Edgar Filing: HU MARK K - Form 4

HU MARK K											
Form 4	2007										
February 22, 2										PPROVAL	
FORM	4 UNITED S	STATES		ITIES AN hington, 1			NGE (COMMISSION		3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed pure Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940								January 31 Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> HU MARK K			2. Issuer Name and Ticker or Trading Symbol WHIRLPOOL CORP /DE/ [WHR]					5. Relationship of Reporting Person(s) to Issuer			
						/[W]	HKJ	(Check all applicable)			
(Last) (First) (Middle) 2000 M-63N			3. Date of Earliest Transaction(Month/Day/Year)02/20/2006					Director 10% Owner XOfficer (give titleOther (specify below) below) EXECUTIVE VICE PRESIDENT			
	4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
BENTON HA	ARBOR, MI 490)22							More than One R		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurit	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executior any	n Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								1,209	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 89.16 (1)	02/20/2006		A <u>(1)</u>		4,600		<u>(1)</u>	<u>(1)</u>	Common	4,600	
Phantom Restricted Shares (Strategic Excellence Program)	(2)							<u>(2)</u>	<u>(2)</u>	Common	792	
Phantom Restricted Shares (Special Retention Program)	(3)							<u>(3)</u>	<u>(3)</u>	Common	10,000	
Employee Stock Option (Right to Buy)	<u>(4)</u>							<u>(4)</u>	(4)	Common	20,000	
Employee Stock Option (Right to Buy)	<u>(5)</u>							<u>(5)</u>	(5)	Common	5,027	

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
HU MARK K 2000 M-63N BENTON HARBOR, MI 49022			EXECUTIVE VICE PRESIDENT						

Signatures

/s/ Robert T. Kenagy

02/22/2006

**Signature of	
Reporting Person	

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 4,600 option shares with cashless exercise and tax withholding rights awarded on 02/20/2006 at the option price of \$89.16 per share.
 (1) Shares will become exercisable as follows: one-third on 02/20/2007; one-third on 02/20/2008; and one-third on 02/20/2009. The options will expire 10 years from the date of grant.

792 phantom stock shares (Strategic Excellence Program) awarded on 02/14/2005 under the SEP 2004 grant made pursuant to the 2002
(2) Whirlpool Corporation Omnibus Stock and Incentive Plan in transactions exempt under Rule 16(b)-3(c). Time restrictions on these shares will lapse on 02/14/2007.

10,000 phantom stock shares (Special Retention Program) awarded on 01/05/2004 under the Whirlpool Corporation 2002 Omnibus Stock and Incentive Plan in transactions exempt under Rule 16(b)-3(c). Shares will become vested as follows: 50% on 01/05/2007 and 50% on

- (3) and incentive train in transactions exempt under Kute 10(0)-3(c), shares will become vested as follows, 50% on 01/05/2007 and 50% on 01/05/2007 and 50% on other stock.
- (4) $\frac{20,000 \text{ option shares with cashless exercise and tax withholding rights awarded on 01/05/2004 at the option price of $71.80 per share. All shares are currently exercisable and the options will expire 10 years from the date of grant.$

5,027 option shares with cashless exercise and tax withholding rights awarded on 02/16/2004 at the option price of \$72.94 per share.

(5) 3,351 shares are currently exercisable, with the remaining one third becoming exercisable on 02/16/2007. The options will expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.