

Passi Gaurav  
Form 4  
November 30, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Passi Gaurav

2. Issuer Name and Ticker or Trading Symbol  
Five9, Inc. [FIVN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/28/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

EVP, Product Management

C/O FIVE9, INC., BISHOP RANCH  
8,, 4000 EXECUTIVE PARKWAY,  
SUITE 400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

SAN RAMON, CA 94583

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	11/28/2017		M	A	4,936	\$ 8.13	106,185 D
Common Stock	11/28/2017		S	D	4,936	\$ 25.01	101,249 D
Common Stock	11/28/2017		M	A	4,524	\$ 8.13	105,773 D
Common Stock	11/28/2017		S	D	4,524	\$ 24.99	101,249 D

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Common Stock	11/28/2017	M	7,839	A	\$ 8.48	109,088	D
Common Stock	11/28/2017	S	7,839	D	\$ 24.99 (2)	101,249	D
Common Stock	11/28/2017	M	1,145	A	\$ 10.12	102,394	D
Common Stock	11/28/2017	S	1,145	D	\$ 24.99 (2)	101,249	D
Common Stock	11/28/2017	M	9,773	A	\$ 16.25	111,022	D
Common Stock	11/28/2017	S	9,773	D	\$ 24.99 (2)	101,249	D
Common Stock	11/28/2017	S	1,181	D	\$ 25.01 (3)	100,068	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 8.13	11/28/2017		M	4,936	(4)	03/09/2026	Common Stock	4,936
	\$ 8.13	11/28/2017		M	4,524	(4)	03/09/2026		4,524



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- (4) The option vests in 48 equal monthly installments beginning on the one month anniversary of March 9, 2016.
- (5) The option granted on October 25, 2013 is now fully vested.
- (6) The option vests in 48 equal monthly installments beginning on the one month anniversary of December 18, 2013.
- (7) Option began vesting in 48 substantially equal monthly installments on the one-month anniversary of February 23, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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