

MC COLLUM WILLIAM R JR  
Form 4  
January 10, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MC COLLUM WILLIAM R JR

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
526 S. CHURCH STREET  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/08/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Grp Exec, Chief Reg Gen Officer

CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				V	(A)				
Stock Option (Right to Buy)	\$ 27.63	01/08/2007	<u>D</u> (1)		14,600	02/17/2002	02/17/2008	Common Stock	14,600
Stock Option (Right to Buy)	\$ 15.74	01/08/2007	<u>A</u> (1)	14,600		02/17/2002	02/17/2008	Common Stock	14,600
Stock Option (Right to Buy)	\$ 29.66	01/08/2007	<u>D</u> (1)		16,000	02/17/2003	02/17/2009	Common Stock	16,000
Stock Option (Right to Buy)	\$ 16.89	01/08/2007	<u>A</u> (1)	16,000		02/17/2003	02/17/2009	Common Stock	16,000
Stock Option (Right to Buy)	\$ 24.88	01/08/2007	<u>D</u> (1)		20,800	12/20/2003	12/20/2009	Common Stock	20,800
Stock Option (Right to Buy)	\$ 14.17	01/08/2007	<u>A</u> (1)	20,800		12/20/2003	12/20/2009	Common Stock	20,800
Stock Option (Right to Buy)	\$ 42.81	01/08/2007	<u>D</u> (1)		11,400	12/20/2004	12/20/2010	Common Stock	11,400
Stock Option (Right to Buy)	\$ 24.38	01/08/2007	<u>A</u> (1)	11,400		12/20/2004	12/20/2010	Common Stock	11,400
Stock Option (Right to Buy)	\$ 37.68	01/08/2007	<u>D</u> (1)		17,500	12/19/2005	12/19/2011	Common Stock	17,500
Stock Option (Right to Buy)	\$ 21.46	01/08/2007	<u>A</u> (1)	17,500		12/19/2005	12/19/2011	Common Stock	17,500

Buy)

Stock

Option  
(Right to  
Buy)

\$ 38.33

01/08/2007

D<sup>(1)</sup>

4,900

01/17/2002 01/17/2012

Common  
Stock

4,9

Stock

Option  
(Right to  
Buy)

\$ 21.83

01/08/2007

A<sup>(1)</sup>

4,900

01/17/2002 01/17/2012

Common  
Stock

4,9

Stock

Option  
(Right to  
Buy)

\$ 13.77

01/08/2007

D<sup>(1)</sup>

12,675

(2)

02/25/2013

Common  
Stock

12,6

Stock

Option  
(Right to  
Buy)

\$ 7.84

01/08/2007

A<sup>(1)</sup>

12,675

(2)

02/25/2013

Common  
Stock

12,6

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MC COLLUM WILLIAM R JR 526 S. CHURCH STREET CHARLOTTE, NC 28202			Grp Exec,Chief Reg Gen Officer	

## Signatures

By: David S. Maltz,  
Attorney-in-fact for

01/10/2007

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On January 2, 2007, Duke Energy Corporation ("Issuer") spun off its natural gas businesses to form the stand-alone company Spectra Energy Corp. As a result, equitable adjustments were made to Duke Energy's outstanding stock option awards.

(2) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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