Edgar Filing: Morningstar, Inc. - Form 4

Form 4 May 02, 2008 OMB APPROVAL FM UNITED STATES SECURITIES AND EXCHANCE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this how subject to Section 16. STATEMENT OF CHANCES IN BENEFICIAL OWNERSHIP OF SECURITIES Statement of the section 16(a) of the Securities Exchange Act of 1934, obligations. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). The dpursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Statement Company Act of 1935 or Section 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 3 (b) of the Investment Company Act of 1935 or Section 1(b). Statement Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). Statement Company Act of 1930 (Check all applicable) (Print or Type Responses) 1. Sauce Name and Ticker or Trading Symbol State of Earliest Transaction MontingState, Inc. (MORN) Check all applicable) (Last) (First) 0. Suck (Car Din Verson 1. If Amendment, Date Original Field(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) Act (Din Hord by Mone than One Reporting Person Prom Tidlet by Mone than One Reporting Person Prom Tidlet by Mone than One Reporting Person (Tim of State) (State) (Tim Check Applicable Line) Act (Din Hord by Mone than One Reporting Person 6. Ownership One check and publice (III) Apprincible Line) Act (Din Hord by Mone than One Reporti	Morningstar,	Inc.											
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$ \text{Print or Type Responses} \\ \text{Name and } \text{Address of Reporting Person} \\ \text{FRANCIS CHERYL A} \\ \text{Amministrat, Inc. } \\ \text{Amministrat, Inc. } \\ \text{Amministrat, Inc. } \\ \text{Morningstar, Inc. } \\ $	if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru	GES IN BENEFICIAL OWNERSHIP OF SECURITIES 5(a) of the Securities Exchange Act of 1934, ility Holding Company Act of 1935 or Section					Estimated a burden hou response	2005 average irs per					
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(5/0)/(00x) $S(2)$ 500 D $S(0)/(600)$ D		05/02/2008			М	500	А	\$ 8.57	5,199	D			
		05/02/2008			S <u>(2)</u>	500	D	\$ 70	4,699	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Sect (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Empoyee Stock Option (Right to Buy)	\$ 8.57	05/02/2008		М	500	<u>(1)</u>	07/19/2012	Common Stock	500	~ ~ ~

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FRANCIS CHERYL A C/O MORNINGSTAR, INC. 225 WEST WACKER DRIVE CHICAGO, IL 60606	Х						
Signatures							
/s/ Heidi Miller, by power of attorney		05/02/200	8				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The options became exercisable in three equal installments on July 19, 2003, 2004 and 2005.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 12, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.