert A. Iger   | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 5  | Elect Fred H.<br>Langhammer  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 6  | Elect Aylwin B. Lewis  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 7  | Elect Monica C. Lozano   | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 8  | Elect Robert W.<br>Matschullat   | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 9  | Elect Sheryl Sandberg  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 10 | Elect Orin C. Smith  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 11 | Ratification of Auditor  | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 14 | Shareholder Proposal<br>Regarding Compensation<br>in the Event of a Change<br>in Control | ShrHoldr | Against |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 12 | Advisory Vote on<br>Executive Compensation   | Mgmt     | For     |
| Walt Disney Co.          | DIS | 254687106 | 3/12/2015 | 13 | Shareholder Proposal<br>Regarding Independent<br>Board Chairman                          | ShrHoldr | Against |
| Wells Fargo &<br>Company | WFC | 949746101 | 4/28/2015 | 1  | Elect John D. Baker II   | Mgmt     | For     |
| Wells Fargo &<br>Company | WFC | 949746101 | 4/28/2015 | 2  | Elect Elaine L. Chao   | Mgmt     | For     |
| Wells Fargo &<br>Company | WFC | 949746101 | 4/28/2015 | 3  | Elect John S. Chen   | Mgmt     | For     |

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| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 4   | Elect Lloyd H. Dean                                       | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 5   | Elect Elizabeth A. Duke                                   | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 6   | Elect Susan E. Engel                                      | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 7   | Elect Enrique Hernandez, Jr.                              | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 8   | Elect Donald M. James                                     | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 10  | Elect Federico F. Pena                                    | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 11  | Elect James H. Quigley                                    | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 12  | Elect Judith M. Runstad                                   | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 13  | Elect Stephen W. Sanger                                   | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 14  | Elect John G. Stumpf                                      | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 15  | Elect Susan G. Swenson                                    | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 16  | Elect Suzanne M. Vautrinot                                | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 17  | Advisory Vote on Executive Compensation                   | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 18  | Ratification of Auditor                                   | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 20  | Shareholder Proposal Regarding Lobbying Report            | ShrHoldr | Against |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 9   | Elect Cynthia H. Milligan                                 | Mgmt     | For     |
| Wells Fargo & Company                           | WFC | 949746101 | 4/28/2015 | 19  | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Wells Fargo Advantage Income Opportunities Fund | EOD | 94987C103 | 2/9/2015  | 1.1 | Elect William R. Ebsworth                                 | Mgmt     | For     |
|   | EOD | 94987C103 | 2/9/2015  | 1.2 | Elect Jane A. Freeman                                     | Mgmt     | For     |

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|--|------|-----------|------------|-----|--------------------------------|------|-----|--|
| Wells Fargo Advantage<br>Income Opportunities<br>Fund      |      |           |            |     |                                |      |     |  |
| Wells Fargo Advantage<br>Income Opportunities<br>Fund      | EOD  | 94987C103 | 2/9/2015   | 1.3 | Elect Judith M. Johnson        | Mgmt | For |  |
| Wells Fargo Advantage<br>Income Opportunities<br>Fund      | EOD  | 94987C103 | 2/9/2015   | 1.4 | Elect Donald C. Willeke        | Mgmt | For |  |
| Western Asset Managed<br>Municipals Portfolio Inc          | MMU  | 95766M105 | 9/26/2014  | 1.1 | Elect William R.<br>Hutchinson | Mgmt | For |  |
| Western Asset Managed<br>Municipals Portfolio Inc          | MMU  | 95766M105 | 9/26/2014  | 1.2 | Elect Riordan Roett            | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | EMO  | 18469P100 | 3/20/2015  | 1.1 | Elect Robert D. Agdern         | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | EMO  | 18469P100 | 3/20/2015  | 1.2 | Elect Carol L. Colman          | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | EMO  | 18469P100 | 3/20/2015  | 1.3 | Elect Daniel P. Cronin         | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | EMO  | 18469P100 | 3/20/2015  | 1.4 | Elect Paolo M. Cucchi          | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | CEM  | 184692101 | 3/20/2015  | 1.1 | Elect Robert D. Agdern         | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | CEM  | 184692101 | 3/20/2015  | 1.2 | Elect Leslie H. Gelb           | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | CEM  | 184692101 | 3/20/2015  | 1.3 | Elect William R.<br>Hutchinson | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | HYI  | 95768B107 | 12/15/2014 | 1.1 | Elect Eileen A. Kamerick       | Mgmt | For |  |
| Western Asset<br>Mortgage Defined<br>Opportunity Fund Inc. | HYI  | 95768B107 | 12/15/2014 | 1.2 | Elect Riordan Roett            | Mgmt | For |  |
| Yahoo! Inc.  | YHOO | 984332106 | 6/24/2015  | 1   | Elect David Filo               | Mgmt | For |  |



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|                             |      |           |           |     |  |          |         |
|-----------------------------|------|-----------|-----------|-----|--|----------|---------|
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 2   | Elect Susan M. James   | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 3   | Elect Max R. Levchin   | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 4   | Elect Marissa A. Mayer   | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 5   | Elect Thomas J. McInerney  | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 6   | Elect Charles R. Schwab  | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 7   | Elect H. Lee Scott, Jr.  | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 8   | Elect Jane E. Shaw   | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 9   | Elect Maynard G. Webb, Jr.   | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 10  | Advisory Vote on Executive Compensation                              | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 11  | Ratification of Auditor  | Mgmt     | For     |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 12  | Shareholder Proposal Regarding Formation of a Human Rights Committee | ShrHoldr | Against |
| Yahoo! Inc.                 | YHOO | 984332106 | 6/24/2015 | 13  | Shareholder Proposal Regarding Right to Act by Written Consent       | ShrHoldr | Against |
| Zweig Total Return Fund Inc | ZTR  | 989837208 | 6/11/2015 | 1.1 | Elect James B. Rogers, Jr.   | Mgmt     | For     |
| Zweig Total Return Fund Inc | ZTR  | 989837208 | 6/11/2015 | 1.2 | Elect Christopher Ruddy  | Mgmt     | For     |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title) /s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and Chairman  
(Principal Executive Officer)

Date August 10, 2015