PGT, Inc. Form 4 March 05, 2015

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Jackson Jeffrey T

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

PGT, Inc. [PGTI] 3. Date of Earliest Transaction

(Check all applicable)

Director

PGT, INC., 1070 TECHNOLOGY

(Street)

(First)

(Month/Day/Year) 03/03/2015

X\_ Officer (give title below)

10% Owner Other (specify

DRIVE

PRESIDENT AND COO

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

NORTH VENICE, FL 34275

| (City)  | (State) (Z                           | ip) Table   | I - Non-De                             | rivative Se  | curiti           | es Acqui           | red, Disposed of,                              | or Beneficial                             | ly Owned  |
|---|--------------------------------------|---|--|--|------------------|--------------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired ior(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |                    | Beneficially Form<br>Owned Direct              | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| COMMON  |                                      |   | Code V                                 | Amount   | (A)<br>or<br>(D) | Price              | Reported<br>Transaction(s)<br>(Instr. 3 and 4) | (I)<br>(Instr. 4)                         |   |
| STOCK,<br>PAR<br>VALUE<br>\$0.01 PER<br>SHARE           | 03/03/2015                           |   | S                                      | 11,500   | D                | \$<br>10.95<br>(1) | 32,510   | D   |   |
| COMMON<br>STOCK,<br>PAR<br>VALUE<br>\$0.01 PER<br>SHARE | 03/04/2015                           |   | S                                      | 20,444   | D                | \$<br>11.09<br>(2) | 12,066   | D   |   |

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COMMON STOCK,

PAR VALUE 03/04/2015 A 19,178 A \$0 31,244 D

\$0.01 PER SHARE

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

8. Price of

Derivative

Security

(Instr. 5)

9. Nu

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Security or Exercise Code of (Month/Day/Year) Underlying (Instr. 3) Price of (Month/Day/Year) Securities (Instr. 8) Derivative Derivative (Instr. 3 and 4) Securities Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jackson Jeffrey T PGT, INC. 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275

PRESIDENT AND COO

**Signatures** 

JEFFREY T. 03/05/2015 JACKSON

\*\*Signature of Reporting Date
Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) THIS TRANSACTION WAS EXECUTED IN MULTIPLE TRADES AT PRICES RANGING FROM \$10.95 TO \$11.00 PER SHARE.
- (2) THIS TRANSACTION WAS EXECUTED IN MULTIPLE TRADES AT PRICES RANGING FROM \$11.00 TO \$11.15 PER SHARE.
- (3) RESTRICTED STOCK GRANTED UNDER THE PGT, INC. 2014 OMNIBUS EQUITY INCENTIVE PLAN, VESTING IN THREE EQUAL ANNUAL INSTALLMENTS ON EACH OF MARCH 4, 2016, MARCH 4, 2017 AND, MARCH 4, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.