### Edgar Filing: PETITT ANTHONY B - Form 3

#### PETITT ANTHONY B

Form 3 March 04, 2008

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **PETITT ANTHONY B** 

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

02/26/2008

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

2000 M-63N

(Street)

(Check all applicable)

CONTROLLER

WHIRLPOOL CORP /DE/ [WHR]

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

\_X\_\_ Officer (give title below) (specify below) VICE PRESIDENT AND

Director

Form filed by More than One

Reporting Person

### BENTON HARBOR, MIÂ 49022

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

10% Owner

Other

Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4 Conversion or Exercise Price of

5 Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4) **Expiration Title** 

Exercisable

Amount or Number of Derivative Security: Direct (D) Security or Indirect

(I)

Date

Date

Shares

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						(Illstr. 3)	
Employee Stock Option (Right to Buy)	(1)	(1)	Common	864	\$ <u>(1)</u>	D	Â
Phantom Restricted Shares	(2)	(2)	Common	546	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topotong Owner Land, Line Con	Director	10% Owner	Officer	Other		
PETITT ANTHONY B 2000 M-63N BENTON HARBOR. MI 49022	Â	Â	VICE PRESIDENT AND CONTROLLER	Â		

## **Signatures**

/s/ Daniel F. Hopp, Attorney-in-Fact 03/04/2008

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 864 option shares awarded on 02/18/2008 at the option price of \$88.49 er share. The shares will become exercisable as follows: one-third on 02/18/2009; one-third on 02/18/2010; and one-third on 02/18/2011. The options will expire 10 years from the date of grant.
- 546 phantom stock shares ("SEP") granted on 02/18/2008 under the 2007 SEP award of contingent shares made pursuant to the Whirlpool (2) Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16(b)-3. Time restrictions will lapse and shares will become vested on 02/18/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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