

PIPER JAFFRAY COMPANIES

Form 8-K

October 27, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

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CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

October 27, 2016

Date of report  
(Date of earliest  
event reported)

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PIPER JAFFRAY COMPANIES  
(Exact Name of Registrant as  
Specified in its Charter)

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Delaware  
(State of Incorporation)

1-31720

(Commission File Number)

30-0168701

(I.R.S. Employer Identification No.)

800 Nicollet Mall, Suite 1000  
Minneapolis, Minnesota  
(Address of Principal Executive Offices)

55402

(Zip Code)

(612) 303-6000  
(Registrant's Telephone  
Number, Including Area Code)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition.

On October 27, 2016, Piper Jaffray Companies (the "Company") reported its financial results for its third fiscal quarter ended September 30, 2016. See the Company's press release dated October 27, 2016, which is furnished as Exhibit 99 hereto.

Item 9.01. Financial Statements and Exhibits.

(d)Exhibit

99 Press Release dated October 27, 2016

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PIPER JAFFRAY  
COMPANIES

Date: October 27, 2016 By/s/ Debbra L. Schoneman  
Debbra L. Schoneman  
Chief Financial Officer

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EXHIBIT INDEX

No.	Description	Manner of Filing
99	Press Release dated October 27, 2016	Furnished Electronically