HD Supply Holdings, Inc. Form 4

November 05, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

			Symbol						Issuer				
HD			HD Sup	HD Supply Holdings, Inc. [HDS]					(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction										
3100 CUMBERLAND BLVD, SUITE 1700			(Month/Day/Year) 11/03/2014					Director 10% Owner Officer (give title Other (specify below) President, HD Supply White Cap					
	(Street) 4. If Amer			endment,	endment, Date Original				6. Individual or Joint/Group Filing(Check				
Fi			Filed(Mo	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
ATLANTA	, GA 30339								Person				
(City)	(State)	(Zip)	Tab	le I - Nor	ı-D	erivative S	e Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Execution	med on Date, if Day/Year)	3. Transac Code (Instr. 8	4. Securities Acquired ction(A) or Disposed of (D) (Instr. 3, 4 and 5) 8)  (A) or			of (D)	Securities Ownership Indire Beneficially Form: Direct Benef Owned (D) or Owne		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V	Amount	(D)	Price	(Illstr. 3 and 4)				
Common Stock	11/03/2014			M(1)		4,729	A	\$ 8.3	33,091	D			
Common Stock	11/03/2014			M(1)		2,190	A	\$ 8.3	35,281	D			
Common Stock	11/03/2014			S(1)		6,919 (3)	D	\$ 28.94	28,362	D			
Common Stock	11/05/2014			M(1)		2,275	A	\$ 8.3	30,637	D			
Common Stock	11/05/2014			M(1)		9,872	A	\$ 8.3	40,509	D			

### Edgar Filing: HD Supply Holdings, Inc. - Form 4

Common 11/05/2014 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 8.3	11/03/2014		M <u>(1)</u>		4,729	04/11/2014	04/10/2021	Common Stock	4,729
Stock Options (Right to Buy)	\$ 8.3	11/03/2014		M <u>(1)</u>		2,190	(2)	06/07/2020	Common Stock	2,190
Stock Options (Right to Buy)	\$ 8.3	11/05/2014		M <u>(1)</u>		2,275	<u>(4)</u>	06/07/2020	Common Stock	2,275
Stock Options (Right to Buy)	\$ 8.3	11/05/2014		M(1)		9,872	04/11/2014	04/11/2021	Common Stock	9,872

# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips							
	Director	10% Owner	Officer	Other				

Reporting Owners 2 STEGEMAN JOHN 3100 CUMBERLAND BLVD, SUITE 1700 ATLANTA, GA 30339 President, HD Supply White Cap

## **Signatures**

James F. Brumsey, Attorney-in-Fact for John Stegeman

11/05/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) These options were granted on June 8, 2010 and scheduled to vest in five annual installments. 99,580 of these options are currently vested and exercisable. The remaining 43,334 of these options will vest on June 8, 2015, subject to continued employment with the Company.
- This transaction was executed in multiple trades at prices ranging from \$28.81 to \$29. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) These options were granted on June 8, 2010 and scheduled to vest in five annual installments. 97,390 of these options are currently vested. The remaining 43,334 of these options will vest on June 8, 2015, subject to continued employment with the Company.
- This transaction was executed in multiple trades at prices ranging from \$29 to \$29.07. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3