DEAN FOODS CO Form 4 January 05, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

12/31/2008

Stock

1. Name and GREEN ST	Address of Reportin ΓΕΡΗΕΝ L	ng Person *	2. Issuer Symbol DEAN F		I Ticker or		ıg	Issuer	of Reporting Per	
(Last) 285 RIVEF 250	(First)	(Middle) E, SUITE	3. Date of (Month/Date 12/31/20	Earliest T ay/Year)				(Che		e) % Owner her (specify
WESTPOR	(Street)		4. If Amer Filed(Mont	·	Ü	1		Applicable Line) _X_ Form filed by	Joint/Group Filing y One Reporting Portion of More than One Re	erson
(City)	(State)	(Zip)	Table	e I - Non-l	Derivative	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Executi any	emed on Date, if /Day/Year)	3. Transact Code (Instr. 8)	`	ed (A) of ed of (E), 4 and (A) or	9) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	12/31/2008			۸	2,090	۸	0.2	101 856 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

101,856 (2)

D

\$0

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

Edgar Filing: DEAN FOODS CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non-Qualified Stock Option (right to buy SI001316)	\$ 8.0206					06/30/1999(3)	06/30/2009	Common Stock	11,2: (4)
Non-Qualified Stock Option (right to buy DV001426)	\$ 8.0206					06/30/1999(3)	06/30/2009	Common Stock	5,28 (4)
Non-Qualified Stock Option (right to buy T0000632)	\$ 8.0206					06/30/1999(3)	06/30/2009	Common Stock	2,07 (4)
Non-Qualified Stock Option (right to buy DV001443)	\$ 8.0206					06/30/1999(3)	06/30/2009	Common Stock	974
Non-Qualified Stock Option (right to buy SI001801)	\$ 9.3614					06/30/2000(3)	06/30/2010	Common Stock	11,2;
Non-Qualified Stock Option (right to buy DV001436)	\$ 9.3614					06/30/2000(3)	06/30/2010	Common Stock	5,28 (4)
Non-Qualified Stock Option (right to buy T0000636)	\$ 9.3614					06/30/2000(3)	06/30/2010	Common Stock	2,07 (4)
Non-Qualified Stock Option (right to buy DV001431)	\$ 9.3614					06/30/2000(3)	06/30/2010	Common Stock	974
	\$ 10.1707					06/29/2001(3)	06/29/2011		

Edgar Filing: DEAN FOODS CO - Form 4

Non-Qualified Stock Option (right to buy SF002503)				Common Stock	11,2:
Non-Qualified Stock Option (right to buy DV001433)	\$ 10.1707	06/29/2001(3)	06/29/2011	Common Stock	5,28 (<u>4)</u>
Non-Qualified Stock Option (right to buy T0000641)	\$ 10.1707	06/29/2001(3)	06/29/2011	Common Stock	2,07 (4)
Non-Qualified Stock Option (right to buy DV001428)	\$ 10.1707	06/29/2001(3)	06/29/2011	Common Stock	974
Non-Qualified Stock Option (right to buy DF002166)	\$ 14.2351	07/01/2002(3)	07/01/2012	Common Stock	11,2: (4)
Non-Qualified Stock Option (right to buy DV001437)	\$ 14.2351	07/01/2002(3)	07/01/2012	Common Stock	5,28 (4)
Non-Qualified Stock Option (right to buy T0000647)	\$ 14.2351	07/01/2002(3)	07/01/2012	Common Stock	2,07 (4)
Non-Qualified Stock Option (right to buy DV001427)	\$ 14.2351	07/01/2002(3)	07/01/2012	Common Stock	974
Non-Qualified Stock Option (right to buy DF002876)	\$ 18.1003	06/30/2003(3)	06/30/2013	Common Stock	3,75 (4)
Non-Qualified Stock Option (right to buy DV001438)	\$ 18.1003	06/30/2003(3)	06/30/2013	Common Stock	1,76 (4)
Non-Qualified Stock Option (right to buy T0000813)	\$ 18.1003	06/30/2003(3)	06/30/2013	Common Stock	691
	\$ 18.1003	06/30/2003(3)	06/30/2013		325

Edgar Filing: DEAN FOODS CO - Form 4

Non-Qualified Stock Option (right to buy DV001439)				Common Stock	
Non-Qualified Stock Option (right to buy DF003664)	\$ 21.4389	06/30/2004(3)	06/30/2014	Common Stock	3,75 (4)
Non-Qualified Stock Option (right to buy DV001442)	\$ 21.4389	06/30/2004(3)	06/30/2014	Common Stock	1,76
Non-Qualified Stock Option (right to buy T0000786)	\$ 21.4389	06/30/2004(3)	06/30/2014	Common Stock	691
Non-Qualified Stock Option (right to buy DV001440)	\$ 21.4389	06/30/2004(3)	06/30/2014	Common Stock	325
Non-Qualified Stock Option (right to buy DF905918)	\$ 23.9808	06/30/2005(3)	06/30/2015	Common Stock	3,75 (4)
Non-Qualified Stock Option (right to buy DV001429)	\$ 23.9808	06/30/2005 <u>(3)</u>	06/30/2015	Common Stock	1,76 (4)
Non-Qualified Stock Option (right to buy DF005291)	\$ 25.3078	06/30/2006(3)	06/30/2016	Common Stock	3,75 (4)
Non-Qualified Stock Option (right to buy DV001430)	\$ 25.3078	06/30/2006(3)	06/30/2016	Common Stock	1,76 (4)
Non-Qualified Stock Option (right to buy DF005996)	\$ 31.87	06/29/2007(3)	06/29/2017	Common Stock	3,75 (4)
Non-Qualified Stock Option (right to buy DF006485)	\$ 19.62	06/30/2008(5)	06/30/2018	Common Stock	3,75 (4)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GREEN STEPHEN L 285 RIVERSIDE AVENUE SUITE 250 WESTPORT, CT 06880

X

Signatures

Katherine K. Connell, Attorney-In-Fact

01/05/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These are restricted shares issued under the Issuer's 2007 Stock Incentive Plan in payment of fees owed for services as an independent (1) director. All such shares are subject to vesting in three equal increments, with the first vesting occurring as of the date the shares were issued and then annually thereafter.
- Includes 2,220 shares of Restricted Stock Units ("RSUs") which have vested and for which the reporting person has opted to defer receipt (2) until a future date. This amount has been reduced since the date of the reporting person's last Form 4, due to a transfer of such deferred shares to his former spouse pursuant to a Separation Agreement.
- (3) The options were automatically granted under the Issuer's 1997 Amended and Restated Stock Option and Restricted Stock Plan, and are fully vested and immediately exercisable upon grant.
- (4) Since the date of the reporting person's last Form 4, he has transferred options for shares of Issuer's stock to his former spouse pursuant to a Separation Agreement.
- (5) The options were granted automatically under the Issuer's 2007 Stock Incentive Plan, and are fully vested and immediately exercisable upon grant.

Remarks:

CONTINUED ON ADDITIONAL FORM 4 FILED ON THIS SAME DATE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 5