

GENERAL ELECTRIC CO  
Form 3  
August 02, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *       |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                     |  |
| Â Peters Susan                                  |         | (Month/Day/Year)                     | GENERAL ELECTRIC CO [GE]   |  |
| (Last)  | (First) | (Middle)                             | 08/02/2013   |  |
|   |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                       | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| GENERAL ELECTRIC COMPANY,Â 3135 EASTON TURNPIKE |         |                                      | (Check all applicable)   |  |
| (Street)  |         |                                      | <input type="checkbox"/> Director                                      | <input type="checkbox"/> 10% Owner                   |
|   |         |                                      | <input checked="" type="checkbox"/> Officer                            | <input type="checkbox"/> Other                       |
|   |         |                                      | (give title below) (specify below)                                     |  |
| FAIRFIELD,Â CTÂ 06828                           |         |                                      | Senior Vice President  |  |
| (City)  | (State) | (Zip)                                | 6. Individual or Joint/Group Filing(Check Applicable Line)             |  |
|   |         |                                      | <input checked="" type="checkbox"/> Form filed by One Reporting Person |  |
|   |         |                                      | <input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 7,035  | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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|  | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Security      | Direct (D) or Indirect (I) (Instr. 5) |   |
|--|------------------|-----------------|--------------|----------------------------|---------------|---------------------------------------|---|
| Phantom Stock Units                              | Â <u>(1)</u>     | Â <u>(1)</u>    | Common Stock | 7,016                      | \$ <u>(2)</u> | D                                     | Â |
| Restricted Stock Units                           | Â <u>(3)</u>     | Â <u>(3)</u>    | Common Stock | 8,750                      | \$ <u>(2)</u> | D                                     | Â |
| Restricted Stock Units                           | Â <u>(4)</u>     | Â <u>(4)</u>    | Common Stock | 6,250                      | \$ <u>(2)</u> | D                                     | Â |
| Restricted Stock Units                           | Â <u>(5)</u>     | Â <u>(5)</u>    | Common Stock | 6,250                      | \$ <u>(2)</u> | D                                     | Â |
| Restricted Stock Units                           | Â <u>(6)</u>     | Â <u>(6)</u>    | Common Stock | 12,500                     | \$ <u>(2)</u> | D                                     | Â |
| Restricted Stock Units                           | Â <u>(7)</u>     | Â <u>(7)</u>    | Common Stock | 75,000                     | \$ <u>(2)</u> | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/12/2004       | 09/12/2013      | Common Stock | 33,000                     | \$ 31.53      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/17/2005       | 09/17/2014      | Common Stock | 36,000                     | \$ 34.22      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/16/2006       | 09/16/2015      | Common Stock | 39,000                     | \$ 34.47      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/08/2007       | 09/08/2016      | Common Stock | 37,500                     | \$ 34.01      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/07/2008       | 09/07/2017      | Common Stock | 47,500                     | \$ 38.75      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/09/2009       | 09/09/2018      | Common Stock | 55,000                     | \$ 28.12      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 03/12/2010       | 03/12/2019      | Common Stock | 44,000                     | \$ 9.57       | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 07/23/2010       | 07/23/2019      | Common Stock | 120,000                    | \$ 11.95      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 06/10/2011       | 06/10/2020      | Common Stock | 275,000                    | \$ 15.68      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 06/09/2012       | 06/09/2021      | Common Stock | 300,000                    | \$ 18.58      | D                                     | Â |
| Employee Stock Options (right to buy) <u>(8)</u> | 09/07/2013       | 09/07/2022      | Common Stock | 325,000                    | \$ 21.59      | D                                     | Â |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

Peters Susan
GENERAL ELECTRIC COMPANY
3135 EASTON TURNPIKE
FAIRFIELD, CT 06828
Senior Vice President

Signatures

Eliza W. Fraser on behalf of Susan P. Peters 08/02/2013

\*\*Signature of Reporting Person Date

Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) Reallocable to other investment media. Payable after termination of employment.
(2) 1-for-1
(3) 35,000 units granted 09/12/2003; 8,750 units vested on 9/12/2006; 8,750 units vested on 9/12/2008; 8,750 vested on 9/12/2010; 8,750 are scheduled to vest on 9/12/2013.
(4) 25,000 units granted 07/28/2005; 6,250 units vested on 7/28/2008; 6,250 units vested on 7/28/2010; 6,250 vested on 7/28//2012; 6,250 are scheduled to vest on 7/27/2015
(5) 25,000 units granted 07/27/2006; 6,250 units vested on 7/27/2009; 6,250 units vested on 7/27/2011; 6,250 vested on 7/27/2013; 6,250 are scheduled to vest on 7/27/2016
(6) 25,000 units granted 02/09/2007; 6,250 units vested on 2/09/2010; 6,250 units vested on 2/09/2012; 6,250 units are scheduled to vest on 2/09/2014 and 6,250 units are scheduled to vest on 2/09/2017.
(7) 75,000 units granted on 7/25/2013; 75,000 units are scheduled to vest on 7/25/2014.
(8) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.