

COHEN & STEERS INC
Form 4
February 04, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEERS ROBERT HAMILTON

2. Issuer Name and Ticker or Trading Symbol
COHEN & STEERS INC [CNS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

280 PARK AVENUE, 10TH FLOOR

01/30/2015

Chief Executive Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10017

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock					950,920	I	
							By the Robert H. Steers Family Trust ⁽¹⁾
Common Stock					4,478,840	I	
							Steers 2014 Descendents Trust ⁽²⁾
Common Stock	01/30/2015		A		42,078	A	\$ 0
					<u>(3)</u>		
	01/30/2015		D		12,145,536	D	
					12,100,155	D	

Common Stock 45,381 \$ (4) 42.91

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with columns for Title of Derivative Security, Conversion or Exercise Price of Derivative Security, Transaction Date, Deemed Execution Date, Transaction Code, Number of Securities, Date Exercisable and Expiration Date, Title and Amount of Underlying Securities, Price of Derivative Security, and Number of Derivative Securities.

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

STEERS ROBERT HAMILTON 280 PARK AVENUE, 10TH FLOOR NEW YORK, NY 10017

Chief Executive Officer

Signatures

/s/Francis C. Poli, Attorney-in-Fact 02/03/2015 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) Mr. Steers disclaims beneficial ownership of these shares, and the filing of this report is not an admission that Mr. Steers is the beneficial owner of these shares for the purposes of Section 16 or for any other purpose.
(2) Shares owned by the Steers 2014 Descendants Trust for the benefit of Mr. Steers family. Mr. Steers spouse and sister-in-law serve as trustees of the trust. Mr. Steers disclaims beneficial ownership of these shares, and the filing of this report is not an admission that Mr.

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Steers is the beneficial owner of these shares for the purposes of Section 16 or for any other purpose.

- (3) Includes 32,551 restricted stock units (RSUs) mandatorily deferred by CNS from the reporting persons annual incentive performance bonus. Dividends paid on CNS common stock are reflected in additional RSUs on such deferred RSUs. The deferred RSUs vest ratably over four years, and the dividend RSUs vest on the fourth anniversary of the grant. Also includes 9,527 RSUs that generally vest over four years.
- (4) Represents the withholding by Cohen & Steers of a sufficient number of shares of common stock to satisfy the reporting persons tax obligations upon the vesting and delivery of previously reported restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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