Edgar Filing: NCI BUILDING SYSTEMS INC - Form 4

NCI BUILDING SYSTEMS INC Form 4 December 20, 2007 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Fischer Keith E Issuer Symbol NCI BUILDING SYSTEMS INC (Check all applicable) [NCS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 10943 NORTH SAM HOUSTON 12/18/2007 President & COO, RC Divisions PARKWAY WEST (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77064 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, 12/18/2007 Ρ 600 A 9.445 D 24 75 \$0.01 par value Common Stock, 12/18/2007 Ρ 400 9.845 D Α 24.83\$0.01 par value Common 12/18/2007 Ρ 300 \$ 10,145 D Α Stock. 24.77 \$0.01 par

value								
Common Stock, \$0.01 par value	12/18/2007	Р	200	A	\$ 24.76	10,345	D	
Common Stock, \$0.01 par value	12/18/2007	Р	500	A	\$ 24.82	10,845	D	
Common Stock, \$0.01 par value	12/18/2007	Р	500	А	\$ 24.81	11,345	D	
Common Stock, \$0.01 par value	12/18/2007	Р	300	А	\$ 24.8	11,645	D	
Common Stock, \$0.01 par value	12/18/2007	Р	200	А	\$ 24.79	11,845	D	
Common Stock, \$0.01 par value						2,778	I	By NCI 401(k) plan (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transact	5. ionNumbe	6. Date Exer r Expiration D		7. Title Amoun		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	• •	any	Code	of	(Month/Day	/Year)	Underly	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivat	ive		Securiti	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Owne
	Security			Acquired							Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D) (Instr. 3, 4, and 5)						(Instr
				Code V	(A) (E	D) Date	Expiration	Title	Amount		
				coue v	(11) (L	Exercisable	Date		or		
						Exercisable	Duit		Number		

Director

10% Owner

Reporting Owners

Reporting Owner Name / Address

Relationships

Divisions

President & COO, RC

Officer

Other

of Shares

Fischer Keith E 10943 NORTH SAM HOUSTON PARKWAY WEST HOUSTON, TX 77064

Signatures

Frances Powell Hawes (by power of attorney)

**Signature of Reporting Person

12/20/2007 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Holdings under 401(k) plan are reported as of December 19, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.