Bank of New York Mellon CORP Form 4

July 03, 2007

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

3235-0287

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Form 4 or Form 5 obligations may continue. See Instruction

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Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Value

\$0.01)

(Print or Type Responses)

1. Name and Address of Reporting Person * ROGAN BRIAN G			2. Issuer Name and Ticker or Trading Symbol Bank of New York Mellon CORP [BK]				5. Relati Issuer	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) ONE WA	(First) LL STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/01/2007			X_ O	Director 10% OwnerX_ Officer (give title Other (specify below) Sr. Executive Vice President				
NEW YO	4. If Amendment, Date Original Filed(Month/Day/Year)				Applicabl _X_ Forn	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative Secur	ities A	cquired, Di	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	d 3. 4. Securities Acquired (A) Date, if TransactionDisposed of (D) Code (Instr. 3, 4 and 5)		A) or	Securities Ownership of Beneficially Form: E Owned Direct (D)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(111501. 4)		
Common Stock (Par Value \$0.01)	07/01/2007			A	348,737.7893	A	\$ 41.5096	348,737.7893	D		
Common Stock (Par	07/01/2007			A	14,634.155	A	\$ 41.5096	14,634.155	I	By 401(k)	

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Plan

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Expiration Date Expiration Date (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
1/12/99 Stock Options \$35.56	\$ 37.7	07/01/2007		A	94,340 (2)	07/01/2007	01/12/2009	Common Stock (Par Value \$0.01)	94,34
1/13/98 Stock Options \$27.47	\$ 29.12	07/01/2007		A	94,340 (2)	07/01/2007	01/13/2008	Common Stock (Par Value \$0.01)	94,34
2/11/03 Stock Options \$23.13	\$ 24.52	07/01/2007		A	212,265 (2)	07/01/2007	02/11/2013	Common Stock (Par Value \$0.01)	212,2
2/13/01 Stock Options \$54.02	\$ 57.26	07/01/2007		A	117,925 (2)	07/01/2007	02/13/2011	Common Stock (Par Value \$0.01)	117,9
2/8/00 Stock Options \$39.31	\$ 41.67	07/01/2007		A	117,925 (2)	07/01/2007	02/08/2010	Common Stock (Par Value \$0.01)	117,9
3/12/02 Stock Options \$41.85	\$ 44.36	07/01/2007		A	150,944 (2)	07/01/2007	03/12/2012	Common Stock (Par Value \$0.01)	150,9

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3/13/2007 Stock Options \$38.11	\$ 40.4	07/01/2007	A	79,890 (2)	03/13/2008	03/13/2017	Common Stock (Par Value \$0.01)	79,89
3/14/06 Stock Options \$34.99	\$ 37.09	07/01/2007	A	127,359 (2)	07/01/2007	03/14/2016	Common Stock (Par Value \$0.01)	127,3
3/4/04 Stock Options \$33.09	\$ 35.08	07/01/2007	A	108,491 (2)	07/01/2007	03/04/2014	Common Stock (Par Value \$0.01)	108,4
3/9/2005 Stock Options \$30.39	\$ 32.21	07/01/2007	A	111,321 (2)	07/01/2007	03/09/2015	Common Stock (Par Value \$0.01)	111,3
4/2/2007 Stock Options \$40.41	\$ 42.83	07/01/2007	A	40,472 (2)	04/02/2008	04/02/2017	Common Stock (Par Value \$0.01)	40,47
Restricted Stock Units	\$ 37.05	07/01/2007	A	51,887 (3)	03/23/2010	03/23/2010	Common Stock (Par Value \$0.01)	51,88
Restricted Stock Units	\$ 42.83	07/01/2007	A	13,491 ( <u>3)</u>	04/02/2010	04/02/2010	Common Stock (Par Value \$0.01)	13,49

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
ROGAN BRIAN G							
ONE WALL STREET			Sr. Executive Vice President				
NEW YORK NY 10286							

Reporting Owners 3

# **Signatures**

Bart R. 07/03/2007 Schwartz

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Acquired in exchange for shares of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of The Bank of New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY, with fractional shares paid in cash under the merger agreement at a price of \$41.5096 per share, except in the case of certain benefit plans, as to which fractional shares will be rolled over.
- Acquired in exchange for restricted stock units of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of (3) The Bank of New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY.
- Acquired in exchange for options of The Bank of New York Mellon Corporation ("BNY Mellon") pursuant to the merger of The Bank of (2) New York Company, Inc. ("BNY") into BNY Mellon. The exchange ratio in the merger was .9434 shares of BNY Mellon for each share of BNY

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4